Financial Liability and Certification Form

Financial Liability:

Explain any outstanding financial liabilities the applicant and/or company have with state or local governments in Ohio. Whether or not the amounts are being contested in a court of law, does the applicant and/or company owe:

4. Any delinquent taxes to the State of Ohio (the "State"), a state agency, or a political subdivision of the State such as a city or county?

Yes ☐ No ☐

5. Any monies to the State or a state agency for the administration or enforcement of the environmental laws of the State?

Yes ☐ No ☐

6. Any other monies to the State, a state agency, or a political subdivision of the State that are past due?

Yes ☐ No ☐

7. Is the company the subject of any existing tax lien?

Yes ☐ No ☐

Certification:

Has the applicant, related companies, or any officers:

a.) Been convicted of a felony

Yes ☐ No ☐

b.) Been convicted of or enjoined from any violation of state or federal securities law?

Yes ☐ No ☐

c.) Been a party to any consent order or entry with respect to an alleged state or federal securities law violation?

Yes ☐ No ☐

d.) Been a defendant in a civil or criminal action?

Yes ☐ No ☐

If you have answered yes to any of the above, please provide a detailed explanation including, but not limited, to the location, amounts, and case identification numbers (if applicable) on a separate sheet.

Government and Non-Profits: Provide a copy of the most recent audit report or annual report. If applicable, please submit as attachment D.

Applicant Signature | Typed Name | Title | Date