

# NOTICE

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This opportunity is being released to Contractors pre-qualified as a result of RFP #0A1216. This Project Statement of Work (SOW) is issued under, incorporated into and governed by Contract #0A1216. Contractor agrees that it is in compliance with Contract #0A1216 and will comply with this SOW.

**ONLY pre-qualified Contractors are eligible to submit proposal responses AND to submit inquiries. The State does not intend to respond to inquiries or to accept proposals submitted by organizations that are not pre-qualified.**

An alphabetical listing of Contractors pre-qualified to participate in this opportunity follows:

Base 22	Deloitte Consulting
g2o (formerly ICC)	

This section documents the changes to the original IFP DXTAX-20-01-001 documents issued July 24, 2019:

The Solicitation number was changed from DXTAX-20-01-001 to DXTAX-20-01-001R throughout the IFP documents.

Dates throughout the document were updated to reflect the current timeframe.

# Statement of Work Solicitation

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 <p><b>DAS on behalf of the Department of Taxation Website Redesign Statement of Work</b></p>	<b>InnovateOhio Platform Solicitation ID No.</b>	<b>Solicitation Release Date</b>
	DXTAX-20-01-001R	11/12/2019

## Section 1: Purpose

The purpose of this Project Statement of Work (SOW) is to provide the Ohio Department of Taxation (TAX) with information technology services related to the State of Ohio InnovateOhio Platform (IOP) environment. A qualified Contractor, herein after referred to as the “Contractor”, must furnish the necessary personnel, equipment, materials and/or services and otherwise do all things necessary for or incidental to the performance of work set forth in Section 3, Scope of Work. This SOW is issued under, incorporated into and governed by Contract #0A1216. Contractor agrees that it is in compliance with Contract #0A1216 and will comply with this SOW.

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### Timeline

SOW Solicitation Released to pre-qualified Contractors:	11/12/2019
Inquiry Period Begins:	11/12/2019
Inquiry Period Ends:	11/19/2019@ 8:00 a.m. EST
Proposal Response Due Date:	11/25/2019@ 1:00 p.m. EST

## Section 2: Background Information

### 2.1 Agency Information

Agency/Program Name	Ohio Department of Taxation (TAX)
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Contact Name	Kerrie Ryan	Contact Phone	1-614-466-1956
Bill to Address	Ohio Shared Services P.O Box 182880 Columbus, Ohio 43218-2880	Contact Email	Kerrie.Ryan@tax.state.oh.us
2.2 Project Information			
Project Name	Taxation Website Redesign and On-Boarding to IOP Portal Builder		
Project Background & Objective	<p>The Ohio Department of Taxation (TAX) is seeking to secure a website development contractor to onboard TAX websites to IOP's Portal Builder.</p> <p>The selected Contractor will be responsible for:</p> <ul style="list-style-type: none"> <li>• Standing up TAX's new website framework.</li> <li>• Migrating existing site to new framework (Pages, Files, Function).</li> <li>• Implement new Tax Form Search.</li> <li>• Training TAX site administrators and content authors / owners who will be responsible for editing and updating the website, and for creating new pages on the site.</li> <li>• Executing organizational change management activities.</li> </ul> <p>Selected Contractor will utilize IOP's Content-First methodology and IOP products and tools for this Project.</p> <p>In performing these tasks, which are further explained in the SOW and deliverables, the Contractor must work with TAX and IOP staff to ensure the new website is consistent with the IOP platform and guidelines.</p>		
Expected Project Duration	<p>This Project must be live by 03/18/2020. If a prospective Contractor cannot meet this timeline or any of the dates outlined in the Project schedule, they may not be selected for this Project. This is the absolute end date for the site to Go-live and the contractor must work to pull this date in as far as possible to deliver a full quality Project.</p>		
Deliverable Expectations	<p>Deliverables must be provided according to the approved and baselined Project plan established during the first week of the Project. Any changes to the timeline must have prior approval (in writing) by the TAX Contract Manager or designee.</p> <p>All deliverables must be submitted in a format approved by the Tax's Contract Manager.</p> <p>All deliverables must have acceptance criteria established and time for testing or acceptance.</p> <p>If the deliverable cannot be provided within the scheduled timeframe, the Contractor is required to contact the TAX Contract Manager in writing with a reason for the delay and the proposed revised schedule. The request for a revised schedule must include the impact on related tasks and the overall Project. If TAX determines a deliverable is no longer needed, they will submit that in writing to the Contractor within 2 weeks.</p>		

	<p>A request for a revised schedule must be reviewed and approved by the TAX Contract Manager before placed in effect.</p> <p>TAX will complete a review of each submitted deliverable within 5 working days of the date of receipt.</p>
2.3 Project Schedule	
<b>Date</b>	<b>Tasks</b>
12/04/2019	Earliest Project commencement date
Contractor-supplied date	<p>Project kickoff with TAX and IOP.</p> <p>The kickoff meeting will be held at TAX where the Project team will confirm scope, requirements, Project Plan and timeline, meet TAX stakeholders, and conduct other Q&amp;A as needed such that all parties are in alignment and stakeholders are identified.</p>
Contractor-supplied date	Requirements gathering workshops
Contractor-supplied date	Contractor completes the design and demonstrates the prototypes to Taxation
Contractor supplied date	Content Management Training
Contractor-supplied dates	System Test Completion
Contractor supplied dates	UAT Test Completion
Contractor supplied dates	Onboarding complete
Before 03/18/2020	Final site presentation and final report

## 2.4 Project Milestones

Contractor will propose milestones.

Date	Milestones

## 2.5 Contractor's Work Effort Requirement

The Contractor's full-time regular employees must perform 80% of the effort required to complete the work.

## Section 3: Scope of Work

### 3.1 Department of Taxation website

The successful Contractor will provide services associated with the Ohio Department of Taxation's Tax.ohio.gov website redesign and on-boarding to the InnovateOhio Platform (IOP) Portal Builder and using the Content First Methodology.

Sites and Content in scope:

- Tax.ohio.gov (and all child sites)
- Child Sites used to host and publish help and other content for web or mobile applications
  - Tax.ohio.gov/helpfiles/ifileshelp.aspx
  - Tax.ohio.gov/app/home.aspx (See the screen shots as a downloadable file on the details page for this solicitation on the procurement website)
- Tax.ohio.gov/faq.aspx (called out as key content for customers)
- OPT-IN: tax.ohio.gov/Other\_Webpages/TaxAlerts/TaxAlerts.html (currently uses Mailchimp)
- Videos: 29 videos (currently hosted on YouTube)
- Tax Forms Search – The current Tax Form Search function utilizes a backend Access Database that is downloaded and uploaded to modify content. The search function must be built into IOP.
- Web Forms
  - tax.ohio.gov/Business/sbw.aspx
  - tax.ohio.gov/other/your\_feedback.aspx
  - tax.ohio.gov/vendor\_policies/qsr\_certificate\_form.aspx
  - tax.ohio.gov/Professional/CriminalInvestigationsDivision/FraudInformationReport.aspx
  - tax.ohio.gov/Researcher/VTA/Registration.aspx
  - tax.ohio.gov/Government/starstraining/acknowledgement.aspx

- [tax.ohio.gov/research/informative\\_papers\\_documents/CLE/CLEConference.aspx](http://tax.ohio.gov/research/informative_papers_documents/CLE/CLEConference.aspx)
- [tax.ohio.gov/other/voluntary\\_disclosure/CAtVDA/Fillin.aspx](http://tax.ohio.gov/other/voluntary_disclosure/CAtVDA/Fillin.aspx)
- [tax.ohio.gov/other/voluntary\\_disclosure/SUTVDA/Fillin.aspx](http://tax.ohio.gov/other/voluntary_disclosure/SUTVDA/Fillin.aspx)
- [tax.ohio.gov/Business/BusinessAddressUpdate.aspx](http://tax.ohio.gov/Business/BusinessAddressUpdate.aspx)
- [tax.ohio.gov/contact\\_us/SpeakerRequest.aspx](http://tax.ohio.gov/contact_us/SpeakerRequest.aspx) (This sends an email that will prepopulate fields on internal the SharePoint from.
- [tax.ohio.gov/other/InternationalTaxpayerAssistance.aspx](http://tax.ohio.gov/other/InternationalTaxpayerAssistance.aspx)
- [tax.ohio.gov/Forms/FormsRequest.aspx](http://tax.ohio.gov/Forms/FormsRequest.aspx)
- [tax.ohio.gov/other/ADA/contact.aspx](http://tax.ohio.gov/other/ADA/contact.aspx)
- [tax.ohio.gov/sales\\_and\\_use/Vehicle\\_Taxability\\_Compliance/Downloads/SMVQForm.aspx](http://tax.ohio.gov/sales_and_use/Vehicle_Taxability_Compliance/Downloads/SMVQForm.aspx)
- [tax.ohio.gov/sales\\_and\\_use/Vehicle\\_Taxability\\_Compliance/Downloads/BMVQForm.aspx](http://tax.ohio.gov/sales_and_use/Vehicle_Taxability_Compliance/Downloads/BMVQForm.aspx)
- [tax.ohio.gov/sales\\_and\\_use/Vehicle\\_Taxability\\_Compliance/Downloads/farmquestionnaireform.aspx](http://tax.ohio.gov/sales_and_use/Vehicle_Taxability_Compliance/Downloads/farmquestionnaireform.aspx)
- [tax.ohio.gov/sales\\_and\\_use/Vehicle\\_Taxability\\_Compliance/Downloads/transportationform.aspx](http://tax.ohio.gov/sales_and_use/Vehicle_Taxability_Compliance/Downloads/transportationform.aspx)
- [tax.ohio.gov/sales\\_and\\_use/Vehicle\\_Taxability\\_Compliance/Downloads/PublicUtilityQuestionnaire.aspx](http://tax.ohio.gov/sales_and_use/Vehicle_Taxability_Compliance/Downloads/PublicUtilityQuestionnaire.aspx)
- [tax.ohio.gov/sales\\_and\\_use/Vehicle\\_Taxability\\_Compliance/Downloads/aircraftform.aspx](http://tax.ohio.gov/sales_and_use/Vehicle_Taxability_Compliance/Downloads/aircraftform.aspx)

High-level requirements include:

- Plan through delivery of the completed website(s) required to host the content and training required to enable Taxation to support the site.
- Migrate all content to new site.
- Working with TAX site administrators and content authors / owners to add content to the IOP via the Portal Builder, as well as other available tools and accelerators as appropriate.
- Following Innovate Ohio Platform’s site information architecture and the Content-First methodology work with the stakeholders to gather requirements and design the new site user experience.
- Executing organizational change management activities required by Content First and adoption of Portal Builder.
- Project status reporting via the IOP ATLAS (Atlassian) toolset.

Other Requirements

- Training TAX staff on creating/updating website content and running reports using analytics software (estimated 2-3 total trainings).
- Training TAX staff on administration of the site.
- Training TAX staff to run analytic reports.
- Compliance with Ohio web accessibility requirements: all materials must be captioned and designed for Americans with Disabilities Act/Section 508 compliance.

## **Current Site Details provided by Taxation (contractor must do own verification)**

### Number of linked Files (According to Siteimporve)

Document type	Internal	External	All
Excel	2,552	3	2,555
PDF	3,548	27	3,575
PowerPoint	61	0	61
Word	147	0	147
XML	0	0	0
All documents	6,308	30	6,338

### Average Yearly Page Views over the last 3 years

- 19,121,145

### Number of Editors

- 82

### Number of File Directories (In Portal 0)

- Top level of the portal: 59
- Total Number of Folders in portal: 1,216
- Total Number of File in portal: 19,273
- Size of portal: 8.84GB

### 3rd Party DNN Modules Used on current Tax Site

- DNNCentric AJAX FAQ
- Dnncentric Custom Form Creator
- Dream Slider (Used in News Section on Home Page)
- HJT Redirector (Redirect that was put in place when site was changed over to DNN)
- Mandeeps Live Accordion
- Mandeeps Live Forms
- Mandeeps Live Tabs
- Tax Forms Search (Custom Module for Form Search)
- FAQ Module

## 3.2 Detailed Description of Deliverables

- Contractor and TAX will agree upon deliverable due dates. Once the Project schedule and plan are set, Contractor must meet specified deliverable timeframes. Any deviation from the established schedule and plan requires prior approval (in writing) from TAX Project Manager and IOP Contract Manager or designee.
- Deliverables must be submitted to TAX Project Manager and IOP Contract Manager in the IOP-approved format.
- Deliverable acceptance criteria and time period for deliverable testing and acceptance must be established with TAX Project Manager and IOP Contract Manager within the first two weeks of Project commencement.

- If a deliverable cannot be completed per the approved schedule and plan, the Contractor must notify in writing the TAX Project Manager and IOP Contract Manager with the reason for the delay and proposed revision to the schedule. Proposed schedule revision must include the downstream impact and impact to the overall Project.
- If the TAX Project Manager determines a deliverable is no longer needed, TAX's Project Manager will provide this information in writing to the Contractor within 2 weeks. Monies cannot be reallocated.
- The IOP Contract Manager must approve any schedule revision prior to the revision taking effect.
- Deliverable reviews will be conducted by the IOP Contract Manager and TAX designee within 10 working days of deliverable submission.

<b>Deliverable Name</b>	<b>Description</b>
01 - Project Plan	Includes breakdown of tasks, milestones, deliverables, milestone dates, deliverable dates, owners, and critical path (baselined). Contractor will proactively maintain the baselined, and approved Project Plan, throughout the Project.
02 - Communication and Engagement Plan	Detailed, sequenced plan for communicating to impacted stakeholders. Defines what is communicated, to what stakeholder groups, by whom, and by when and defines the communication vehicle. Plan is based upon stakeholder identification and impact assessment.
03 - Kickoff Meeting	Kickoff meeting will be held at a location and time selected by TAX where the Contractor and its staff will be introduced to TAX and will discuss Project requirements and brand guidelines.
04 - Requirements Gathering Workshops	Documentation of non-functional, functional, and technical requirements.
05 - Clickable Prototypes	Contractor completes the designs and demonstrates the prototypes to TAX. Style Guide agreed upon.
06 – Migrate Site	All pages, files and function will be migrated to the new site.
07 – Migrate Form Search Database	A form search database will be migrated from Microsoft Access to IOP and linked up to a new interface that can be maintained within IOP
08 – Training Tax Staff	Contractor to propose # of training sessions in train-the-trainer format for content providers. Contractor will train Tax technical staff to maintain the IOP site.
09 - System Test Results	Provide test conditions and documented proof that the technical solution delivers the agreed-upon requirements in a non-production environment. Provide a list of all defects encountered and resolved. Provide action plans to remediate any defects agreed to be delivered during a hypercare period.
10 - UAT Test Completion	Completion of the User Acceptance Testing. A UAT report will include what was tested and what changes, if any, are required.
11 - Present Final Project Summary to TAX	Contractor is required to submit a final Project summary to TAX and IOP on or before 03/18/2019. IOP will provide the Contractor with a report form template.

<b>Deliverable Name</b>	<b>Due Date (If applicable)</b>	<b>Payment Eligible? Yes/No</b>	<b>Acceptance Process</b>
01 - Project Plan	TBD / updated throughout Project	No	Approved by TAX Project Manager and IOP Contract Manager. Delivered with ATLAS program management tool (access provided via IOP)
02 – Kickoff Meeting	TBD	No	Meeting held
03 - Communication and Engagement Plan	TBD	No	Project Sponsor(s) and IOP Contract Manager acceptance.
04 - Requirements Gathering Workshops	TBD	No	All requirements are documented and signed-off
05 - Clickable Design Prototypes	TBD	Yes	Meeting was conducted; TAX input was translated into list of desired outcomes and functions socialized and approved by TAX staff. Style Guide agreed upon.
06 – Migrate Site	TBD	No	All content is migrated to new site
07 – Migrate Form Search Database	TBD	No	Form Search Database functions properly in IOP and users are able to add/modify and delete content through the web interface.
08 - Training TAX Staff	TBD	Yes	TAX staff receive training on multiple topics including analytics for running reports. Training provides necessary knowledge and skills for TAX staff to operate sites without further assistance.
09- System Test Results	TBD	No	Provide test conditions and documented proof that the technical solution delivers the

Deliverable Name	Due Date (If applicable)	Payment Eligible? Yes/No	Acceptance Process
			agreed to requirements in a non-production environment. Provide a list of all defects encountered and resolved. Provide action plans to remediate any defects agreed to be delivered during a hypercare period.
10 - UAT Test Completion	TBD	Yes	Project Sponsor(s) and IOP Contract Manager acceptance.
11 - Final Project Summary	TBD	Yes	TAX Project Sponsor(s) and IOP Contract Manager acceptance.
Subcontractors	Duration of the contract	No	All subcontracts are submitted to TAX Project Manager and IOP Contract Manager for approval before any agreement is entered into by the Contractor and subcontractor.

### 3.3 Roles and Responsibilities

Project or Management Activity/Responsibility Description	Contractor	TAX/IOP
Schedule a kick-off meeting with all key stakeholders		X
Prepare for kick-off meeting materials	X	X
Identify TAX Stakeholders		X
Project Plan	X	X
UAT Test Completion		X
Communication and Engagement Plan	X	X
Final Project Summary and TAX Style Guide	X	

Project or Management Activity/Responsibility Description	Contractor	TAX/IOP
Review and accept deliverables		X

3.4	Restrictions on Data Location and Work
	<ul style="list-style-type: none"> <li>The Contractor must perform all Work specified in the SOW Solicitation and keep all State data within the United States, and the State may reject any SOW Response that proposes to do any work or make State data available outside the United States.</li> <li>The Contractor must maintain all of TAX's data on a secure data storage unit (hard drive, USB, etc.). If multiple storage units are necessary, TAX must be notified. Data provided by TAX will be used solely for the creation of this website.</li> </ul>

3.5	Resource Requirements
	<ul style="list-style-type: none"> <li>TAX expects the Contractor to perform required work at contractor's location with the exception of meetings and/or presentations.</li> <li>The Contractor must provide any and all equipment they need to perform activities at their workplace.</li> <li>The Contractor must clearly identify technology and tools they will utilize to develop the site and any software licensing that needs to be purchased.</li> </ul>

**Section 4: Deliverables Management**

4.1	Submission/Format
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PM Artifact/Project Work Product	Submission	Format
Contractor must follow the IOP Program Management methodology and submit weekly IOP status report.	Reports must be captured every Wednesday during the contracting period.	The weekly report will include specific information about the progress of the Project. The Project will be tracked and reported using the State's Atlassian-based project management tool.
Final Project Summary	TBD	In a report format based on a template provided by IOP.

4.2	Reports and Meetings
	<ul style="list-style-type: none"> <li>Contractor will conduct weekly status meetings with TAX PM. TAX PM will establish meeting date/time/location. Meetings can be in person or over the phone at the discretion of the TAX PM.</li> <li>Contractor will update status within IOP Atlas status reporting tool that includes work performed and completed for the current week and planned work for the subsequent week; and documentation of issues and risks encountered or outstanding, with an explanation of the cause and resolution / proposed resolution.</li> </ul>

- Contractor is required to provide the IOP with a weekly status reports summary. Status reports are due to IOP by 3 p.m. each Wednesday; extract will be pulled from IOP Atlas tool.

4.3 Period of Performance

This Project is expected to be completed on or before 12/16/2019. Performance is based on the delivery and acceptance of each deliverable.

4.4 Performance Expectations

This section establishes performance specifications for the Service Level Agreements (SLA) between the Contractor and State. Most individual service levels are linked to “Fee at Risk” due to the State to incent Contractor performance.

Both the State and Contractor recognize and agree that Service Levels and performance specifications may be added or adjusted by mutual agreement during the term of the Contract as business, organizational objectives and technological changes permit or require.

Contractor agrees that 10% of the not to exceed fixed price for the SOW will be at risk (“Fee at Risk”). The Fee at Risk will be calculated as follows:

Total Not to Exceed Fixed Price (NTEFP) of the SOW	x	10 %	=	Total Fee at Risk for the SOW
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Furthermore, in order to apply the Fee at Risk, the following monthly calculation will be used:

Monthly Fee at Risk	=	Total Fee at Risk for the SOW
		Term of the SOW in months

Contractor will be assessed for each SLA failure and the “Performance Credit” shall not exceed the monthly Fee at Risk for that period. The Performance Credit is the amount due to the State for the failure of SLAs. For SLAs measured on a quarterly basis, the monthly fee at risk applies and is cumulative.

On a monthly basis, there will be a “true-up” at which time the total amount of the Performance Credit will be calculated (the “Net Amount”), and such Net Amount may be off set against any fees owed by the State to the Contractor, unless the State requests a payment in the amount of the Performance Credit.

The Contractor will not be liable for any failed SLA caused by circumstances beyond its control, and that could not be avoided or mitigated through the exercise of prudence and ordinary care, provided that the Contractor promptly, notifies the State in writing and takes all steps necessary to minimize the effect of such circumstances and resumes its performance of the Services in accordance with the SLAs as soon as reasonably possible.

To further clarify, the Performance Credits available to the State will not constitute the State’s exclusive remedy to resolving issues related to the Contractor’s performance. In addition, if the Contractor fails multiple service levels during a reporting period or demonstrates a pattern of failing a specific service level throughout the SOW, then the Contractor may be required, at the State’s discretion, to implement a State-approved corrective action plan to address the failed performance.

SLAs will commence when the SOW is initiated.

**Monthly Service Level Report.** On a monthly basis, the Contractor must provide a written report (the “Monthly Service Level Report”) to the State which includes the following information:

- Identification and description of each failed SLA caused by circumstances beyond the Contractor’s control and that could not be avoided or mitigated through the exercise of prudence and ordinary care during the applicable month;
- the Contractor’s quantitative performance for each SLA;
- the amount of any monthly performance credit for each SLA;
- the year-to-date total performance credit balance for each SLA and all the SLAs;
- upon state request, a “Root-Cause Analysis” and corrective action plan with respect to any SLA where the Individual SLA was failed during the preceding month; and
- trend or statistical analysis with respect to each SLA as requested by the State.

The Monthly Service Level Report will be due no later than the tenth (10th) day of the following month.

SLA	Performance Evaluated	Non-Conformance Remedy	Frequency of Measurement
<p><b>Deliverable Acceptance Service Level</b></p>	<p>The <b>Deliverable Acceptance Service Level</b> will measure the State’s ability to accept Contractor deliverables based on submitted quality and in keeping with defined and approved content and criteria for Contractor deliverables in accordance with the terms of the Contract and the applicable SOW. The Contractor must provide deliverables to the State in keeping with agreed levels of completeness, content quality, content topic coverage and otherwise achieve the agreed purpose of the deliverable between the State and the Contractor in accordance with the Contract and the applicable SOW. Upon mutual agreement, the service level will be calculated / measured in the period due, not in the period submitted. Consideration will be given to deliverables submitted that span multiple measurement periods. The measurement period is a month. The first monthly measurement period will commence on the first day of the first full calendar month of the Contract, and successive monthly measurement period will run continuously thereafter until the expiration of the applicable SOW.</p> <p><b>Compliance with deliverable acceptance is expected to be greater than 95%</b></p> <p>This SLA is calculated as follows: “% Deliverable Acceptance” equals “# Deliverables accepted during period” divided</p>	<p>Fee at Risk</p>	<p>Project Schedule</p>

	by “# Deliverables submitted for review/acceptance by the State during the period”		
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4.5 State Staffing Plan

Staff/Stakeholder Name	Project Role	Percent Allocated
IOP Program Lead	Program Lead / Contract Manager, second level of IOP escalation	As needed
IOP Project Manager	Program and Project Compliance; first point of IOP escalation	10% or as needed
TAX Project Manager	Project Management – manage the Project according to schedule, schedule meetings and update necessary stakeholders	As needed
TAX Content Contributors	TAX Content Contributors – Communications Director, Digital Media Manager, Public Information Officer, HR staff member(s)	As needed

**Section 5: Proposal Response Submission Requirements**

5.1 Response Format, Content Requirements

An identifiable tab sheet must precede each section of a Proposal, and each Proposal must follow the format outlined below. All pages, except pre-printed technical inserts, must be sequentially numbered.

Each Proposal must contain the following:

1. Cover Letter with signature
2. Offeror Experience Requirements (see evaluation Section 6 for details on required content)
3. Subcontractors Documentation
4. Assumptions
5. Payment Address
6. Staffing plan, personnel resumes, time commitment, organizational chart
7. Contingency Plan
8. Project Plan
9. Project Methodology
10. Proposed Project Schedule (WBS using MS Project or compatible)
11. Communication Plan
12. Risk Management Plan
13. Quality Management Plan

14. Training and Transition Plan
15. Fee Structure including Estimated Work Effort for each Task/Deliverable
16. Rate Card

Include the following:

1. Cover Letter:

- a. Must be in the form of a standard business letter;
- b. Must be signed by an individual authorized to legally bind the Offeror;
- c. Must include a statement regarding the Offeror's legal structure (e.g. an Ohio corporation), Federal tax identification number, and principal place of business; please list any Ohio locations or branches;
- d. Must include a list of the people who prepared the Proposal, including their titles; and
- e. Must include the name, address, e-mail, phone number, and fax number of a contact person who has the authority to answer questions regarding the Proposal.

2. Offerors Experience Requirements:

- a. Offeror's Proposal must include a brief executive summary of the services the Offeror proposes to provide and at least one representative example of previously completed projects of similar size and scope (e.g. detailed requirements documents, analysis). Include project description, who it was for and name of a contact person;
- b. Offeror's Proposal must include at least one representative example of previously completed projects demonstrating experience in creating a website that interfaces with professionals and the general public. One example should include details of an awareness campaign conducted by the Offeror or its subcontractor. Include project description, who it was for and name of contact person.
- c. Offeror Requirements: The Offeror must demonstrate knowledge of the following:
  - Website content writing and design, social media, analytics, and all functionality requirements provided in section 3.1.
  - Website construction
  - Capacity to provide technical assistance to TAX staff including, but not limited to, training TAX staff on editing/modifying templates, updating website content and training TAX staff on analytics so they can run reports.

3. Subcontractor Documentation:

- a. For each proposed subcontractor, the Offeror must attach a letter from the subcontractor, signed by someone authorized to legally bind the subcontractor, with the following included in the letter:
  - The subcontractor's legal status, federal tax identification number, D-U-N-S number if applicable, and principal place of business address;
  - The name, phone number, fax number, email address, and mailing address of a person who is authorized to legally bind the subcontractor to contractual obligations;
  - A description of the work the subcontractor will do and one representative sample of previously completed projects as it relates to this SOW (e.g. detailed requirements document, analysis, statement of work);

- Must describe the subcontractor’s experience, capability, and capacity to provide Information Technology Assessment, Planning, and Solicitation Assistance. Provide specific detailed information demonstrating experience similar in nature to the type of work described in this SOW from each of the resources identified in Section 6; The detailed information must include examples relevant to this Project’s needs and requirements.
- A commitment to do the work if the Offeror is selected; and
- A statement that the subcontractor has read and understood the IFP and will comply with the requirements of the IFP.

4. Assumptions:

The Offeror must list all assumptions the Offeror made in preparing the Proposal. If any assumption is unacceptable to the State, the State may at its sole discretion request that the Offeror remove the assumption or choose to reject the Proposal. No assumptions may be included regarding the outcomes of negotiation, terms and conditions, or requirements. Assumptions should be provided as part of the Offeror’s response as a stand-alone response section that is inclusive of all assumptions with reference(s) to the section(s) of the RFP that the assumption is applicable to. The Offeror should not include assumptions elsewhere in their response.

5. Payment Address:

The Offeror must give the address to which TAX will send reimbursements for completed activities/deliverables per the terms of the Contract.

6. Staffing plan, personnel resumes, time commitment, organizational chart:

Identify Offeror and subcontractor staff and time commitment. Identify hourly rates for personnel, as applicable. Include Offeror and subcontractor resumes for each resource identified and an organizational chart for entire team.

Contractor Name	Role	Contractor or Subcontractor?	No. Hours	Hourly Rate

7. Contingency Plan:

Identify and provide a Contingency Plan should the Contractor and subcontractor staff fail to meet the Project Schedule, Project Milestones or fail to complete the deliverables according to schedule. Include alternative strategies to be used to ensure Project success if specified risk events occur.

8. Project Plan

Provide a high-level Project Plan that satisfies all Project objectives including all parts of the SOW including meeting all website content and functionality requirements outlined in section 3.1 along with all Project deliverables. Describe the primary tasks, how long each task will take and when each task will be completed in order to meet the final deadline.

9. Project Methodology

Provide detail on the methodology that you plan to utilize to compete this effort. TAX utilizes Agile for most of its projects.

10. Project Schedule

Provide a high-level Project schedule that falls within the Project duration and meets all of the Project schedule timeline outlined in section 2.3.

11. Communication Plan

Provide a high-level communication plan that complies with all Project reporting requirements.

12. Risk Management Plan

Provide a Risk Management Plan including the risk factors, associated risks, and assessment of the likelihood of occurrence and the consequences for each risk. Describe your plan for managing selected risks and plan for keeping people informed about those risks throughout the Project.

13. Quality Management Plan

Provide a Quality Management Plan to explain your quality policies, procedures, and standards relevant to the Project for both Project deliverables and Project processes. Define who is responsible for the quality of the delivered project artifacts and deliverables.

14. Training and Transition Plan

Provide a detailed training and Transition Plan that meets the requirements of this SOW.

15. Fee Structure

Provide a detailed Fee Structure including Estimated Work Effort for each Deliverable. Payment will be scheduled upon approval and acceptance of each Deliverable by TAX within the usual payment terms of the State.

<b>Deliverable Name</b>	<b>Total Estimated Work Effort (Hours)</b>	<b>Not-to-Exceed Fixed Cost for Deliverable</b>
01 - Project Plan		N/A
02 - Kickoff Meeting		N/A
03 - Communication and Engagement Plan		N/A
04 - Requirements Gathering Workshops		N/A
05 - Clickable Design Prototypes		
06 – Migrate Site		N/A
07 – Migrate Form Search Database		N/A

08 - Training TAX Staff		
09 - System Test Results		N/A
10 - UAT Test Completion		
11 - Final Project Summary		
Subcontractors		N/A
	<b>Total Not-To-Exceed Fixed Cost for all Deliverables</b>	

**16. Rate Card**

The primary purpose of obtaining a Rate Card is to establish baseline hourly rates in the event that change orders are necessary. This contract is not intended to be used for hourly based time and materials work. (NOTE – Section 6 collects rate information for named resources)

Offeror’s must submit a Rate Card that includes hourly rates for all services the Offeror provides, including but not limited to those listed in Section 6. Enter the Rate Card information in this section.

Position Title	Hourly Rate
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$

**Section 6: Proposal Evaluation Criteria**

Offeror Requirements:

- Contractor must be capable of meeting the Project duration and Project schedule timeline outlined in section 2.3.
- Contractor must submit proposal on time with all required components fully completed.

<b>Scored Requirements</b>	<b>Weight</b>	<b>Does Not Meet</b>	<b>Partially Meets</b>	<b>Meets</b>	<b>Exceeds</b>
<p>Proposal Administration-</p> <p>Offeror's Proposal submitted on time and contains all required sections and content defined in section 5.1</p>	2	0	3	5	7
<p>Offeror's Previous Experience-</p> <p>Offeror's Proposal must include a brief executive summary of the services the Offeror proposes to provide and at least three representative examples of previously completed projects of similar size and scope. Include project description, who it was for and name of a contact person.</p>	4	0	3	5	7
<p>Offeror's Previous Experience-</p> <p>Offeror must provide previous examples of website content design, social media, analytics, and all functional requirements provided in section 3.1.</p>	4	0	3	5	7
<p>Offeror's Previous Experience-</p> <p>Offeror must provide examples where it provided technical assistance to staff including, but not limited to, training staff on editing/modifying forms and templates, updating website content and training staff on analytics so they could run reports.</p>	3	0	3	5	7
<p>Offeror's Previous Experience-</p> <p>Offeror must provide prior completed and implemented examples of integrated databases that allow users to search for files including Word and PDF.</p>	4	0	3	5	7
<p>Staffing Plan-</p> <p>Proposal includes staffing plan containing personnel resumes, time commitment information and an organizational chart.</p>	3	0	3	5	7
<p>Contingency Plan-</p> <p>Proposal includes a detailed contingency plan.</p>	1	0	3	5	7
<p>Project Plan and Project Schedule-</p> <p>Proposal includes a high-level Project Plan and Project Schedule that meets all the requirements and timelines of this Project.</p>	4	0	3	5	7
<p>Project Methodology</p> <p>Proposal includes a detailed Project Methodology.</p>	3	0	3	5	7

Communication Plan- Proposal must include a high-level Communication Plan that complies with all Project reporting requirements.	1	0	3	5	7
Risk Management Plan- Proposal includes a detailed Risk Management Plan.	1	0	3	5	7
Quality Management Plan- Proposal includes a detailed Quality Management Plan.	1	0	3	5	7
Training and Transition Plan- Offeror's proposed Training and Transition Plan that clearly defines transition of support to TAX.	3	0	3	5	7

**Price Performance Formula.** The evaluation team will rate the Proposals that meet the Mandatory Requirements based on the following criteria and respective weights.

Criteria	Percentage
Technical Proposal	70%
Cost Summary	30%

To ensure the scoring ratio is maintained, the State will use the following formulas to adjust the points awarded to each Offeror.

The Offeror with the highest point total for the Technical Proposal will receive 700 points. The remaining Offerors will receive a percentage of the maximum points available based upon the following formula:

$$\text{Technical Proposal Points} = (\text{Offeror's Technical Proposal Points} / \text{Highest Number of Technical Proposal Points Obtained}) \times 700$$

The Offeror with the lowest proposed total cost for evaluation purposes will receive 300 points. The remaining Offerors will receive a percentage of the maximum cost points available based upon the following formula:

$$\text{Cost Summary Points} = (\text{Lowest Total Cost for Evaluation Purposes} / \text{Offeror's Total Cost for Evaluation Purposes}) \times 300$$

Total Points Score: The total points score is calculated using the following formula:

$$\text{Total Points} = \text{Technical Proposal Points} + \text{Cost Summary Points}$$

The State may reject any Proposal if the Offeror takes exception to the terms and conditions of the Contract.

#### Waiver of Defects

The State has the right to waive any defects in any quotation or in the submission process followed by a Offeror. But the State will only do so if it believes that is in the State's interest and will not cause any material unfairness to other Offerors.

## Rejection of Submissions

The State may reject any submissions that is not in the required format, does not address all the requirements of this SOW Solicitation, or that the State believes is excessive in price or otherwise not in its interest to consider or to accept. The State will reject any responses from companies not pre-qualified in the Technology Category associated with this SOW Solicitation. In addition, the State may cancel this SOW Solicitation, reject all the submissions, and seek to do the work through a new SOW Solicitation or other means.

## Section 7: Solicitation Calendar of Events

### Firm Dates

SOW Solicitation Released to pre-qualified Contractors	11/12/2019
Inquiry Period Begins	11/12/2019
Inquiry Period Ends	11/19/2019@ 8:00 AM EST
Proposal Response Due Date	11/25/2019@ 1:00 PM EST
Anticipated Dates	
Estimated Date for Selection of Awarded Contractor	12/03/2019
Estimated Commencement Date of Work	12/10/2019

## SECTION 8: INQUIRY PROCESS

Offerors may make inquiries regarding this SOW Solicitation anytime during the inquiry period listed in the Calendar of Events. To make an inquiry, Offerors must use the following process:

- Access the State's Procurement Website at <http://procure.ohio.gov/>;
- From the Navigation Bar on the right select "Bid Opportunities Search";
- Enter the IOP Solicitation ID number found on the first page of this SOW Solicitation in the "Document/Bid Number:" box;
- Click the "Search" button;
- On the document information page, click the "Submit Inquiry" button;
- On the document inquiry page, complete the required "Personal Information" section by providing:
  - First and last name of the Offeror's representative who is responsible for the inquiry,
  - Name of the Offeror,
  - Representative's business phone number, and
  - Representative's email address;
- Type the inquiry in the space provided including:
  - A reference to the relevant part of this SOW Solicitation,
  - The heading for the provision under question, and
  - The page number of the SOW Solicitation where the provision can be found; and
- Click the "Submit" button.

An Offeror submitting an inquiry will receive an acknowledgement that the State has received the inquiry as well as an email acknowledging receipt. The Offeror will not receive a personalized response to the question nor notification when the State has answered the question.

Offerors may view inquiries and responses on the State's Procurement Website by using the same instructions described above and by clicking the "View Q & A" button on the document information page.

The State usually responds to all inquiries within three business days of receipt, excluding weekends and State holidays. But the State will not respond to any inquiries received after 8:00 a.m. on the inquiry end date.

### **Section 9: Submission Instructions & Location**

Each Offeror must submit four (4) complete, sealed and signed copies of its Proposal Response and each submission must be clearly marked "DXTAX-20-01-001R Department of Taxation Website Redesign" on the outside of its package along with Offeror's name.

A single electronic copy of the complete Proposal Response must also be submitted with the printed Proposal Responses. Electronic submissions should be on a CD, DVD or USB memory stick.

Each proposal must be organized in the same format as described in Section 5. Any material deviation from the format outlined in Section 5 may result in a rejection of the non-conforming proposal. Each proposal must contain an identifiable tab sheet preceding each section of the proposal. Proposal Response should be good for a minimum of 60 days.

The State will not be liable for any costs incurred by any Offeror in responding to this SOW Solicitation, even if the State does not award a contract through this process. The State may decide not to award a contract at the State's discretion. The State may reject late submissions regardless of the cause for the delay. The State may also reject any submissions that it believes is not in its interest to accept and may decide not to do business with any of the Offerors responding to this SOW Solicitation.

Proposal Responses MUST be submitted to the State TAX's Procurement Representative:

**Kerrie Ryan**  
**State of Ohio Department of Taxation**  
**4485 Northland Ridge Blvd. Columbus, Ohio 43229**

### **Proprietary information**

All Proposal Responses and other material submitted will become the property of the State and may be returned only at the State's option. If an Offeror includes in its Proposal confidential, proprietary, or trade secret information, it must also submit a complete redacted version of its Technical Proposal in accordance with Confidential, Proprietary or Trade Secret Information that follows. Offerors shall only redact (black out) language that is exempt from disclosure pursuant to Ohio Public Records Act. Offerors must also submit an itemized list of each redaction with the corresponding statutory exemption from disclosure. The redacted version must be submitted as an electronic copy in a searchable PDF format. The redacted version, as submitted, will be available for inspection and released in response to public records requests. If a redacted version is not submitted, the original submission of the Proposal will be provided in response to public records requests. Additionally, all Proposal Response submissions will be open to the public after the contract has been awarded.

### **SECTION 10: ADDITIONAL TAX REQUIREMENTS**

Additionally, the Contractor must agree:

- That it is compliant with, and will continue to be compliant with, the filing and paying of all its Ohio taxes, including its income tax and school district employer withholding tax responsibilities. Contractor authorizes TAX to perform an inquiry regarding current tax status and TAX may communicate any issues to Contractor.

- That it will notify each person supplied under this contract, that as a condition of their engagement:
  - They need to be current with, and continue to be current with, all of their Ohio tax filing and payment responsibilities, including but not limited to, their Ohio income tax and school district income tax responsibilities;
  - They will adhere to the various TAX policies posted on its website for the protection of taxpayer data and TAX equipment, as well as personal safety and security; and
  - TAX may require the Contractor or its provided personnel to undergo a criminal background check and require the signing of disclosure agreements if their access to confidential information requires additional safeguards.
- If required to complete disclosure training to access sensitive or confidential tax information, Contractor and any personnel supplied under this SOW will complete the required disclosure training at no additional cost to TAX. Disclosure training is provided on-line and a maximum of 1.5 hours is needed for completion.