

# REQUEST FOR PROPOSALS

RFP NUMBER: CSP900810  
INDEX NUMBER: DOH019  
UNSPSC NUMBER: 80101500  
DATE ISSUED: April 9, 2009

The state of Ohio, through the Department of Administrative Services, Office of Procurement Services, for the Department of Health is requesting proposals for:

## BREAST AND CERVICAL CANCER PROJECT THIRD PARTY ADMINISTRATOR

RFP ISSUED: April 9, 2009  
INQUIRY PERIOD BEGINS: April 9, 2009  
INQUIRY PERIOD ENDS: May 8, 2009  
PROPOSAL DUE DATE: May 15, 2009 by 1:00 p.m.

Proposals received after 1:00 p.m. on the due date will not be evaluated.

OPENING LOCATION: Department of Administrative Services  
Office of Procurement Services  
4200 Surface Road  
Columbus, OH 43228-1395

This RFP consists of five (5) parts and eleven attachments, totaling sixty three consecutively numbered pages. Please verify that you have a complete copy.

## PART ONE: EXECUTIVE SUMMARY

**PURPOSE.** This is a Request for Competitive Sealed Proposals (RFP) under Section 125.071 of the Ohio Revised Code (ORC) and Section 123:5-1-08 of the Ohio Administrative Code (OAC). The Ohio Department of Health (ODH) (the Agency), has asked the Department of Administrative Services (DAS), Office of Procurement Services to solicit competitive sealed proposals (Proposals) for CSP900810 Breast and Cervical Cancer Project (BCCP) Third Party Administrator (TPA), (the Work), and this Request for Proposals (RFP) is the result of that request. If a suitable offer is made in response to this RFP, the state of Ohio (State), through DAS, may enter into a contract (the Contract) to have the selected Offeror (the Contractor) perform all or part of the Project (the Work). This RFP provides details on what is required to submit a Proposal for the Work, how the State will evaluate the Proposals, and what will be required of the Contractor in performing the Work.

This RFP also gives the estimated dates for the various events in the submission process, selection process, and performance of the Work. While these dates are subject to change, prospective Offerors must be prepared to meet them as they currently stand.

Once awarded, the term of the Contract will be from the award date through June 29, 2010. The State may renew this Contract for four (4) one-year extensions; subject to and contingent upon the availability of funds. The State may renew all or part of this Contract subject to the satisfactory performance of the Contractor and the needs of Ohio Department of Administrative Services. The maximum duration of this contract will be June 29, 2014.

Any failure to meet a deadline in the submission or evaluation phases and any objection to the dates for performance of the Project may result in the State refusing to consider the Proposal of the Offeror.

**BACKGROUND.** Each year in Ohio approximately 2,000 women die from breast cancer and an additional 200 women die from cervical cancer. Many of the breast cancer deaths and nearly all of the cervical cancer deaths are preventable through early detection.

The Ohio Breast and Cervical Cancer Project (BCCP) receives federal and state funds to offer high-quality breast and cervical cancer screenings and diagnostic services at no cost to eligible women in Ohio. BCCP is administered by the Ohio Department of Health (ODH) Bureau of Health Promotion and Risk Reduction, and operated by eleven Regional Enrollment Agencies (REA) in Ohio. The agencies include: eight local health departments, two hospitals and one university (see Attachment 11-A). The REAs contract with medical providers in their respective geographic areas to provide the screening and diagnostic services to women enrolled in BCCP. Funds for BCCP, which is authorized by Public Law 101-354, come from the Centers for Disease Control (CDC).

**OBJECTIVE.** The State has the following objectives that it wants this Work to fulfill, and it will be the successful Offeror's obligation to ensure that the people the successful Offeror provides are qualified to perform their portions of the Work.

The State is seeking a Third Party Administrator (TPA) to provide prompt processing and reimbursement of claims to approximately 700 medical providers, for services rendered to women enrolled in BCCP. Approximately \$3,147,000 in service dollars will be available to reimburse medical providers for the contract period of June 30, 2009 through June 29, 2010. An estimated 30,000 provider bills will be paid, by the TPA, with these funds. Payment to the TPA for services will not exceed 8.5 percent of the dollar amount reimbursed to BCCP providers. Payment to the TPA will come from funds separate from BCCP screening funds or service dollars. The TPA will receive all bills via the U.S. Postal Service in hard copy format from the REA. In general, BCCP reimburses medical providers for mammograms, Pap tests, clinical visits, surgical consultations, ultrasounds, colposcopy, among other related medical services. It is estimated that 18,000 Ohio women will receive multiple screening and diagnostic services through BCCP in the contract year.

**CALENDAR OF EVENTS.** The schedule for the Project is given below, and is subject to change. If the State changes the schedule before the Proposal due date, it will do so through an announcement on the State Procurement Web site question and answer area for this RFP. The Web site announcement will be followed by an addendum to this RFP, also available through the State Procurement Web site. After the Proposal due date and before the award of the Contract, the State will make schedule changes through the RFP addendum process. The State will make changes in the Project schedule after the Contract award through the change order provisions in the general terms and conditions of the Contract. It is each prospective Offeror's responsibility to check the Web site question and answer area for this RFP for current information regarding this RFP and its calendar of events through award of the Contract.

DATES:

FIRM DATES:

RFP Issued:	April 9, 2009
Inquiry Period Begins:	April 9, 2009
Inquiry Period Ends:	May 8, 2009 at 8:00 a.m.
Proposal Due Date:	May 15, 2009 by 1:00 p.m.

ESTIMATED DATES:

Award Date Notification:	To be announced
Purchase Order Processed:	To be announced

NOTE: These estimated dates are subject to change.

There are references in this RFP to the Proposal due date. Prospective Offerors must assume, unless it is clearly stated to the contrary, that any such reference means the date and time (Columbus, OH local time) that the Proposals are due.

Proposals received after 1:00 p.m. on the due date will not be evaluated.

PART TWO: STRUCTURE OF THIS RFP

ORGANIZATION. This RFP is organized into five (5) parts and has eleven attachments. The Parts and Attachments are listed below.

PARTS.

Part One	Executive Summary
Part Two	Structure of this RFP
Part Three	General Instructions
Part Four	Evaluation of Proposals
Part Five	Award of the Contract

ATTACHMENTS.

Attachment One	Work Requirements and Special Provisions
Part One	Work Requirements
Part Two	Special Provisions
Attachment Two	Requirements for Proposals
Attachment Three	General Terms and Conditions
Part One	Performance and Payment
Part Two	Work & Contract Administration
Part Three	Ownership & Handling of Intellectual Property & Confidential Information
Part Four	Representations, Warranties and Liabilities
Part Five	Acceptance and Maintenance
Part Six	Construction
Part Seven	Law & Courts
Attachment Four	Contract
Attachment Five	Offeror Profile Data
Five-A	Offeror Profile Summary Form
Five-B	Offeror Profile Prior Project
Five-C	Offeror Profile Prior Project
Five-D	Offeror Profile Prior Project
Attachment Six	Offeror References Form
Attachment Seven	Offeror's Proposed Work Team
Seven-A	Offeror's Candidates References
Seven-B	Offeror's Candidates Information, Education and Training
Seven-C	Offeror's Candidate Experience Requirement
Attachment Eight	Offeror Performance Form
Attachment Nine	Cost Summary
Attachment Ten	Proposal Labels
Attachment Eleven	Project Information
Eleven-A	Project Regions and REA Listing
Eleven-B	2009 Ohio Reimbursement Rates

### PART THREE: GENERAL INSTRUCTIONS

The following sections provide details on how to get more information about an RFP and how to respond to this RFP. All responses must be complete and in the prescribed format.

CONTACTS. The following person will represent the State:

Geraldine Berry  
Department of Administrative Services  
Office of Procurement Services  
4200 Surface Road  
Columbus, OH 43228-1395

During the performance of the Project, a State representative (the "Agency Project Representative") will represent the Agency and be the primary contact for matters relating to the Project. The Agency Project Representative will be designated in writing after the Contract award.

INQUIRIES. Offerors may make inquiries regarding this RFP any time during the inquiry period listed in the Calendar of Events. To make an inquiry, Offeror must use the following process:

1. Access the State Procurement Web site at <http://www.ohio.gov/procure>;
2. From the Navigation Bar on the left, select "Find It Fast";
3. Select "Doc/Bid/Schedule #" as the Type;
4. Enter the RFP Number found on Page 1 of the document (RFP Numbers begin with the letters "CSP");
5. Click the "Find It Fast" button;
6. On the document information page, click the "Submit Inquiry" button;
7. On the document inquiry page, complete the required "Personal Information" section by providing:
  - a. First and last name of the prospective Offeror's representative who is responsible for the inquiry,
  - b. Name of the prospective Offeror;
  - c. Representative's business phone number, and
  - d. Representative's e-mail address.
8. Type the inquiry in the space provided including:
  - a. A reference to the relevant part of this RFP;
  - b. The heading for the provision under question; and
  - c. The page number of the RFP where the provision can be found.
9. Click the "Submit" button.

Offerors submitting inquiries will receive an immediate acknowledgement that their inquiry has been received as well as an e-mail acknowledging receipt. Offerors will not receive a personalized e-mail response to their question nor will they receive notification when the question has been answered.

Offerors may view inquiries and responses using the following process:

1. Access the State Procurement Web site at <http://www.ohio.gov/procure>;
2. From the Navigation Bar on the left, select "Find It Fast";
3. Select "Doc/Bid/Schedule #" as the Type;
4. Enter the RFP Number found on Page 1 of the document (RFP Numbers begin with the letters "CSP");
5. Click the "Find It Fast" button;
6. On the document information page, click the "View Q & A" button to display all inquiries with responses submitted to date.

The State will try to respond to all inquiries within 48 hours of receipt, excluding weekends and State holidays. The State will not respond to any inquiries received after 8:00 a.m. on the inquiry end date.

ADDENDA TO THE RFP. If the State decides to revise this RFP before the Proposal due date, addenda will be announced on the State Procurement Web site.

Offerors may view addenda using the following process:

1. Access the State Procurement Web site at <http://www.ohio.gov/procure>;
2. From the Navigation Bar on the left, select "Find It Fast";
3. Select "Doc/Bid/Schedule #" as the Type;
4. Enter the RFP Number found on Page 1 of the document (RFP Numbers begin with the letters "CSP");
5. Click the "Find It Fast" button;
6. On the document information page, click on the addendum number to display the addendum.

When an addendum to this RFP is necessary, the State may extend the Proposal due date through an announcement on State Procurement Web site. Addendum announcements may be provided any time before 5:00 p.m. on the day before the proposal is due. It is the responsibility of each prospective Offeror to check for announcements and other current information regarding the RFP.

After the submission of Proposals, addenda will be distributed only to those Offerors whose submissions are under active consideration. When the State makes an addendum to the RFP after Proposals have been submitted, the State will permit Offerors to withdraw their Proposals. This withdrawal option will allow any Offeror to remove its Proposal from active consideration should the Offeror feel that the addendum changes the nature of the transaction so much that the Offeror's Proposal is no longer in its interests. Alternatively, the State may allow Offerors that have Proposals under active consideration to modify their Proposals in response to the addendum, as described below.

If, however, the State makes an addendum after the Proposal due date, the State will tell all Offerors whose Proposals are under active consideration whether they have the option to modify their Proposals in response to the addendum. Any time the State amends the RFP after the Proposal due date, an Offeror will have the option to withdraw its Proposal even if the State permits modifications to the Proposals. If the Offerors are allowed to modify their Proposals, the State may limit the nature and scope of the modifications. Unless otherwise stated in the State's notice, modifications and withdrawals must be made in writing and must be submitted within 10 business days after the addendum is issued. If this RFP provides for a negotiation phase, this procedure will not apply to changes negotiated during that phase. Withdrawals and modifications must be made in writing and submitted to the State at the address and in the same manner required for the submission of the original Proposals. Any modification that is broader in scope than the State has authorized may be rejected and treated as a withdrawal of the Offeror's Proposal.

PROPOSAL SUBMITTAL. Each Offeror must submit a Technical Proposal and a Cost Proposal as part of its Proposal. The Technical Proposal must be submitted as a separate package from the Cost Proposal and each Technical Proposal must be submitted in a separate binder. One (1) completed, sealed, and signed (in blue ink) Proposal package and four (4) copies for a total of five (5) copies of both the Technical and Cost Proposal packages must be submitted, with the original copy marked as such. Each Technical Proposal package must be clearly marked "CSP900810 Breast and Cervical Cancer Project Third Party Administrator," on the outside of each Technical Proposal package's envelope. Each Cost Proposal package must be clearly marked "CSP900810 Breast and Cervical Cancer Project Third Party Administrator – Cost Proposal" on the outside of each Cost Proposal package's envelope.

The Offeror must also submit, in the sealed package, a copy of the Proposals on CD-ROM in Microsoft Office (Word, Excel, or Project) 2000, or higher, format and/or PDF format as appropriate. In the event there is a discrepancy between the hard copy and the electronic copy, the hard copy will be the official Proposal.

Proposals are due no later than the proposal due date, at 1:00 p.m. Proposals submitted by e-mail or fax are not acceptable and will not be considered. Proposals must be submitted to:

Department of Administrative Services  
State Procurement Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

The State will reject any Proposals or unsolicited Proposal addenda that are received after the deadline. An Offeror that mails its Proposal must allow for adequate mailing time to ensure its timely receipt. The State recommends that Offeror's submit proposals early as possible. The Proposals received prior to the deadline are stored unopened in a secured area until 1:00 p.m. of the due date. The Offerors must also allow for potential delays due to increased security. The State will reject late proposals regardless of the cause of the delay.

Each Offeror must carefully review the contents of its Proposal for conformity to the requirements of this RFP. Once opened, Proposals cannot be altered, except as allowed by this RFP.

By submitting a Proposal, the Offeror acknowledges that it has read this RFP, understands it, and agrees to be bound by its requirements. The State is not responsible for the accuracy of any information regarding this RFP that was gathered through a source different from the inquiry process described in the RFP.

Ohio Revised Code Section 9.24 prohibits the State from awarding a Contract to any Offeror(s) against whom the Auditor of State has issued a finding for recovery if the finding for recovery is "unresolved" at the time of award. By submitting a proposal, the Offeror warrants that it is not now, and will not become subject to an "unresolved" finding for recovery under Section 9.24, prior to the award of a Contract arising out of this RFP, without notifying DAS of such finding. Ohio Revised Code Section 9.231 applies to this Contract.

Ohio Revised Code Section 9.231 requires that certain contracts awarded by the Office of Procurement Services are subject to more stringent audit provisions where the contract directly benefits members of the general public. It is the belief of the State that this contract may fall under that class of contracts.

The State may reject any Proposal if the Offeror takes exception to the terms and conditions of this RFP, fails to comply with the procedure for participating in the RFP process, or the Offeror's Proposal fails to meet any requirement of this RFP. Any question asked during the inquiry period will not be viewed as an exception to the Terms and Conditions.

All Proposals and other material submitted will become the property of the State and may be returned only at the State's option. Proprietary information should not be included in a Proposal or supporting materials because the State will have the right to use any materials or ideas submitted in any Proposal without compensation to the Offeror. Additionally, all Proposals will be open to the public after the award of the Contract has been posted on the State Procurement Web site.

When a RFP requires the inclusion of proprietary or confidential information, Offerors may request that certain information, such as trade secrets or proprietary data, be designated as confidential and not considered as public records. Material so designated shall accompany the Proposal and be in a sealed container duly marked, and shall be readily separable from the Proposal and information identified as such should be redacted from the electronic copy of the Proposal. Prices, makes, models, catalog numbers of items offered, deliveries and terms of payment shall not be considered as confidential. The decision as to whether or not such trade secrets or proprietary data rests solely with the Department of Administrative Services.

The State will retain all Proposals, or a copy of them, as part of the Contract file for at least ten (10) years. After the retention period, the State may return, destroy, or otherwise dispose of the Proposals or the copies.

WAIVER OF DEFECTS. The State may waive any defects in any Proposal or in the submission process followed by an Offeror. The State will only do so if it believes that it is in the State's interests and will not cause any material unfairness to other Offerors.

MULTIPLE OR ALTERNATE PROPOSALS. The State accepts multiple Proposals from a single Offeror, but the State requires each such Proposal to be submitted separately from every other Proposal the Offeror makes. Additionally, the Offeror must treat every Proposal submitted as a separate and distinct submission and include in each Proposal all materials, information, documentation and other items this RFP requires for a Proposal to be complete and acceptable. No alternate Proposal may incorporate materials by reference from another Proposal made by the Offeror or refer to another Proposal. The State will judge each alternate Proposal on its own merit.

ADDENDA TO PROPOSALS. Addenda or withdrawals of Proposals will be allowed only if the addendum or withdrawal is received before the Proposal due date. No addenda or withdrawals will be permitted after the due date, except as authorized by this RFP.

PROPOSAL INSTRUCTIONS. Each Proposal must be organized in an indexed binder with its contents ordered in the same manner as the response items are ordered in the applicable attachment(s) to this RFP.

The State wants clear and concise Proposals. Offerors should, however, take care to completely answer questions and meet the RFP's requirements thoroughly. All Offerors, including current contract holders, if applicable, must provide detailed and complete responses as Proposal evaluations, and subsequent scores, are based solely on the content of the Proposal. No assumptions will be made or values assigned for the competency of the Offeror whether or not the Offeror is a current or previous contract holder.

The requirements for the Proposal's contents and formatting are contained in an attachment to this RFP.

The State will not be liable for any costs incurred by an Offeror in responding to this RFP, regardless of whether the State awards the Contract through this process, decides not to go forward with the Project, cancels this RFP for any reason, or contracts for the Project through some other process or by issuing another RFP.

## PART FOUR: EVALUATION OF PROPOSALS

DISCLOSURE OF PROPOSAL CONTENTS. The State will seek to open the Proposals in a manner that avoids disclosing their contents. Additionally, the State will seek to keep the contents of all Proposals confidential until the Contract is awarded. The State will prepare a registry of Proposals containing the name and address of each Offeror. That registry will be open for public inspection after the Proposals are opened.

REJECTION OF PROPOSALS. The State may reject any Proposal that is not in the required format, does not address all the requirements of this RFP, or that the State believes is excessive in price or otherwise not in its interests to consider or to accept. In addition, the State may cancel this RFP, reject all the Proposals, and seek to do the Project through a new RFP or other means.

EVALUATION OF PROPOSALS GENERALLY. The evaluation process may consist of up to four distinct phases:

1. The procurement representative's initial review of all Proposals for defects;
2. The State's evaluation of the Proposals;
3. Request for more information (interviews, presentations, and/or demonstrations); and
4. Negotiations.

The State may decide whether phases three and four are necessary. The State has the right to eliminate or add phases three or four at any time in the evaluation process. The State also may add or remove sub-phases to phases 2 through 4 at anytime if the State believes doing so will improve the evaluation process.

REFERENCE CHECKS. The State may conduct reference checks to verify and validate the Offeror's or proposed candidate's past performance. Reference checks indicating poor or failed performance by the Offeror or proposed candidate may be cause for rejection of the proposal. In addition, failure to provide requested reference contact information may result in the State not including the reference experience in the evaluation process.

The reference evaluation will measure the criteria contained in this part of the RFP as it relates to the Offeror's previous contract performance including but not limited to its performance with other local, state and federal entities. The State reserves the right to check references other than those provided in the Offeror's Proposal. The State may obtain information relevant to criteria in this part of the RFP, which is deemed critical to not only the successful operation and management of the Project, but also the working relationship between the State and the Offeror.

To maintain fairness in the evaluation process, all information sought by the State will be obtained in a manner such that no Offeror is provided an unfair competitive advantage.

INITIAL REVIEW. The procurement representative will review all Proposals for their format and completeness. The procurement representative normally rejects any incomplete or incorrectly formatted Proposal, though he or she may waive any defects or allow an Offeror to submit a correction.

If the Auditor of State does not certify a proposal due to lateness, the procurement representative will not open it or evaluate it for format or completeness.

The procurement representative will forward all timely, complete, and properly formatted Proposals to an evaluation committee, which the procurement representative will chair.

COMMITTEE REVIEW OF THE PROPOSALS. The evaluation committee will evaluate each Proposal that the procurement representative has determined is timely, complete and properly formatted. The evaluation will be according to the criteria contained in this Part of the RFP. An attachment to this RFP may further refine these criteria, and the committee has a right to break these criteria into components and weight any components of a criterion according to their perceived importance.

The committee may also have the Proposals or portions of them reviewed and evaluated by independent third parties or various State personnel with technical or professional experience that relates to the Work or to a criterion in the evaluation process. The committee may also seek reviews of end users of the Work or the advice or evaluations of various State committees that have subject matter expertise or an interest in the Work. In seeking such reviews, evaluations, and advice, the committee will first decide how to incorporate the results in the scoring of the Proposals. The State may adopt or reject any recommendations it receives from such reviews and evaluations.

The evaluation will result in a point total being calculated for each Proposal. At the sole discretion of the State, any Proposal, in which the Offeror received a significant number of zeros for sections in the technical portions of the evaluation, may be rejected. Those Offerors submitting the highest-rated Proposals may be scheduled for the next phase. The number of

Proposals forwarded to the next phase will be within the committee's discretion, but regardless of the number of Proposals selected for the next phase, they will always be the highest rated Proposals from this phase.

At any time during this phase, the committee may ask an Offeror to correct, revise, or clarify any portions of its Proposal. The State will document any major decisions in writing and make these a part of the Contract file along with the evaluation results for each Proposal considered.

PROPOSAL EVALUATION CRITERIA:

MANDATORY REQUIREMENTS. In the Proposal evaluation phase, the committee will rate the Proposals submitted in response to this RFP based on the following criteria and weight assigned to each criterion. If the Offeror meets the mandatory requirements in the first table, the Offeror's Proposal will be included in the next part of this evaluation phase in the second table.

In the event of a case where no Proposal meets all the mandatory requirements, it may be that an upper ranking Proposal contains a failure to meet a mandatory requirement that the State believes is critical to the success of the RFP's objectives. When this is so, the State may reject that Proposal and consider lower ranking Proposals. Before doing so, the State must notify the Offeror of the situation and give the Offeror an opportunity to cure the critical mandatory requirement.

If the Offeror cures its failure to meet a critical mandatory requirement, its Proposal will continue to be considered. If the Offeror is unwilling or unable to cure the failure, its Proposal may be rejected. The State then may continue to consider the other remaining Proposals including, if the State so chooses, proposals that ranked lower than the rejected Proposal.

TABLE ONE – MANDATORY REQUIREMENTS

Mandatory Requirements	Reject	Accept
The Offeror must submit the proposal in the format and manner specified in this RFP.		
The Offeror must demonstrate in the Cover Letter that it has an understanding of the Breast and Cervical Cancer Project Third Party Administrator work requirements and standards expected.		
The Offeror must demonstrate its ability to obtain a fidelity bond in the amount of \$4,000,000.		

TECHNICAL REQUIREMENTS, EVALUATION AND SCORING.

In the technical Proposal evaluation phase, the committee will rate the Proposals submitted in response to the RFP based upon the following criteria and weight assigned to each criterion.

DOES NOT MEET 0 POINTS	WEAK 1 POINT	MODERATE 2 POINTS	MEETS 3 POINTS	EXCEEDS 4 POINTS	GREATLY EXCEEDS 5 POINTS
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The Evaluation Committee will rank the Proposals by multiplying the score received in each category by its assigned weight and add all categories together for the Offeror's total technical score. Representative numerical values are defined as follows:

1. Does Not Meet (0): Proposal does not comply substantially with requirements. The proposal does not demonstrate the Offeror's knowledge of the subject matter.
2. Weak (1): Proposal minimally meets most requirements. The Offeror has only demonstrated knowledge of the subject matter.
3. Moderate (2): Proposal meets the requirements in an adequate manner and demonstrates an ability to comply with the guidelines, parameters, and requirements with no additional information put forth by Offeror. Any minor weaknesses or deviations from requirements may be readily corrected or minimized in terms of material impact.

4. Meets (3): Proposal meets the requirements and demonstrates in a clear and concise manner a thorough knowledge and understanding of the subject matter. This response demonstrates an average performance with no apparent deficiencies noted.
5. Exceeds (4): Proposal exceeds objectives (or expectations) in ways that are beneficial to the State and contains at least one enhancing feature that provides some benefit to the State. Proposal provides useful information, while showing experience and knowledge within the category. Proposal provides insight into the Offeror's expertise, knowledge and understanding of the subject matter.
6. Greatly Exceeds (5): Proposal response is a highly comprehensive reply that exceeds the requirement within the category and contains at least one enhancing feature that provides significant benefits. In addition, the response covers areas not originally addressed within the RFP and includes additional information and recommendations that would prove valuable and beneficial to the State. The response is an excellent standard, demonstrating the Offeror's authoritative knowledge and understanding of the project.

TABLE TWO – TECHNICAL REQUIREMENTS

The State will rank the Proposals by multiplying the score received in each category by its assigned weight and adding all categories together for the Offeror's total technical score.

Personnel Requirements	Weight	Rating (0=Does Not Meet to 5=Greatly Exceeds)	Score
1. Describe the key personnel to be involved in the Work, including qualifications, and amount of each person's time to be spent on a daily, weekly, monthly and yearly basis performing the Work. Demonstrate that Offeror employs sufficient personnel with sufficient skills and experience to accomplish the proposed Work. Describe the specific experience of key staff in projects of a similar nature to the Work. Complete Attachments 7A, 7B, and 7C for key personnel and attach resume of Project Manager.	10		
2. Provide a Project Manager who has specific experience with medical claims payments, processing, reporting and tracking of claims or with BCCP projects or other projects of a similar nature.	10		
Offeror References	Weight	Rating (0=Does Not Meet to 5=Greatly Exceeds)	Score
3. Submit three references describing Offeror's ability to perform the Work, and for which the provider has served as TPA: processing a minimum of \$2 million each year in medical claims, payments, and reporting and tracking of claims or reimbursements; or If applicable, the Offeror must explain why fewer than three (3) references were provided. (Attachment Six)	10		

Scope of Work	Weight	Rating (0=Does Not Meet to 5=Greatly Exceeds)	Score
4. Demonstrate that the Offeror understands the requirements of the project as described in Attachment One Part One Work Requirements.	5		
5. Provide a comprehensive plan of work that describes in detail how the Offeror proposes to accomplish this project. Describe the methods, processes and procedures the Offeror will use to implement and produce the Work. Include measurable indicators and a timeline in the plan of work to address and complete the Scope of Work.	15		
6. Describe any anticipated obstacles in performing the Work, or obstacles to meeting project requirements specified in the Scope of Work. Document proposed solutions to those difficulties.	5		
7. Include measurable indicators and a timeline in the plan of work to address and complete the Scope of Work. (e.g., Gantt Chart) The Offeror must describe any anticipated difficulties in performing the specified project requirements and document proposed solutions to those difficulties.	5		
8. The resources Offeror will use to meet the Program Requirements and Approach.	5		
9. The Offeror must describe a contingency plan, should the key project personnel become unavailable to work on this project for any reason.	5		
10. The Offeror must demonstrate it has sufficient resources to meet the requirements and deadlines required by the Work, including sufficient office facilities, technology, support mechanisms, financial stability and capacity; sufficient time commitment by its staff.	5		
Reporting Requirements	Weight	Rating (0=Does Not Meet to 5=Greatly Exceeds)	Score
11. The Offeror must demonstrate its ability to perform and comply with reporting procedures outlined in the Scope of Work, and required for the successful completion of the Work.	15		
12. The Offeror must demonstrate the ability to produce and provide data reports electronically and/or in hard copy as required in the Scope of Work.	10		
<b>Total Technical Points</b>			

**PROPOSAL EVALUATION.** Once the technical merits of the Proposal have been evaluated, the committee will rank the proposals. The evaluation committee will rank the Proposals by multiplying the score received in each category by its assigned weight and adding all categories together for the Offeror's total technical score. The scoring values shown, 0 through 5, are targets for each category. The actual number values awarded may be higher or lower (not lower than 0 or higher than 5) based on the evaluation committee's determination.

COST POINT CALCULATION.

The Offeror's cost points are calculated using the following formula:

Cost Points = (lowest Offeror's cost/Offeror's cost) x 50 possible Cost Points.

The number of points assigned to the cost evaluation will be prorated, with the lowest accepted cost proposal given the maximum number of points possible for this criterion. Other acceptable cost proposals will be scored as the ratio of the lowest price proposal to the proposal being scored, multiplied by the maximum number of points possible for this criterion.

TOTAL POINTS. The Offeror with the highest point total from all phases of the evaluation (Technical Merit Points + Cost Points) will be recommended for the next phase of the evaluation (Refer to Table Three below). If the committee finds that one or more Proposals should be given further consideration, the committee may select one or more of the highest scoring Proposals to move to the next phase. The committee may alternatively choose to bypass any or all subsequent phases and make an award based solely on the evaluation phase.

TABLE THREE –COMBINED EVALUATION SCORES

Criteria	"Meets" Allowable Points	Actual Points
Proposal technical requirements	300	
Proposal Cost	50	
Total	350	

Technical points are attainable by scoring 3 ("meets") points, multiplied by corresponding weight, for each of the items in the Technical Proposal section.

SELECTION PROCESS. This RFP asks for responses and submissions from Offerors, most of which represent components of the above criteria. While each criterion represents only a part of the total basis for a decision to award the Contract to an Offeror, a failure by an Offeror to make a required submission or meet a mandatory requirement will normally result in a rejection of that Offeror's Proposal. The value assigned above to each criterion is only a value used to determine which Proposal is the most advantageous to the State. It is not a basis for determining the importance of meeting any requirement to participate in the Proposal process.

If the State does not receive any Proposals that meet all the mandatory requirements, the State may cancel this RFP. Alternatively, if the committee believes it is in the State's interest, the State may evaluate the Proposals despite their failure to meet all the mandatory requirements. In doing this, the State may consider one or more of the highest-ranking Proposals. The State may not consider any lower-ranking Proposals unless all Proposals ranked above it are also considered, except as provided below.

If the Offeror cures its failure to meet a critical mandatory requirement, its Proposal will continue to be considered. If the Offeror is unwilling or unable to cure the failure, its Proposal may be rejected. The committee then may continue to consider the other remaining Proposals, including, if the committee so chooses, proposals that ranked lower than the rejected Proposal.

FINANCIAL ABILITY. Part of the Proposal evaluation criteria is the qualifications of the Offeror which include, as a component, the Offeror's financial ability to perform the Contract. This RFP may expressly require the submission of financial statements from all Offerors in the Proposal contents attachment. If the Proposal does not make this an expressed requirement, the State may still insist that an Offeror submit reviewed or audited financial statements for up to the past three (3) years if the State is concerned that an Offeror may not have the financial ability to carry out the Contract.

In evaluating an Offeror's financial ability, the weight the State assigns, if any, to that financial ability will depend on whether the Offeror's financial position is adequate or inadequate. That is, if the Offeror's financial ability is adequate, the value assigned to the Offeror's relative financial ability in relation to other Offerors may or may not be significant, depending on the nature of the Work. If the State believes the Offeror's financial ability is not adequate, the State may reject the Proposal despite its other merits.

DETERMINATION OF RESPONSIBILITY. The State may review the highest-ranking Offeror or its key team members to ensure that the Offeror is responsible. The Contract may not be awarded to an Offeror that is determined not to be responsible. The State's determination of an Offeror's responsibility may include, but is not limited to, the following factors: the experience of the Offeror and its key team members; past conduct on previous contracts; past performance on previous contracts; ability to execute this contract properly; and management skill. The State will make such determination of responsibility based on the Offeror's Proposal, reference evaluations and any other information the State requests or determines to be relevant.

CLARIFICATIONS & CORRECTIONS. During the evaluation process, the State may request clarifications from any Offeror under active consideration and may give any Offeror the opportunity to correct defects in its Proposal if the State believes doing so does not result in an unfair advantage for the Offeror and it is in the State's interests. Any clarification response that is broader in scope than what the State has requested may result in the Offeror's proposal being disqualified.

INTERVIEWS, DEMONSTRATION, AND PRESENTATIONS. The State may require top Offerors to be interviewed. Such presentations, demonstrations, and interviews will provide the Offeror with an opportunity to clarify its Proposal and to ensure a mutual understanding of the Proposal's content. This will also allow the State an opportunity to test or probe the professionalism, qualifications skills and work knowledge of the proposed candidates. The presentations, demonstrations, and interviews will be scheduled at the convenience and discretion of the State. The State may record any presentations, demonstrations, and interviews. The State normally will not rank interviews, demonstrations, and presentations. Rather, the State may decide to revise its existing proposal evaluations based on the interviews, demonstrations, and/or presentations.

CONTRACT NEGOTIATIONS. The final phase of the evaluation process may be contract negotiations. It is entirely within the discretion of the State whether to permit negotiations. An Offeror must not submit a Proposal assuming that there will be an opportunity to negotiate any aspect of the Proposal. The State is free to limit negotiations to particular aspects of any Proposal, to limit the Offerors with whom the State wants to negotiate, and to dispense with negotiations entirely. Negotiations will be scheduled at the convenience of the State. The selected Offeror(s) are expected to negotiate in good faith.

Negotiations may be conducted with any Offeror who submits a competitive Proposal, but the State may limit discussions to specific aspects of the RFP. Any clarifications, corrections, or negotiated revisions that may occur during the negotiations phase will be reduced to writing and incorporated in the RFP or the Offeror's Proposal, as appropriate. Any Offeror whose response continues to be competitive will be accorded fair and equal treatment with respect to any clarification, correction, or revision of the RFP and will be given the opportunity to negotiate revisions to its Proposal based on the amended RFP. Should the evaluation process have resulted in a top-ranked Proposal, the State may limit negotiations to only that Offeror and not hold negotiations with any lower-ranking Offeror. If negotiations are unsuccessful with the top-ranked Offeror, the State may then go down the line of remaining Offerors, according to rank, and negotiate with the next highest-ranking Offeror. Lower-ranking Offerors do not have a right to participate in negotiations conducted in such a manner.

If the State decides to negotiate with all the remaining Offerors, or decides that negotiations with the top-ranked Offeror are not satisfactory and negotiates with one or more of the lower-ranking Offerors, the State will then determine if an adjustment in the ranking of the remaining Offerors is appropriate based on the negotiations. The Contract award, if any, will then be based on the final ranking of Offerors, as adjusted.

Auction techniques that reveal one Offeror's price to another or disclose any other material information derived from competing Proposals are prohibited. Any oral modification of a Proposal will be reduced to writing by the Offeror as described below.

Following negotiations, the State may set a date and time for the submission of best and final Proposals by the remaining Offeror(s) with which the State conducted negotiations. If negotiations were limited and all changes were reduced to signed writings during negotiations, the State need not require the submissions of best and final Proposals.

If best and final Proposals are required, they may be submitted only once; unless the State makes a written determination that it is in the State's interest to conduct additional negotiations. In such cases, the State may require another submission of best and final Proposals. Otherwise, discussion of or changes in the best and final Proposals will not be allowed. If an Offeror does not submit a best and final Proposal, the Offeror's previous Proposal will be considered the Offeror's best and final Proposal.

It is entirely within the discretion of the State whether to permit negotiations. An Offeror must not submit a Proposal assuming that there will be an opportunity to negotiate any aspect of the Proposal. The State is free to limit negotiations to particular aspects of any Proposal, to limit the Offerors with whom the State wants to negotiate, or to dispense with negotiations entirely.

The State generally will not rank negotiations. The negotiations will normally be held to correct deficiencies in the preferred Offeror's Proposal. If negotiations fail with the preferred Offeror, the State may negotiate with the next Offeror in ranking. Alternatively, the State may decide that it is in the interests of the State to negotiate with all the remaining Offerors to determine if negotiations lead to an adjustment in the ranking of the remaining Offerors.

From the opening of the Proposals to the award of the Contract, everyone working on behalf of the State to evaluate the Proposals will seek to limit access to information contained in the Proposals solely to those people with a need to know the information. They will also seek to keep this information away from other Offerors, and the evaluation committee will not be allowed to tell one Offeror about the contents of another Offeror's Proposal in order to gain a negotiating advantage.

Before the award of the Contract or cancellation of the RFP, any Offeror that seeks to gain access to the contents of another Offeror's Proposal may be disqualified from further consideration.

FAILURE TO NEGOTIATE. If an Offeror fails to provide the necessary information for negotiations in a timely manner, or fails to negotiate in good faith, the State may terminate negotiations with that Offeror and collect on the Offeror's bid bond, if a bid bond was required in order to respond to this RFP.

## PART FIVE: AWARD OF THE CONTRACT

CONTRACT AWARD. The State plans to award the Contract based on the schedule in the RFP, if the State decides the Project is in its best interests and has not changed the award date.

The signature page for the contract is included as Attachment Four of this RFP. In order for an Offeror's proposal to remain under active consideration, the Offeror must sign and return the signed Contracts to the State with its response. Submittal of a signed Contract does not imply that an Offeror will be awarded the Contract. In awarding the Contract, the State will issue an award letter to the selected Offeror. The Contract will not be binding on the State until the State's duly authorized representative signs both copies and returns one to the Contractor, the State issues a purchase order, and all other prerequisites identified in the Contract have occurred.

The State expects the Contractor to commence work within five (5) working days after the State issues a purchase order under the Contract. If the State awards a Contract pursuant to this RFP and the Contractor is unable or unwilling to commence the Work within a reasonable amount of time after Contract award, the State reserves the right to cancel the Contract and return to the original RFP process and evaluate any remaining Offeror Proposals reasonably susceptible of being selected for award of the Contract. The evaluation process will resume with the next highest ranking, viable Proposal.

CONTRACT. If this RFP results in a Contract award, the Contract will consist of this RFP including all attachments, written addenda to this RFP, the Contractor's accepted Proposal, and written, authorized addenda to the Contractor's Proposal. It will also include any materials incorporated by reference in the above documents and any purchase orders and change orders issued under the Contract. The form of the Contract is attached as a one-page attachment to this RFP, but it incorporates all the documents identified above. The general terms and conditions for the Contract are contained in Attachment Three of this RFP. If there are conflicting provisions between the documents that make up the Contract, the order of precedence for the documents is as follows:

1. This RFP, as amended;
2. The documents and materials incorporated by reference in the RFP;
3. The Contractor's proposal, as amended, clarified, and accepted by the State; and
4. The documents and materials incorporated by reference in the Contractor's Proposal.

Notwithstanding the order listed above, change orders and addenda issued after the Contract is executed may expressly change the provisions of the Contract. If they do so expressly, then the most recent of them will take precedence over anything else that is part of the Contract.

ATTACHMENT ONE: WORK REQUIREMENTS AND SPECIAL PROVISIONS  
PART ONE: WORK REQUIREMENTS

This attachment describes the Project and what the Contractor must do to complete the Project satisfactorily. It also describes what the Contractor must deliver as part of the completed Project (the "Deliverables"), and it gives a recommendation of the Project's schedule.

- I. SCOPE OF WORK. The State is seeking a Third Party Administrator (TPA) to provide prompt processing and reimbursement of claims for the Ohio BCCP. The successful Offeror must provide weekly processing and reimbursement of approximately 30,000 bills totaling \$3,147,000 to approximately 700 medical providers contracted with the Ohio BCCP. These monies are screening and diagnostic service dollars allocated to pay bills for mammograms, Pap tests, clinical visits, surgical consultations, ultrasounds, colposcopy, and other BCCP-approved services according to reimbursement rates provided by ODH.

In addition, the successful Offeror must:

1. Create and or maintain a database of ODH BCCP contracted provider names, addresses, and tax identification numbers (information to be provided by ODH).
2. Identify and use an appropriate database for billings, claim data, and reports.
3. Guarantee and maintain strict confidentiality of all BCCP participant information, including personal health information (PHI).
4. Process claims and reimburse medical providers promptly.
5. Commence payments to providers within ten (10) business days after the issuance of an ODH approved purchase order for service payment dollars.
6. Implement a weekly schedule to issue payment and Provider Reimbursement Statement within 10 business days from the receipt of the each bill.
7. Ensure that payment is made only for those bills with CPT codes allowed by the Ohio BCCP (See Attachment Eleven B).
8. Confirm that payees are BCCP-contracted prior to payment.
9. Confirm bills submitted by the local BCCP regional enrollment agencies are for approved services, per current BCCP approved services list prior to payment. The reimbursable services include screening and diagnostic procedures related to breast and cervical cancer, and associated clinic visits. ODH BCCP updates services and rates annually (See Attachment Eleven-B).
10. Pay bills submitted only by authorized BCCP staff at the local BCCP regional enrollment agencies or ODH, per signature cards provided by the ODH.
11. Resolve problems or discrepancies in billing or payment in a timely manner.
12. Submit only those claims that meet the requirements of this section.
13. Submit any questionable claims to the ODH Contract Manager or her designee(s) for approval prior to submitting a claim for reimbursement.
14. Prepare Internal Revenue Service (IRS) 1099 forms sending them to the appropriate Provider who received payment, within applicable IRS regulation and guidelines. Copies of the Providers IRS 1099 must be sent to the ODH.
15. Assist in monitoring the dates of billing and service in order to more accurately manage fund if requested by the State.
16. Reimburse BCCP providers in the form of a check.
17. Replace lost checks in accordance with normal practice. Replacement must be mailed within ten (10) business days after notice is required.

18. In the event of an overpayment to a participating provider, pursue such overpayment, to a participating provider, up to and including reducing subsequent payments to cover the amount of the overpayment.
19. Deny payment of a bill if the documentation needed to process a payment is incorrect or incomplete, or if the bill is not approved by authorized BCCP staff.
20. Reimburse providers for services performed up to ninety (90) days prior to the effective date of this contract.
21. Meet deadlines for submission of required data and reports to the ODH Contract Manager.
22. Hold all the project's service dollars in a dedicated, non-interest-bearing account. Any funds remaining on June 29, 2010 must be returned to ODH according to written direction from ODH.
23. Submit weekly, monthly and annual reports, including but not limited to:
  - A. Weekly Electronic Reports, corresponding with each weekly payment and provide them to ODH by the first business day of the following week. The reports shall contain the following:
    - i. Region number and name
    - ii. Total service dollars
    - iii. Adjustments
    - iv. Total service dollars after adjustments
    - v. Funds paid
    - vi. Funds paid to date
    - vii. Funds remaining
    - viii. Percent remaining
    - ix. Refunds
  - B. Weekly Written Reports to the 11 regional enrollment agencies detailing each week payment containing the following:
    - i. Provider name and tax identification number
    - ii. Client name
    - iii. Client date of birth
    - iv. Client social security number
    - v. Client account number (if applicable)
    - vi. Date of service
    - vii. Current Procedural Terminology (CPT) code
    - viii. Amount paid
    - ix. Date paid
    - x. Method of payment
  - C. Monthly Written Reports to the ODH BCCP staff by the 10<sup>th</sup> of the following month comprised of a list of clients for each of the 11 regions, including:
    - i. Client social security number
    - ii. Client name
    - iii. Client account number; (if applicable)
    - iv. Current Procedural Terminology (CPT code) for the service paid
    - v. Date of service
    - vi. Medicare Part B reimbursement rate paid to provider
    - vii. Actual amount charged by the provider
    - viii. Variance between the two rates
  - D. Annual CPT Report to ODH BCCP Staff. Provide an annual CPT report to ODH BCCP Staff 10 business days after completing all payments for a grant year that details number of claims paid per CPT code and amount paid per CPT code.
  - E. Other reports as requested by ODH.
24. Submit invoices monthly for TPA services, that includes but is not limited to:
  - A. Services provided;
  - B. Dates services provided;
  - C. Amount of claims paid for that quarter;
  - D. Amount of payment due.

25. Institute quality assurance measures to minimize and prevent errors made in billing and reimbursement transactions. Errors identified by TPA, ODH or regional enrollment agency staff members must be resolved within ten (10) days of notification of the error. In the case of a payment error, regional staff must be notified when the corrected payment has been made to the provider. An error is defined as one that necessitating corrective action and includes:
  - A. Incorrect payment amounts;
  - B. Payments made to incorrect providers;
  - C. Missed payments;
  - D. Payment for CPT codes not on the BCCP approved list;
  - E. Incorrect regional assignment of clients, etc.
26. In the case of a payment error, regional staff must be notified when the corrected payment has been made to the provider. An error is defined as one that necessitates corrective action and includes, but is not limited to, incorrect payment amounts, payments made to incorrect providers, missed payments, payment for CPT codes not on the BCCP approved list and incorrect regional assignment of clients, etc.
27. Provide staff as necessary to complete the work and satisfy the terms of the Contract. The TPA is responsible for employing back-up or additional staff as needed to prevent backlogs or delays in payment.
28. Consult with the ODH Contract Manager as necessary to assure mutual understanding of the Work performed and its satisfactory completion.
29. Respond within ten (10) days to specific instructions and requests from ODH concerning Contract compliance and performance. Instructions and requests shall be for the sole purpose of ensuring satisfactory completion of the Work described in this document. Request for information or instructions from ODH are not intended to amend or alter the Contract or Scope of Work. The ODH Contract Manager shall communicate all such instructions and requests to the Contractor.
30. Reimburse providers for services performed up to ninety (90) days prior to the effective date of this Contract.
31. Fidelity Bond – the successful Offeror must provide evidence of its ability to obtain a fidelity bond in the amount of four million dollars (\$4,000,000).

II. Deliverables. The successful Offeror must :

1. Describe its Organizational Capacity and Capability. The ability of the Offeror to successfully meet the requirements should be detailed. Proposals must include a discussion of the organizational structure that will be used to support these requirements, including the assigned personnel and their management reporting structure. The requirements that the Offeror must address are listed in this RFP's Scope of Work which includes but is not limited to:
  - A. Experience as a Third Party Administrator providing the review, processing and reimbursement of claims to medical providers according to Medicare Part B reimbursement rates.
  - B. Ability to coordinate with 11 regional project lead agencies and approximately 750 providers. The providers services approximately 18,000 women annually who are screened in the BCCP program and receives multiple services through their medical providers. An estimated 30,000 to 40,000 provider invoices are paid each year.
  - C. Staffing plan with the roles and resumes of the people the Offeror proposes for the performance of the services.
  - D. Description of the organization's structure and how the proposed Offeror's program will fit into the existing structure.
  - E. Ensuring confidentiality of all program participants.
  - F. Ability to administer approximately \$3 - \$3.2 million in service dollars yearly during the contract period starting June 30, 2009 through June 29, 2010.
  - G. Detailing its ability to provide Electronic Funds Transfer as a form of payment to providers in the event the state decides to utilize such a service.

2. Describe its Prior Experience. The Offeror should detail relevant, recent past performance on similar efforts including for whom the work was done, the scope of the effort, and any program references that may assist in verifying the quality of the work performed.
3. Provide in the Work Plan. The plan must address the approach the methods and procedures it will use to accomplish all tasks delineated in the Statement of Work.
4. Identify Reporting Requirements. The Offeror must describe what its approach would be to the weekly, monthly and yearly reports to state program manager, weekly reports to the 11 regional project lead agencies and the preparation and disbursement of the Internal Revenue Service (IRS) 1099 forms to providers with a copy for the state.
5. Meeting Attendance and Reporting Requirements. The successful Offeror must adhere to the meeting and reporting requirements including but not limited to:
  - A. A pre-performance meeting is required to select the reporting format, review performance requirements and method of reporting.
  - B. Reports listed must be submitted to the state contract manager and the Providers as detailed in the Scope of Work. These reports shall detail the progress, adjustments and assistance needed to successfully manage this project.
  - C. Weekly or Monthly conference calls or face-to-face meetings to discuss activities may be required.
  - D. Immediate Reporting – The Project Manager or his or her designee must immediately report any key Project Team staffing changes to the Agency Project Representative. (See: Attachment Three: Part Two: Replacement Personnel).
  - E. The Contractor shall submit all electronic data (e.g., documents, reports, etc.) using software compatible with those used by the Ohio Department of Health and must be approved by the ODH contract manager.
  - F. The Contractor must consult with the ODH contract manager, as necessary, to ensure mutual understanding of the work to be performed and its satisfactory completion.
  - G. The Contractor will be required to meet the ODH BCCP staff a minimum for four (4) times per year. The Contractor may be required to travel to the ODH Office in Columbus, OH.

ATTACHMENT ONE: WORK REQUIREMENTS AND SPECIAL PROVISIONS  
PART TWO: SPECIAL PROVISIONS

HEALTH INSURANCE PORTABILITY & ACCOUNTABILITY ACT (HIPAA) REQUIREMENTS. As a condition of receiving a contract from the State, the Contractor, and any subcontractor(s), will be required to comply with 42 U.S.C. Sections 1320d through 1320d-8, and to implement regulations at 45 C.F.R. Section 164.502 (e) and 164.504 (e) [relating to privacy] and 164.308 and 164.314 [relating to security] regarding disclosure and safeguarding of protected health information under the Health Insurance Portability and Accountability Act (HIPAA) of 1996 as amended.

Protected Health Information (PHI) is information received by the Contractor from or on behalf of the State Department of Administrative Services that meets the definition of PHI as defined by HIPAA and the regulations promulgated by the United States Department of Health & Human Services, specifically 45 C.F.R. 164.501 and any addenda thereto.

HIPAA compliance requires, at minimum, that the Contractor:

1. Shall not use or disclose PHI except as specifically required under the terms of the Contract with the State Department of Administrative Services, or as otherwise required under the HIPAA regulations or other applicable law Contractor shall use the minimum amount of data necessary to accomplish the objectives of the Contract.
2. Shall use appropriate safeguards to protect against use or disclosure not provided for by this Agreement, and shall employ appropriate technical and physical safeguards to protect the integrity and accessibility of the data. Any data that contains patient specific information that leaves the organization's firewall must be encrypted.
3. Shall promptly report to the State any knowledge of uses or disclosures of PHI that are not in accordance with the Contract or applicable law, and shall report any security incidents relating to the data. In addition, the Contractor shall mitigate any adverse effects of such a breach to the extent possible.
4. Shall ensure that all its agents and subcontractors that receive PHI from or on behalf of the Contractor and/or the State Department of Administrative Services agree to the same restrictions and conditions that apply to contractor with respect to the use or disclosure of PHI.
5. Shall make available to the State Department of Administrative Services such information as the State Department of Administrative Services may require fulfilling its obligations to provide access to, provide a copy of, and account for disclosures with respect to PHI pursuant to HIPAA and related regulations.
6. Shall make PHI available to the State Department of Administrative Services in order for the State Department of Administrative Services to fulfill its obligations pursuant to HIPAA to amend the information and shall, as directed by the State Department of Administrative Services, incorporate any amendments into the information held by the Contractor and ensure incorporation of any such amendments into information held by its agents or subcontractors.
7. Shall make available its internal practices, books and records relating to the use and disclosure of PHI received from the State Department of Administrative Services, or created and received by the Contractor on behalf of the Ohio Department of Administrative Services, to the Ohio Department of Administrative Services and to the Secretary of the U.S. Department of Health and Human Services for the purpose of determining the State's Department of Administrative Services compliance with HIPAA and the regulations promulgated by the United States Department of Health & Human Services and any amendment thereto.
8. Shall, upon termination of this Agreement, at the option of the State Department of Administrative Services, return to the State Department of Administrative Services, or destroy, all PHI in its possession, and keep no copies of the information except as requested by the State Department of Administrative Services or required by law.
9. If the Contractor or its agent or subcontractor destroys any PHI, then the contractor will provide the State Department of Administrative Services with documentation evidencing such destruction. Any PHI maintained by the Contractor shall continue to be extended the same as required by HIPAA and the State Department of Administrative Services for as long as it is maintained.

In the event of a material breach of contractor obligations under this section, the State Department of Administrative Services may at its option terminate the Contract according to provisions within the Contract for termination.

THE CONTRACTOR'S FEE STRUCTURE. Contractors are to follow state observed holidays and work schedules unless otherwise agreed to between the Contractor and the Agency. The State will not make payment for time charged to vacation, sick leave or other paid leave of absence, holidays, training or staff development of Offeror's personnel or other non-work related activity.

The Contractor shall be compensated at the agreed to "not to exceed cost" after the Ohio Department of Administrative Services accepts all monthly and end of project reports.

The State may negotiate a payment schedule which may include accepting Offeror invoices after satisfactory completion of each of the contract deliverables, for the cost, as represented on the selected Offeror's Cost Proposal, of the completed deliverable(s), or may permit interim payment points based on progress toward deliverable completion for amounts not to exceed the Cost Proposal price for each deliverable.

BILLINGS/INVOICING. Each invoice must contain the following information:

1. Contractor's name and address;
2. Contractor's federal tax identification number as designated in the Contract;
3. Agency purchase order number and contract number;
4. Itemized billing as shown on the agency purchase order;
5. Service provided;
6. Dates of services;
7. Remit to address.

REIMBURSABLE EXPENSES. None.

TRAVEL EXPENSES. Any travel or per diem required by the prospective vendor to carry out its obligations under the Contract shall be at the prospective vendor's expense.

BILL TO ADDRESS.

Contract Management Unit  
Ohio Department of Health  
246 N. High Street  
Columbus, OH 43216

## ATTACHMENT TWO: REQUIREMENTS FOR PROPOSALS

**PROPOSAL FORMAT.** Each Proposal must include sufficient data to allow the State to verify the total cost for the Project and all of the Offeror's claims of meeting the RFP's requirements. Each Proposal must respond to every request for information in this attachment whether the request requires a simple "yes" or "no" or requires a detailed explanation. Simply repeating the RFP's requirement and agreeing to comply will be an unacceptable response and may cause the Proposal to be rejected.

These instructions describe the required format for a responsive Proposal. The Offeror may include any additional information it believes is relevant. An identifiable tab sheet must precede each section of a Proposal, and each Proposal must follow the format outlined below. All pages, except pre-printed technical inserts, must be sequentially numbered. Any material deviation from the format outlined below may result in a rejection of the non-conforming Proposal.

Each Proposal must contain the following information in chronological order as stated below:

1. Cover Letter
2. Certification
3. Offeror Disclosure of Location of Services and Data
4. Contract Performance
5. Offeror Profile and Prior Projects
6. Offeror References
7. Staffing Plan
8. Personnel Profile Summary
9. Work Plan
10. Support Requirements
11. Conflict of Interest Statements
12. Payment Address
13. Proof of Insurance
14. W-9 Form & Additional Vendor Information Forms
15. Declaration Regarding Material Assistance (DMA) Form
16. Signed Contracts
17. Affirmative Action Plan
18. Assumptions
19. Cost Summary

### REQUIREMENTS.

1. **Cover Letter.** The cover letter must be in the form of a standard business letter and must be signed by an individual authorized to legally bind the Offeror. The cover letter shall provide an executive summary of the solution the Offeror plans to provide. Offeror shall address each and every mandatory requirement as specified in the First Table, Mandatory Offeror Requirements, of Part Four, Evaluation of Proposals. Offeror shall explain its understanding and agreement with the goals and objectives as outlined in the Scope of Work and Deliverables Attachment One, Part One. Further, if applicable, the Offeror shall indicate whether its Proposal addresses any optional requirements that may be required. The letter must also have the following:
  - a. A statement regarding the Offeror's legal structure (e.g., an Ohio corporation), Federal tax identification number, and principal place of business;
  - b. A list of the people who prepared the Proposal, including their titles;
  - c. The name, phone number, fax number, e-mail address, and mailing address of a contact person who has authority to answer questions regarding the Proposal;
  - d. A list of all subcontractors, if any, that the Offeror will use on the Work if the Offeror is selected to do the Work;
  - e. For each proposed subcontractor, the Offeror must attach a letter from the subcontractor, signed by someone authorized to legally bind the subcontractor, with the following included in the letter:
    - i. The subcontractor's legal status, tax identification number, and principal place of business address;
    - ii. The name, phone number, fax number, e-mail address, and mailing address of a person who is authorized to legally bind the subcontractor to contractual obligations;
    - iii. A description of the work the subcontractor will do;
    - iv. A commitment to do the Work if the Offeror is selected;
    - v. A statement that the subcontractor has read and understood the RFP and will comply with the requirements of the RFP; and
    - vi. A statement that the subcontractor will maintain any permits, licenses, and certifications required to perform work;
  - f. A statement that the Offeror's proposed solution for the Project meets all the requirements of this RFP;
  - g. A statement that the Offeror has not taken any exception to the Terms and Conditions;

- h. A statement that the Offeror does not assume there will be an opportunity to negotiate any aspect of the proposal;
- i. A statement indicating the Offeror will comply with all Federal and Ohio (Ohio Revised Code) Laws and Rules of the Ohio Administrative Code as those law and rules are currently enacted and promulgated, and as they may subsequently be amended and adopted;
- j. A statement that the Contractor shall not substitute, at Project start-up, different personnel from those evaluated by the State except when a candidate's unavailability is no fault of the Contractor (e.g. Candidate is no longer employed by the Contractor, is deceased, etc.);
- k. A statement that the Offeror is not now, and will not become subject to an "unresolved" finding for recovery under Revised Code Section 9.24, prior to the award of a Contract arising out of this RFP, without notifying DAS of such finding;
- l. A statement that all the Offeror's personal and business associates are in compliance with Chapter 3517 of the Ohio Revised Code regarding limitations on political contributions and will remain in compliance for the duration of the contract and with all applicable provisions that extend beyond the expiration of the Contract. Refer to the Political Contributions paragraph in Attachment Three, Part Seven of this RFP document.

All Offerors who seek to be considered for a contract award must submit a response that contains an affirmative statement using the language in paragraph(s) a. through m. above.

2. Certification. Each proposal must include the following certification on company letterhead signed by an individual authorized to legally bind the Offeror.

*(Insert Contractor name)* affirms they are the prime Offeror.

*(Insert Contractor name)* affirms it shall not and shall not allow others to perform work or take data outside the United States without express written authorization from the Agency Project Representative.

*(Insert Contractor name)* affirms that all personnel provided for the Project, who are not United States citizens, will have executed a valid I-9 form and presented valid employment authorization documents.

*(Insert Contractor name)* affirms that any small business program participants will provide necessary data to ensure program reporting and compliance.

*(Insert Contractor name)* agrees that it is a separate and independent enterprise from the state of Ohio and the Department of Administrative Services and the Agency. *(Insert Contractor name)* has a full opportunity to find other business and has made an investment in its business. Moreover *(insert Contractor name)* will retain sole and absolute discretion in the judgment of the manner and means of carrying out its obligations and activities under the Contract. This Contract is not to be construed as creating any joint employment relationship between *(insert Contractor name)* or any of the personnel provided by *(insert Contractor name)* or the Department of Administrative Services and the Agency.

*(Insert Contractor name)* affirms that the individuals supplied under the Contract are either (1) employees of *(insert Contractor name)* with *(insert Contractor name)* withholding all appropriate taxes, deductions or contributions required under law or (2) independent Contractors to *(insert Contractor name)*.

*If the Offeror's personnel are independent Contractors to the Offeror, the certification must also contain the following sentence:*

*(Insert Contractor name)* affirms that it has obtained a written acknowledgement from its independent Contractors that they are separate and independent enterprises from the state of Ohio and the Department of Administrative Services and the Agency for all purposes including the application of the Fair Labor Standards Act, Social Security Act, Federal Unemployment Tax Act, Federal Insurance Contributions Act, the provisions of the Internal Revenue Code, Ohio tax law, worker's compensation law and unemployment insurance law.

3. Offeror Disclosure of Location of Services and Data.

As part of the Proposal, the Offeror must disclose the following:

- a. The location(s) where all services will be performed;
- b. The location(s) where any state data applicable to the contract will be maintained or made available; and
- c. The principal location of business for the Contractor and all subcontractors.

During the performance of the Contract, the Offeror must not change the location(s) of the country where the services are performed or change the location(s) of the country where the data are maintained or made available without prior written approval of the State.

4. Contract Performance. The Offeror must complete Attachment Eight, Offeror Performance Form.
5. Offeror Profile and Prior Projects. Each Proposal must include a profile of the Offeror's capability, capacity, and relevant experience working on projects similar to this Work. The profile must also include the Offeror's legal name, address, telephone number, and fax number; home office location; date established; ownership (such as public firm, partnership, or subsidiary); firm leadership (such as corporate officers or partners); number of employees; number of employees engaged in tasks directly related to the Work; and any other background information that will help the State gauge the ability of the Offeror to fulfill the obligations of the Contract. The financial stability of the company should also be described and is considered a necessary component of this portion of the Proposal's response. This RFP includes Offeror Profile Summary Form as Attachment Five A which must be completed for the Offeror. The Offeror must use this form and fill it out completely to provide the Offeror requirement information. Failure to recreate the form accurately to include all fields, may lead to the rejection of the Offeror's Proposal.

The Offeror shall also provide information on the firm's background as well as evidence that it has in place the personnel, internal procedures, and any other resources required under the terms of the Contract to ensure successful performance and contract compliance. Offerors must describe current operational capacity of the organization and the Offeror's ability to absorb the additional workload resulting from this Project.

This RFP also includes three (3) Offeror Profile Prior Project Forms as Attachments Five B, C and D which must be filled out to describe prior completed projects similar to this Work. The Offeror must use these forms and fill them out completely to provide the Offeror requirement information.

6. Offeror References. The Offeror must include a minimum of three (3), references for organizations and/or clients for whom the Offeror has successfully provided services on projects that were similar in their nature, size, and scope to the Work. These references must relate to work that was completed within the past five (5) years. This RFP includes an Offeror Reference Form as Attachment Six. Failure to recreate the form accurately may lead to the rejection of the Offeror's Proposal. Each reference must be willing to discuss the Offeror's performance with the evaluation committee.

The State does not assume that since the experience requirement is provided at the top of the page that all descriptions on that page relate to that requirement. Offerors must reiterate the experience being described, including the capacity in which the experience was performed and the role of the Offeror on the Project. It is the Offeror's responsibility to customize the description to clearly substantiate the qualification. Previous experience must include the conduct, management, and coordination of projects. Incumbents must ensure specifics are addressed. Evaluations will not be based on intrinsic knowledge of evaluation committee members.

The description of the related service shows the Offeror's experience, capability, and capacity to develop this Project's deliverables and/or to achieve this Project's milestones. Details such as the size of the contracting organizations, duration of involvement, level of responsibility, significant accomplishments, as well as a thorough description of the nature of the experience will be required for appropriate evaluation by the committee.

- a. Contact Information. The contact name, title, phone number, e-mail address, company name, and mailing address must be completely filled out. If the primary contact cannot be reached, the same information must be included for an alternate contact in lieu of the primary contact. Failure to provide requested contact information may result in the State not including the reference in the evaluation process.
- b. Project Name. The name of the project where the mandatory experience was obtained and/or service was provided.
- c. Dates of Experience. Must be completed to show the length of time the Offeror performed the experience being described, not the length of time the Offeror was engaged for the reference. The Offeror must complete these dates with a beginning month and year and an ending month and year.
- d. Description of the Related Service Provided. The State does not assume that since the experience requirement is provided at the top of the page that all descriptions on that page relate to that requirement. Offerors must reiterate the experience being described, including the capacity in which the experience was performed and the role of the Offeror on the Project. It is the Offeror's responsibility to customize the description to clearly substantiate the qualification.
- e. Description of how the related service shows the Offeror's experience, capability and capacity to develop this Project's deliverables and/or to achieve this Project's milestones.
- f. The Offeror's project experience must be listed separately and completely every time it is referenced, regardless of whether it is on the same or different pages of the form.

When contacted, each reference must be willing to discuss the Offeror's previous performance on projects that were similar in their nature, size and scope to the Work.

7. Staffing Plan. The Offeror must provide a staffing plan that identifies all personnel required to do the Project and their responsibilities on the Project. The State is seeking a staffing plan that matches the proposed Project key personnel and qualifications to the activities and tasks that will be completed on the Project. In addition, the plan must have the following information:
- A matrix matching each team member to the staffing requirements in this RFP. The matrix must identify which team member will perform the duties of Account Manager;
  - A contingency plan that shows the ability to add more staff if needed to ensure meeting the Project's due date(s); and
  - A discussion of the Offeror's ability to provide qualified replacement personnel.
  - The Offeror must submit a statement and chart that clearly indicate the time commitment of the proposed Work team, including the Work Manager, to this Work and any other, non-related work during the term of the Contract. The offeror must also include a statement indicating to what extent, if any, the Work Manager may be used on other projects during the term of the Contract. The Evaluation Committee may reject any Proposal that commits the proposed Work Manager to other work during the term of the Contract if the committee believes that doing so will be detrimental to the offeror's performance.
8. Personnel Profile Summary. This RFP includes Offeror Candidate Forms as Attachments Seven A, B and C. The Offeror must use these forms and fill them out completely for each candidate referenced. The forms must be completed using typewritten or electronic means. The forms may be recreated electronically, but all fields and formats must be retained. Failure to recreate the forms accurately may lead to the rejection of the Offeror's Proposal.

All candidate requirements must be provided using the Offeror Candidate Forms (See Attachments Seven A, B and C.) The various sections of the form are described below:

- Candidate References.** If fewer than three (3) projects are provided, the Offeror must include information as to why fewer than three (3) projects were provided. The State may disqualify the proposal if fewer than three (3) projects are given. (Refer to Attachment Seven A.)

For each reference the following information must be provided:

- Candidate's Name.**
  - Contact Information.** The contact name, title, phone number, e-mail address, company name, and mailing address must be completely filled out. If the primary contact can not be reached, the same information must be included for an alternate contact in lieu of the primary contact. Failure to provide requested contact information may result in the State not including the reference experience in the evaluation process.
  - Dates of Experience.** Must be completed to show the length of time the candidate performed the technical experience being described, not the length of time the candidate worked for the company. The Offeror must complete these dates with a beginning month and year and an ending month and year.
  - Description of the Related Service Provided.** The State does not assume that since the technical requirement is provided at the top of the page that all descriptions on that page relate to that requirement. Contractors must reiterate the technical experience being described, including the capacity in which the experience was performed and the role of the candidate in the reference project as it relates to this RFP Project. It is the Contractors' responsibility to customize the description to clearly substantiate the candidate's qualification.
- Education and Training.** This section must be completed to list the education and training of the proposed candidates and will demonstrate, in detail, the proposed candidate's ability to properly execute the Contract based on the relevance of the education and training to the requirements of the RFP. Must include copies of any pertinent licenses and or certificates. (Refer to Attachment Seven B.)
  - Required Experience and Qualifications.** This section must be completed to show how the candidate meets the required experience requirements. If any candidate does not meet the required requirements for the position the candidate has been proposed to fill, the Offeror's Proposal may be rejected as non-responsive. (Refer to Attachment Seven C.)

The candidate's project experience must be listed separately and completely every time it is referenced, regardless of whether it is on the same or different pages of the form.

One of the criteria on which the State may base the award of the Contract is the quality of the Offeror's Work Team. Switching personnel after the award will not be accepted without due consideration. The Offeror must propose a Work Team that collectively meets all the requirements in this RFP. Additionally, each team member may have mandatory requirements listed in this RFP that the team member must individually meet. All candidates proposed must meet the technical experience for the candidate's position and be named.

9. Work Plan. Offeror must fully describe its approach, methods, and specific work steps for doing the Work on this Project. The State encourages responses that demonstrate a thorough understanding of the nature of the Project and what the Contractor must do to complete the Project satisfactorily. To this end, the Offeror must submit for this section of the proposal the Project plan that will be used to create a consistent, coherent management plan of action that will be used to guide the Project. The Project plan should include detail sufficient to give the State an understanding of the Offeror's knowledge and approach. Specifically:
- a. The State seeks insightful responses that describe proven state-of-the-art methods. Recommended solutions should demonstrate that the Offeror would be prepared to quickly undertake and successfully complete the required tasks. The Offeror's Work Plan should clearly and specifically identify key personnel assignments (NOTE: The staffing plan should be consistent with the Work plans).

The Offeror should address potential problem areas, recommended solutions to the problem areas, and any assumptions used in developing those solutions.

- a. The Offeror must fully describe its approach, methods, and specific work steps for doing the Work and producing the Deliverables described in Attachment One, Part One, Scope of Work and Deliverables.
  - b. The Offeror must also provide a complete and detailed description of the way it will do the Project.
10. Support Requirements. The Offeror must describe the support it wants from the State other than what the State has offered in this RFP. Specifically, the Offeror should address the following:
- a. Nature and extent of State support required in terms of staff roles, percentage of time available, etc.;
  - b. Assistance from State staff and the experience/qualification level required; and
  - c. Other support requirements.

The State may not be able or willing to provide the additional support the Offeror lists in this part of its Proposal. The Offeror must therefore indicate whether its request for additional support is a requirement for its performance. If any part of the list is a requirement, the State may reject the Offeror's Proposal if the State is unwilling or unable to meet the requirements.

11. Conflict of Interest Statements. Each Proposal must include a statement indicating whether the Offeror or any people that may work on the Project through the Offeror have a possible conflict of interest with the State, and, if so, the nature of that conflict. The State has the right to reject a Proposal in which a conflict is disclosed or cancel the Contract if any interest is later discovered that could give the appearance of a conflict.
12. Payment Address. The Offeror must give the address to which payments to the Offeror will be sent.
13. Proof of Insurance. In this section, the Offeror must provide the certificates of insurance required by the General Terms & Conditions. Refer to Attachment Three, Part Two for required insurance requirements and coverages.
14. W-9 Form & Additional Vendor Information Forms. The Offeror must complete a W-9 form. At least one (1) original of each (W-9 and OBM-3456) must be submitted. All other copies of a Proposal may contain copies of these forms. Please indicate on the outside of the binder which Proposal contains the original, blue ink signature. If a subsidiary company is involved, Offerors must have an original W-9 and OBM-3456 for both the parent and subsidiary companies. These documents and directions can be found on the OBM Web site at <http://obm.ohio.gov/MiscPages/Forms/default.aspx>.
15. Declaration Regarding Material Assistance/Non-assistance to a Terrorist Organization (DMA). The Offeror being awarded this Contract must be registered with the Ohio Business Gateway (OBA) at <http://obg.ohio.gov> to file for DMA pre-certification; if you are not already registered you must:
- a. Register with the Ohio Business Gateway (OBG) at <http://obg.ohio.gov>;
  - b. Review the Terrorist Exclusion List at: [http://www.homelandsecurity.ohio.gov/DMA\\_Terrorist/terrorist\\_exclusion\\_list.pdf](http://www.homelandsecurity.ohio.gov/DMA_Terrorist/terrorist_exclusion_list.pdf);
  - c. Complete the Declaration Regarding Material Assistance/Non-Assistance to a Terrorist Organization (DMA) form <http://www.publicsafety.ohio.gov/links/HLS0038.pdf> and submit this with your RFP response.

Failure to complete the certification may result in the Offeror being deemed not responsive and/or may invalidate any Contract award. If not submitted with the proposal response, the Offeror will have seven (7) calendar days, after notification, to submit the form.

16. Signed Contracts. The Offeror must provide two (2) originally signed, blue ink copies of the included Contract (Attachment Four). Offeror must complete, sign and date both copies of the contract and include it with their Proposal.

17. Affirmative Action Plan. The Offeror will comply with all state and federal laws regarding equal employment opportunity, including Ohio Revised Code Section 125.111 and all related Executive Orders.

All contractors from whom the state or any of its political subdivisions make purchases in excess of \$2500.00 shall have a written affirmative action program for the employment and effective utilization of economically disadvantaged persons, as referred to in division (E)(1) of section 122.71 of the Revised Code. Annually, each such contractor shall file a description of the affirmative action program and a progress report on its implementation with the Equal Employment Opportunity office of the Department of Administrative Services. Provide a statement that the offeror has been approved through this affirmative action program. You must visit the Website at <http://www.das.ohio.gov/Eod/AEEO.htm> to make the submission for approval.

18. Assumptions. The Offeror must provide a comprehensive listing of any and all of the assumptions that were made in preparing the proposal. If any assumption is unacceptable to the State, it may be cause for rejection of the Proposal. No assumptions shall be included regarding negotiation, terms and conditions, and requirements.
19. Cost Summary. This RFP includes a Cost Summary Form provided as Attachment Nine. Offerors may not reformat this form. Each Offeror must complete the Cost Summary Form in the exact format provided. Any reformatting may cause the State to reject the Offeror's Proposal. The State will not be liable for any costs the Offeror does not identify in its Proposal.

The Cost Proposal must not include exceptions to or additional terms and conditions or assumptions.

ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS  
PART ONE: PERFORMANCE AND PAYMENT

STATEMENT OF WORK. The RFP and the Contractor's Proposal (Collectively referred to as the "RFP") are a part of this Contract and describe the Work (the "Project") the Contractor will do and any materials the Contractor will deliver (the "Deliverables") under this Contract. The Contractor will do the Project in a professional, timely, and efficient manner and will provide the Deliverables in a proper fashion. The Contractor will also furnish its own support staff necessary for the satisfactory performance of the Project.

The Contractor will consult with the appropriate State representatives and others necessary to ensure a thorough understanding of the Project and satisfactory performance. The State may give instructions to or make requests of the Contractor relating to the Project, and the Contractor will comply with those instructions and fulfill those requests in a timely and professional manner. Those instructions and requests will be for the sole purpose of ensuring satisfactory completion of the Project and will not amend or alter the scope of the Project.

TERM. Unless this Contract is terminated, or expires without renewal, it will remain in effect until the Project is completed to the satisfaction of the State and the Contractor is paid. The current General Assembly cannot commit a future General Assembly to an expenditure. Therefore, this Contract will automatically expire at the end of each biennium, the first of which is June 30, 2009. The State however, may renew this Contract in the next biennium by issuing written notice to the Contractor of the decision to do so. This expiration and renewal procedure will also apply to the end of any subsequent biennium during which the Project continues. Termination or expiration of this Contract will not limit the Contractor's continuing obligations with respect to Deliverables that the State paid for before termination or limit the State's rights in such.

It is understood that the State's funds are contingent upon the availability of lawful appropriations by the Ohio General Assembly. If the General Assembly fails at any time to continue funding for the payments and other obligations due as part of this Contract, the State's obligations under this Contract are terminated as of the date that the funding expires without further obligation of the State.

The Project has a completion date that is identified in the RFP. The RFP may also have several dates for delivery of Deliverables or reaching certain milestones in the Project. The Contractor must make those deliveries, meet those milestones, and complete the Project within the times the RFP and the mutually agreed to Project plan requires. If the Contractor does not meet those dates, the Contractor will be in default, and the State may terminate this Contract under the termination provision contained below. The State may also have certain obligations to meet. Those obligations, if any, are also listed in the RFP. If the State agrees that the Contractor's failure to meet the delivery, milestone, or completion dates in the RFP is due to the State's failure to meet its own obligations in a timely fashion, then the Contractor will not be in default, and the delivery, milestone, and completion dates affected by the State's failure to perform will be extended by the same amount of time as the State's delay. The Contractor may not rely on this provision unless the Contractor has in good faith exerted all professional management skill to avoid an extension and has given the State meaningful written notice of the State's failure to meet its obligations within five (5) business days of the Contractor's realization that the State's delay will impact the Project. The notice to the State must be directed at making the State aware of its delay and the impact of its delay. It must be sent to the Agency Project Representative and the State Procurement Representative. Remedies resulting from the State's delay will be at the State's discretion.

The State seeks a complete Project. Any incidental items omitted in the RFP will be provided as part of the Contractor's not-to-exceed fixed price. The Contractor must fully identify, describe, and document all systems that are delivered as a part of the Project. All hardware, software, supplies, and other required components (such as documentation, conversion, training, and maintenance) for the Project to be complete and useful to the State are included in the Project and the not-to-exceed fixed price.

COMPENSATION. In consideration of the Contractor's promises and satisfactory performance, the State will pay the Contractor the amount(s) identified in the RFP (the "Fee"), plus any other expenses identified as reimbursable in the RFP. In no event will payments under this Contract exceed the "not-to-exceed" amount in the RFP without the prior, written approval of the State and, when required, the Ohio Controlling Board and any other source of funding. The Contractor's right to the Fee is contingent on the complete and satisfactory performance of the Project or, in the case of milestone payments or periodic payments of an hourly, daily, weekly, monthly, or annual rate, all relevant parts of the Project tied to the applicable milestone or period. Payment of the Fee is also contingent on the Contractor delivering a proper invoice and any other documents required by the RFP. An invoice must comply with the State's then-current policies regarding invoices and their submission. The State will notify the Contractor in writing within 15 business days after it receives a defective invoice of any defect and provide the information necessary to correct the defect.

The Contractor will send all invoices under this Contract to the "bill to" address in the RFP or in the applicable purchase order. The State will pay the Contractor interest on any late payment as provided in Section 126.30 of the Ohio Revised Code (the "Revised Code"). That section of the Revised Code currently requires monthly interest payments of one 12<sup>th</sup> of the annual rate in Section 5703.47 of the Revised Code. If the State disputes a payment for anything covered by an invoice, within 15

business days after receipt of that invoice, the State will notify the Contractor, in writing, stating the grounds for the dispute. The State may then deduct the disputed amount from its payment as a non-exclusive remedy. If, in the opinion of the State, a material breach has occurred by the Contractor, the State retains the right to withhold payment from the Contractor. Both parties agree that an attempt at resolution of any claims or material breach or disputes will first be made jointly by the Contractor Project Manager, the Contractor Project Principal, the Agency Project Representative and the State Purchasing Administrator. If, within 30 calendar days following the above notification, the claim or dispute has not been resolved, only then will it be submitted to non-binding mediation. A claim or dispute must be submitted to non-binding mediation prior to the initiation of any formal legal process. The State will consult with the Contractor as early as reasonably possible about the nature of the claim or dispute and the amount of payment affected. When the Contractor has resolved the matter to the State's satisfaction, the State will pay the disputed amount within 30 business days after the matter is resolved. No payments are required to be made by the State until the matter is resolved.

If the State has already paid the Contractor on an invoice, but later disputes the amount covered by the invoice, and if the Contractor fails to correct the problem within 30 calendar days after written notice, the Contractor will reimburse the State for that amount at the end of the 30 calendar days as a non-exclusive remedy for the State. On written request from the Contractor, the State will provide reasonable assistance in determining the nature of the problem by giving the Contractor reasonable access to the State's facilities and any information the State has regarding the problem.

REIMBURSABLE EXPENSES. The State will pay all reimbursable expenses identified in the RFP, if any, in accordance with the terms in the RFP and, where applicable, Section 126.31 of the Revised Code. The Contractor will assume all expenses that it incurs in the performance of this Contract that are not identified as reimbursable in the RFP.

In making any reimbursable expenditure, the Contractor will always comply with the more restrictive of its own, then-current internal policies for making such expenditures or with the State's then-current policies. All reimbursable travel will require the advance written approval of the State's Agency Project Representative. All reimbursable expenses will be billed monthly and paid by the State within 30 business days of receiving the Contractor's invoice.

ECONOMIC PRICE ADJUSTMENT. The Contract prices(s) will remain firm for the first two (2) fiscal years of the Contract. Thereafter, prior to Contract renewal, the Contractor may submit a request to adjust their price(s) to be effective on the effective date of the Contract's renewal. No price adjustment will be permitted prior to the effective date; on purchase orders that already being processed; or on purchase orders that have been filled.

Price increases must be supported by a general price increase in the cost of the services rendered due to documented increases in the cost of related materials/services. Detailed documentation, to include a comparison list of the Contract items and proposed price adjustments must be submitted to support the requested adjustment. Supportive documentation should include, but is not limited to: copies of the old and the current price lists or similar documents which indicate the original base cost of the product to the Contractor and the corresponding adjustment, and/or copies of correspondence sent by the Contractor's supplier on the supplier's letterhead, which contain the above price information and explains the source of the adjusted costs in such areas as raw materials, freight, fuel or labor, etc.

Should there be a decrease in the cost of the finished product due to a general decline in the market or some other factor, the Contractor is responsible to notify DAS immediately. The price decrease adjustment will be incorporated into the Contract and will be effective on all purchase orders issued after the effective date of the decrease. If the price decrease is a temporary decrease, such should be noted on the invoice. In the event that the temporary decrease is revoked, the Contract pricing will be returned to the pricing in effect prior to the temporary decrease. Failure to comply with this provision will be considered as a default and will be subject to the Suspension and Termination section contained herein.

CERTIFICATION OF FUNDS. None of the rights, duties, or obligations in this Contract will be binding on the State, and the Contractor will not begin its performance, until all the following conditions have been met:

1. All statutory provisions under the Revised Code, including Section 126.07, have been met;
2. All necessary funds are made available by the appropriate state agencies;
3. If required, approval of this Contract is given by the Controlling Board of Ohio; and
4. If the State is relying on Federal or third-party funds for this Contract, the State gives the Contractor written notice that such funds have been made available.

EMPLOYMENT TAXES. Each party will be solely responsible for reporting, withholding and paying all employment related taxes, payments and withholdings for its own personnel, including, but not limited to, Federal, state and local income taxes, social security, unemployment or disability deductions, withholdings, and payments (together with any interest and penalties not disputed with the appropriate taxing authority). All people the Contractor provides to the State under this Contract will be deemed employees of the Contractor for purposes of withholdings, taxes, and other deductions or contributions required under the law.

SALES, USE, EXCISE, AND PROPERTY TAXES. The State is exempt from any sales, use, excise, and property tax. To the extent sales, use, excise, or any similar tax is imposed on the Contractor in connection with the Project, such will be the sole and exclusive responsibility of the Contractor. The Contractor will pay such taxes, together with any interest and penalties not disputed with the appropriate taxing authority, whether they are imposed at the time the services are rendered or at a later time.

ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS  
PART TWO: WORK & CONTRACT ADMINISTRATION

RELATED CONTRACTS. The Contractor warrants that the Contractor has not and will not enter into any contracts without written approval of the State to perform substantially identical services for the State such that the Project duplicates the work done or to be done under the other contracts.

SUBCONTRACTING. The Contractor may not enter into subcontracts for the Work after award without written approval from the State. The Contractor will not need the State's written approval to subcontract for the purchase of commercial goods that are required for satisfactory completion of the Work. All subcontracts will be at the sole expense of the Contractor unless expressly stated otherwise in the RFP.

The State's approval of the use of subcontractors does not mean that the State will pay for them. The Contractor will be solely responsible for payment of its subcontractor and any claims of subcontractors for any failure of the Contractor or any of its other subcontractors to meet the performance schedule or performance specifications for the Project in a timely and professional manner. The Contractor will hold the State harmless for and will indemnify the State against any such claims.

The Contractor will assume responsibility for all Deliverables whether it, a subcontractor, or third-party manufacturer produces them in whole or in part. Further, the State will consider the Contractor to be the sole point of contact with regard to contractual matters, including payment of all charges resulting from the Contract. And the Contractor will be fully responsible for any default by a subcontractor, just as if the Contractor itself had defaulted.

If the Contractor uses any subcontractors, each subcontractor must have a written agreement with the Contractor. That written agreement must incorporate this Contract by reference. The agreement must also pass through to the subcontractor all provisions of this Contract that would be fully effective only if they bind both the subcontractor and the Contractor. Among such provisions are the limitations on the Contractor's remedies, the insurance requirements, record keeping obligations, and audit rights. Some sections of this Contract may limit the need to pass through their requirements to subcontracts to avoid placing cumbersome obligations on minor subcontractors. This exception is applicable only to sections that expressly provide exclusions for small-dollar subcontracts. Should the Contractor fail to pass through any provisions of this Contract to one of its subcontractors and the failure damages the State in any way, the Contractor will indemnify the State for the damage.

RECORD KEEPING. The Contractor will keep all financial records in accordance with generally accepted accounting procedures consistently applied. The Contractor will file documentation to support each action under this Contract in a manner allowing it to be readily located. And the Contractor will keep all Project-related records and documents at its principal place of business or at its office where the work was performed.

The Contractor will keep a separate account for the Project (the "Project Account"). All payments made from the Project Account will be only for obligations incurred in the performance of this Contract and will be supported by contracts, invoices, vouchers, and any other data needed to audit and verify the payments. All payments from the Project Account will be for obligations incurred only after the effective date of this Contract unless the State has given specific written authorization for making prior payments from the Project Account.

AUDITS. During the term of this Contract and for three (3) years after the payment of the Contractor's Fee, on reasonable notice and during customary business hours, the State may audit the Contractor's records and other materials that relate to the Project. This audit right will also apply to the State's duly authorized representatives and any person or organization providing financial support for the Project.

Unless it is impracticable to do so, all records related to this Contract must be kept in a single location, either at the Contractor's principle place of business or its place of business where the work was done. If this is not practical, the Contractor will assume the cost of collecting, organizing, and relocating the records and any technology needed to access the records to the Contractor's office nearest Columbus whenever the State or anyone else with audit rights requests access to the Contractor's Project records. The Contractor will do so with all due speed, not to exceed five (5) business days.

If any audit reveals any material deviation from the Project's specifications, any misrepresentation, or any overcharge to the State, the State will be entitled to recover damages, as well as the cost of the audit.

For each subcontract in excess of \$25,000.00, the Contractor will require its subcontractors to agree to the requirements of this section and of the record-keeping section. Subcontracts with smaller amounts involved need not meet this requirement. The Contractor may not artificially break up contracts with its subcontractors to take advantage of this exclusion.

**INSURANCE.** The Contractor must provide proof that the Contractor is covered by and maintaining insurance. The Contractor shall provide the following insurance coverages at its own expense throughout the term of the contract:

1. Workers' Compensation coverage, as required by Ohio law, and, if some of the work will be done outside Ohio, the laws of the appropriate state(s) where the work will be done. The Contractor will also maintain Employer's Liability (Stop Gap) coverage with least a \$1,000,000 limit. The Contractor will furnish the State with a copy of a certificate evidencing that the appropriate coverage is in place.
2. Commercial General Liability insurance including coverage for bodily injury, personal injury, wrongful death and property damage together with all costs of defense. The costs of defense shall be outside of the policy limits. Said policy shall designate the state of Ohio as an Additional Insured, as its interest may appear. This insurance shall be primary and non-contributory over all other applicable insurance with a per project or per location aggregate policy limit, and a blanket waiver of subrogation. At a minimum, the limits of the insurance shall be:

\$2,000,000	General Aggregate
\$2,000,000	Products/Completed Operations Aggregate
\$1,000,000	Occurrence Limit
\$1,000,000	Personal and Advertising Injury Limit
\$ 100,000	Fire Legal Liability
\$ 10,000	Medical Payments

The policy shall also be endorsed to provide the State with 30-day prior written notice of cancellation or material change to the policy. It is agreed upon that the Contractor's Commercial General Liability shall be primary over any other insurance coverage.

3. Commercial Automobile Liability insurance with a combined single limit of \$500,000.

The Contractor shall furnish a Certificate(s) of Insurance to the State for the required coverages evidencing insurance from an insurance carrier, or carriers, authorized to do business in the State of Ohio. The certificate(s) must be in a form that is reasonably satisfactory to the State as to the contents of the policies and the quality of the insurance carriers. All carriers must have at least an "A-" rating by A.M. Best.

**STATE PERSONNEL.** During the term of this Contract and for one (1) year after completion of the Project, the Contractor will not hire or otherwise contract for the services of any state employee involved with the Project, as addressed by the requirements of the Ohio ethics law, O.R.C. §102.03.

**REPLACEMENT PERSONNEL.** If the Offeror's Proposal contains the names of specific people who will work on the Project, then the quality and professional credentials of those people were material factors in the State's decision to enter into this Contract. Therefore, the Contractor will use all commercially reasonable efforts to ensure the continued availability of those people. Also, the Contractor will not remove those people from the Project without the prior, written consent of the State, except as provided below.

The Contractor may remove a person listed in its Proposal from the Project if doing so is necessary for legal or disciplinary reasons. The Contractor must make a reasonable effort to give the State 30 calendar days prior, written notice of the removal.

The Contractor must have qualified replacement people available to replace any people listed by name in its Proposal. When the removal of a listed person is permitted under this Section, or if a person becomes unavailable, the Contractor will submit the resumes for two (2) replacement people for each person removed or who otherwise becomes unavailable. The Contractor will submit the two (2) resumes, along with such other information as the State may reasonably request, within five (5) business days after the decision to remove a person is made or the unavailability of a listed person becomes known to the Contractor.

The State will select one of the two proposed replacements or will reject both of them within ten business days after the Contractor has submitted the proposed replacements to the State. The State may reject the proposed replacements for any legal reason(s). Should the State reject both replacement candidates due to their failure to meet the minimum qualifications identified in the RFP, or should the Contractor fail to provide the notice required under this Section or fail to provide two qualified replacement candidates for each removed or unavailable person, the Contractor will be in default and the cure period for default specified elsewhere in this Contract will not apply. In the event of such a default, the State will have the right to terminate this Contract and to have the damages specified elsewhere in this Contract for termination due to default.

The State may determine that proposed replacement candidates meet the minimum qualifications of this Contract and still substantially reduce the value the State perceived it would receive through the work of the original individual(s) the Contractor proposed and on whose credentials the State decided to enter into this Contract. Therefore, the State will have the right to reject any candidate that the State determines will provide it with diminished value.

Should the State reject both proposed candidates for any legal reason other than their failure to meet the minimum qualifications identified in the RFP, then such rejection may be deemed a termination for convenience.

The State has an interest in providing a healthy and safe environment for its employees and guests at its facilities. The State also has an interest in ensuring, and right to ensure, that its operations are carried out in an efficient, professional, legal, and secure manner. The State, therefore, will have the right to require the Contractor to remove any individual working on the Project if the State determines that any such individual has or may interfere with the State's interests identified above. In such a case, the request for removal will be treated as a case in which an individual providing services under this Contract has become unavailable, and the Contractor will follow the procedures identified above for replacing unavailable people. This provision applies to people engaged by the Contractor's subcontractors if they are listed as key people on the RFP.

CONTRACT NON-COMPLIANCE. A primary goal of the Agency is to assure that the program receives high quality services from the Contractor. To this end, the Agency will work in partnership with the Contractor(s) to meet this goal. The partnership is defined by the Contract and it is important that communication between the Contractor and state agencies be open and supportive. Should contract non-compliance be an issue, the Agency shall make every effort to resolve the problem.

1. Non-Compliance Issues. Contractor non-compliance with the specifications and terms and conditions outlined in the Contract may result in the imposition of remedies as explained below in paragraph 2.

The Agency must be promptly notified of any procedural changes outside the technical requirements listed herein.

2. Resolution for Contract Non-Compliance. The Agency will be responsible for monitoring the Contractor's performance and compliance with the terms, conditions, and specifications of the contract.
  - a. For any infractions not immediately remedied by the Contractor, the Agency will notify DAS through a Complaint to Vendor (CTV) to help resolve the infraction.
  - b. DAS will impose upon the Contractor remedies for non-compliance regarding contract specifications and terms and conditions. Remedies imposed will be in proportion with the severity of the non-compliance and may be progressive in nature.

SUSPENSION AND TERMINATION. The State may terminate this Contract if the Contractor defaults in meeting its obligations under this Contract and fails to cure its default within the time allowed by this Contract, or if a petition in bankruptcy (or similar proceeding) has been filed by or against the Contractor. The State may also terminate this Contract if the Contractor violates any law or regulation in doing the Project, or if it appears to the State that the Contractor's performance is substantially endangered through no fault of the State. In any such case, the termination will be for cause, and the State's rights and remedies will be those identified below for termination for cause.

On written notice, the Contractor will have 30 calendar days to cure any breach of its obligations under this Contract, provided the breach is curable. If the Contractor fails to cure the breach within 30 calendar days after written notice or if the breach is not one that is curable, the State will have the right to terminate this Contract. The State may also terminate this Contract in the case of breaches that are cured within 30 calendar days but are persistent. "Persistent" in this context means that the State has notified the Contractor in writing of the Contractor's failure to meet any of its obligations three (3) times. After the third notice, the State may terminate this Contract without a cure period if the Contractor again fails to meet any obligation. The three (3) notices do not have to relate to the same obligation or type of failure. Some provisions of this Contract may provide for a shorter cure period than 30 calendar days or for no cure period at all. Those provisions will prevail over this one. If a particular section does not state what the cure period will be, this provision will govern.

The State may also terminate this Contract for its convenience and without cause or if the Ohio General Assembly fails to appropriate funds for any part of the Project. If a third party is providing funding for the Project, the State may also terminate this Contract should that third party fail to release any Project funds. The RFP identifies any third party source of funds for the Project.

The notice of termination, whether for cause or without cause, will be effective as soon as the Contractor receives it. Upon receipt of the notice of termination, the Contractor will immediately cease all work on the Project and take all steps necessary to minimize any costs the Contractor will incur related to this Contract. The Contractor will also immediately prepare a report and deliver it to the State. The report must be all-inclusive; no additional information will be accepted following the initial submission. The report must detail the work completed at the date of termination, the percentage of the Project's completion, any costs incurred in doing the Project to that date and any Deliverables completed or partially completed but not delivered to the State at the time of termination. The Contractor will also deliver all the completed and partially completed Deliverables to the State with its report. If delivery in that manner would not be in the State's interest, then the Contractor will propose a suitable alternative form of delivery.

If the State terminates this Contract for cause, it will be entitled to cover for the Project by using another Contractor on such commercially reasonable terms as it and the covering contractor may agree. The Contractor will be liable to the State for all costs related to covering for the Project to the extent that such costs, when combined with payments already made to the Contractor for the Project before termination, exceed the costs that the State would have incurred under this Contract. The Contractor will also be liable for any other direct damages resulting from its breach of this Contract or other action leading to termination for cause.

If the termination is for the convenience of the State, the Contractor will be entitled to compensation for any work on the Project that the Contractor has performed before the termination. Such compensation will be the Contractor's exclusive remedy in the case of termination for convenience and will be available to the Contractor only once the Contractor has submitted a proper invoice for such, with the invoice reflecting the amount determined to be owing to the Contractor by the State. The State will make that determination based on the lesser of the percentage of the Project completed or the hours of work performed in relation to the estimated total hours required to perform the entire applicable unit(s) of Work.

The State will have the option of suspending rather than terminating the Project where the State believes that doing so would better serve its interests. In the event of a suspension for the convenience of the State, the Contractor will be entitled to receive payment for the work performed before the suspension. In the case of suspension of the Project rather than termination for cause, the Contractor will not be entitled to any compensation for any work performed. If the State reinstates the Project after suspension for cause, rather than terminating this Contract after the suspension, the Contractor may be entitled to compensation for work performed before the suspension, less any damage to the State resulting from the Contractor's breach of this Contract or other fault. Any amount due for work before or after the suspension for cause will be offset by any damage to the State from the default or other event giving rise to the suspension.

In the case of a suspension for the State's convenience, the amount of compensation due to the Contractor for work performed before the suspension will be determined in the same manner as provided in this section for termination for the State's convenience. The Contractor will not be entitled to compensation for any other costs associated with a suspension for the State's convenience. No payment under this provision will be made to the Contractor until the Contractor submits a proper invoice.

Any notice of suspension, whether with or without cause, will be effective immediately on the Contractor's receipt of the notice. The Contractor will prepare a report concerning the Project just as is required by this Section in the case of termination. After suspension of the Project, the Contractor will perform no work without the consent of the State and will resume work only on written notice from the State to do so. In any case of suspension, the State retains its right to terminate this Contract rather than to continue the suspension or resume the Project. If the suspension is for the convenience of the State, then termination of the Contract will be a termination for convenience. If the suspension is with cause, the termination will also be for cause.

The State will not suspend the Project for its convenience more than once during the term of this Contract, and any suspension for the State's convenience will not continue for more than 30 calendar days. If the Contractor does not receive notice to resume or terminate the Project within the 30-day period, then this Contract will terminate automatically for the State's convenience at the end of the 30 calendar day period.

Any default by the Contractor or one of its subcontractors will be treated as a default by the Contractor and all of its subcontractors. The Contractor will be solely responsible for satisfying any claims of its subcontractors for any suspension or termination and will indemnify the State for any liability to them. Each subcontractor will hold the State harmless for any damage caused to them from a suspension or termination. They will look solely to the Contractor for any compensation to which they may be entitled.

The Contractor may, at its discretion, request termination with a minimum 60 day notice in writing. The State will review the request and respond in writing to the Contractor with its findings.

#### CONTRACT REMEDIES.

1. **Actual Damages.** Contractor is liable to the state of Ohio for all actual and direct damages caused by Contractor's default. The State may buy substitute supplies or services, from a third party, for those that were to be provided by Contractor. The State may recover the costs associated with acquiring substitute supplies or services, less any expenses or costs saved by Contractor's default, from Contractor.
2. **Liquidated Damages.** If actual and direct damages are uncertain or difficult to determine, the State may recover liquidated damages in the amount of 1% of the value of the order, deliverable or milestone that is the subject of the default, for every day the default is not cured by Contractor.
3. **Deduction of Damages from Contract Price.** The State may deduct all or any part of the damages resulting from Contractor's default from any part of the price still due on the contract, upon prior written notice being issued to the Contractor by the State.

In making any reimbursable expenditure, the Contractor will always comply with the more restrictive of its own, then-current internal policies for making such expenditures or with the State's then-current policies. All reimbursable travel will require the advance written approval of the State's Agency Project Representative. All reimbursable expenses will be billed monthly and paid by the State within 30 business days of receiving the Contractor's invoice.

REPRESENTATIVES. The State's representative under this Contract will be the person identified on the RFP or a subsequent notice to the Contractor as the "Agency Project Representative". The Agency Project Representative will review all reports made in the performance of the Project by the Contractor, will conduct all liaison with the Contractor, and will accept or reject the Deliverables and the complete Project. The Agency Project Representative may assign to a manager, responsibilities for individual aspects of the Project to act as the Agency Project Representative for those individual portions of the Project.

The Contractor's Project Manager under this Contract will be the person identified on the RFP as the "Project Manager". The Project Manager will conduct all liaison with the State under this Contract. Either party, upon written notice to the other party, may designate another representative. The Project Manager may not be replaced without the approval of the State if that individual is identified in the RFP as a key individual on the Project.

WORK RESPONSIBILITIES. The State will be responsible for providing only those things expressly identified, if any, in the RFP. If the State has agreed to provide facilities or equipment, the Contractor, by signing this Contract, warrants that the Contractor has either inspected the facilities and/or equipment or has voluntarily waived an inspection and will work with the equipment and/or facilities on an "as is" basis.

The Contractor will assume the lead in the areas of management, design, and development of the Project. The Contractor will coordinate the successful execution of the Project and direct all Project activities on a day-to-day basis, with the advice and consent of the Agency Project Representative. The Contractor will be responsible for all communications regarding the progress of the Project and will discuss with the Agency Project Representative any issues, recommendations, and decisions related to the Project.

If the Project, or parts of it, requires installation on the State's property, the State will provide the Contractor with reasonable access to the installation site for the installation and any site preparation that is needed. After the installation is complete, the Contractor will complete an installation letter and secure the signature of Agency Project Representative certifying that installation is complete and the Project, or applicable portion of it, is operational. The letter will describe the nature, date, and location of the installation, as well as the date it was certified as installed and operational by the Agency Project Representative.

Unless otherwise provided in the RFP, the Contractor will be responsible for obtaining all official permits, approvals, licenses, certifications, and similar authorizations required by any local, state, or Federal agency for the Project and maintaining them throughout the duration of this Contract.

CHANGES. The State may make reasonable changes, within the general scope of the Project. The State will do so by issuing a written order under this Contract describing the nature of the change ("Change Order"). Additionally, if the State provides directions or makes requests of the Contractor without a change order, and the Contractor reasonably believes the directions or requests are outside the specifications for the Project, the Contractor will have the right to request a Change Order from the State. Scope of Work changes will be managed as follows: pricing will be provided from the Contractor to the State. The State will execute a Change Order once it and the Contractor have agreed on the description of and specifications for the change as well as any equitable adjustments that need to be made in the Contractor's Fee or the performance schedule for the Work. Within five (5) business days after receiving the Change Order, the Contractor will sign it to signify agreement with it.

If a change causes an increase in the cost of, or the time required for, the performance of the Project, the Contractor will notify the State in writing and request an equitable adjustment in the Contractor's Fee, the delivery schedule, or both before the Contractor signs the Change Order. If the Contractor claims an adjustment under this section in connection with a change to the Project not described in a written Change Order, the Contractor must notify the State of the claim within five (5) business days after the Contractor is notified of the change and before work on the change begins. Otherwise, the Contractor will have waived the claim. In no event will the State be responsible for any increase in the Fee or revision in any delivery schedule unless the relevant change was specifically ordered in writing by the State and the Contractor has complied with the requirements of this section. Provided the State has complied with the procedure for Change Orders in this section, nothing in this clause will excuse the Contractor from proceeding with performance of the Project, as changed.

Where an equitable adjustment to the Contractor's Fee is appropriate, the State and the Contractor may agree upon such an adjustment. If the State and the Contractor are unable to agree, and the Contractor seeks an equitable adjustment in its Fee, either party may submit the dispute to the senior management of the Contractor and the State for resolution. If, within 30 calendar days following referral to senior management, the claim or dispute has not been resolved, only then will it be submitted to non-binding mediation (pursuant to the rules as stipulated by the American Arbitration Association). A claim or dispute must be submitted to non-binding mediation prior to the initiation of any formal legal process. Costs of mediation will be shared equally. Both parties further agree to use best efforts to resolve any claims or disputes arising during the performance of this Contract within 30 calendar days following the initiation of the dispute process. The resolved amount will be the not-to-exceed amount of the Change Order. If the change involves removing a requirement from the Project or replacing one part of the Project with the change, the State will get a credit for the work no longer required under the original scope of the Project. The credit will be calculated in the same manner as the Contractor's Fee for the change, and the not-to-exceed amount will be reduced by this credit. The State reserves the right to withhold payment in situations where a dispute arises between the Contractor and the State. All payments withheld pursuant to this provision will be placed into a separate account until such time as the dispute is resolved. In situations where payment is withheld and all or a portion of the payment is ultimately sent to the Contractor, the Contractor shall be entitled to interest in accordance with Section 126.30 of the Ohio Revised Code.

The Contractor will be responsible for coordinating changes with its subcontractors and adjusting their compensation and performance schedule. The State will not pay any subcontractor for the Change Order. If a subcontractor will perform any work under a Change Order that work must be included in the Contractor's not-to-exceed amount and calculated in the same manner as the Contractor's equitable adjustment for the portion of the work the Contractor will perform. The Contractor will not receive an overhead percentage for work a subcontractor will do under a Change Order.

EXCUSABLE DELAY. Neither party will be liable for any delay in its performance that arises from causes beyond its control and without its negligence or fault. The delayed party will notify the other promptly of any material delay in performance and will specify in writing the proposed revised performance date as soon as practicable after notice of delay. In the event of any such excusable delay, the date of performance or of delivery will be extended for a period equal to the time lost by reason of the excusable delay. The delayed party must also describe the cause of the delay and what steps it is taking to remove the cause. The delayed party may not rely on a claim of excusable delay to avoid liability for a delay if the delayed party has not taken commercially reasonable steps to mitigate or avoid the delay. Things that are controllable by the Contractor's subcontractors will be considered controllable by the Contractor, except for third-party manufacturers supplying commercial items and over whom Contractor has no legal control.

INDEPENDENT STATUS OF THE CONTRACTOR. The parties will be acting as independent contractors. The partners, employees, officers, and agents ("Personnel") of one party, in the performance of this Contract, will act only in the capacity of representatives of that party and not as Personnel of the other party and will not be deemed for any purpose to be Personnel of the other. Each party assumes full responsibility for the actions of its Personnel while they are performing services pursuant to this Contract and will be solely responsible for paying its Personnel (including withholding of and/or paying income taxes and social security, workers' compensation, disability benefits and the like). Neither party will commit, nor be authorized to commit, the other party in any manner. The Contractor's subcontractors will be considered the agents of the Contractor for purposes of this Contract.

**ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS**  
**PART THREE: OWNERSHIP & HANDLING OF INTELLECTUAL PROPERTY & CONFIDENTIAL INFORMATION**

CONFIDENTIALITY. The State may disclose to the Contractor written material or oral or other information that the State treats as confidential ("Confidential Information"). Title to the Confidential Information and all related materials and documentation the State delivers to the Contractor will remain with the State. The Contractor must treat such Confidential Information as secret if it is so marked, otherwise identified as such, or when, by its very nature, it deals with matters that, if generally known, would be damaging to the best interests of the public, other contractors or potential contractors with the State, or individuals or organizations about whom the State keeps information. By way of example, information should be treated as confidential if it includes any proprietary documentation, materials, flow charts, codes, software, computer instructions, techniques, models, information, diagrams, know-how, trade secrets, data, business records, or marketing information. By way of further example, the Contractor also must treat as confidential materials such as police and investigative records, files containing personal information about individuals or employees of the State, such as personnel records, tax records, and so on, court and administrative records related to pending actions, any material to which an attorney-client, physician-patient, or similar privilege may apply, and any documents or records expressly excluded by Ohio law from public records disclosure requirements.

The Contractor agrees not to disclose any Confidential Information to third parties and to use it solely to do the Project. The Contractor will restrict circulation of Confidential Information within its organization and then only to people in the Contractor's organization that have a need to know the Confidential Information to do the Project. The Contractor will be liable for the disclosure of such information whether the disclosure is intentional, negligent, or accidental, unless otherwise provided below.

The Contractor will not be liable for any unintentional disclosure of Confidential Information that results despite the Contractor's exercise of at least the same degree of care as it normally takes to safeguard its own secrets, except when the Contractor's procedures are not reasonable given the nature of the Confidential Information or when the disclosure nevertheless results in liability to the State.

The Contractor will not incorporate any portion of any Confidential Information into any work or product, other than a Deliverable, and will have no proprietary interest in any of the Confidential Information. Furthermore, the Contractor will cause all of its employees who have access to any Confidential Information to execute a confidentiality agreement incorporating the obligations in this section.

The Contractor's obligation to maintain the confidentiality of the Confidential Information will not apply where such: (1) was already in the Contractor's possession before disclosure by the State, and such was received by the Contractor without obligation of confidence; (2) is independently developed by the Contractor; (3) is or becomes publicly available without breach of this Contract; (4) is rightfully received by the Contractor from a third party without an obligation of confidence; (5) is disclosed by the Contractor with the written consent of the State; or (6) is released in accordance with a valid order of a court or governmental agency, provided that the Contractor (a) notifies the State of such order immediately upon receipt of the order and (b) makes a reasonable effort to obtain a protective order from the issuing court or agency limiting disclosure and use of the Confidential Information solely for the purposes intended to be served by the original order of production. The Contractor will return all originals of any Confidential Information and destroy any copies it has made on termination or expiration of this Contract.

The Contractor may disclose Confidential Information to its subcontractors on a need-to-know basis, but they will be obligated to the requirements of this section.

OWNERSHIP OF DELIVERABLES. All deliverables produced by the Contractor and covered by this Contract, including any software modifications, and documentation, shall be owned by the State, with all rights, title, and interest in all intellectual property that come into existence through the Contractor's custom work being assigned to the State. Additionally, the Contractor waives any author rights and similar retained interests in custom-developed material. The Contractor will provide the State with all assistance reasonably needed to vest such rights of ownership in the State. The Contractor will retain ownership of all tools, methods, techniques, standards, and other development procedures, as well as generic and preexisting shells, subroutines and similar material incorporated in any custom Deliverable ("Pre-existing Materials") if the Contractor provides the non-exclusive license described in the next paragraph.

The Contractor may grant the State a worldwide, non-exclusive, royalty-free, perpetual license to use, modify, sell, and otherwise distribute all Pre-existing Materials that are incorporated in any custom-developed Deliverable rather than grant the State ownership of the Pre-existing Materials provided however, that the State may distribute such Pre-existing materials to the extent required by governmental funding mandates. The Contractor will not include in any custom Deliverable any intellectual property unless such has been created under this Contract or qualifies as Pre-existing Material.

If the Contractor wants to incorporate any Pre-existing Materials in a custom Deliverable, the Contractor must first disclose this and seek the State's approval for doing so in advance. On the request of the Contractor, the State will incorporate any proprietary notice the Contractor may reasonably want for any Pre-existing Materials included in a custom Deliverable in all copies the State makes of that Deliverable.

Subject to the limitations and obligations of the State with respect to Pre-existing Materials, the State may make all custom Deliverables available to the general public without any proprietary notices of any kind.

LICENSE IN COMMERCIAL MATERIAL. As used in this section, "Commercial Material" means anything that has been developed at private expense by the Contractor or a third party, commercially available in the marketplace, subject to intellectual property rights, and readily copied through duplication on magnetic media, paper, or other media. Examples include written reports, books, pictures, videos, movies, computer programs, and computer source code and documentation.

Any Commercial Material that the Contractor intends to deliver as a Deliverable must have the scope of the license granted in such material disclosed in the RFP or as an attachment referenced in the RFP, if that scope of license is different from the scope of license contained in this section for Commercial Materials.

Except for Commercial Material that is software ("Commercial Software"), if the Commercial Material is copyrighted and published material, then the State will have the rights permitted under the Federal copyright laws for each copy of the Commercial Material delivered to it by the Contractor.

Except for Commercial Software, if the Commercial Material is patented, then the State will have the rights permitted under the Federal patent laws for each copy of the Commercial Material delivered to it by the Contractor.

Except for Commercial Software, if the Commercial Material consists of trade secrets, then the State will treat the material as confidential. In this regard, the State will assume all obligations with respect to the Commercial Material that the Contractor assumes under the Confidentiality section of this Contract with respect to State secrets. Otherwise, the State will have the same rights and duties permitted under the Federal copyright laws for each copy of the Commercial Material delivered to it by the Contractor, whether or not the material is copyrighted when delivered to the State.

For Commercial Software, the State will have the rights in items (1) through (8) of this section with respect to the software. The State will not use any Commercial Software except as provided in items (1) through (8) of this section or as expressly stated otherwise in this Contract. The Commercial Software may be:

1. Used or copied for use in or with the computer or computers for which it was acquired, including use at any State installation to which such computer or computers may be transferred.
2. Used or copied for use in or with a backup computer for disaster recovery and disaster recovery testing purposes or if any computer for which it was acquired is inoperative.
3. Reproduced for safekeeping (archives) or backup purposes.
4. Modified, adapted, or combined with other computer software, but the modified, combined, or adapted portions of the derivative software incorporating any of the Commercial Software will be subject to same restrictions set forth in this Contract.
5. Disclosed to and reproduced for use on behalf of the State by support service contractors or their subcontractors, subject to the same restrictions set forth in this Contract.
6. Used or copied for use in or transferred to a replacement computer.

However:

7. If the Commercial Software delivered under this Contract is published and copyrighted, it is licensed to the State without disclosure prohibitions.
8. If any Commercial Software is delivered under this Contract with the copyright notice in 17 U.S.C. 401, it will be presumed to be published, copyrighted, and licensed to the State without disclosure restrictions, unless a statement substantially as follows accompanies such copyright notice: "Unpublished -- rights reserved under the copyright laws of the United States." The State will treat such Commercial Software as Confidential Information to the extent that such is actually the case.

ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS  
PART FOUR: REPRESENTATIONS, WARRANTIES AND LIABILITIES

GENERAL WARRANTIES. The Contractor warrants that the recommendations, guidance, and performance of the Contractor under this Contract will: (1) be in accordance with sound professional standards and the requirements of this Contract and without any material defects; (2) unless otherwise provided in the RFP, be the work solely of the Contractor; and (3) no Deliverable will infringe on the intellectual property rights of any third party.

Additionally, with respect to the Contractor's activities under this Contract, the Contractor warrants that: (1) the Contractor has the right to enter into this Contract; (2) the Contractor has not entered into any other contracts or employment relationships that restrict the Contractor's ability to perform the contemplated services; (3) the Contractor will observe and abide by all applicable laws and regulations, including those of the State regarding conduct on any premises under the State's control; (4) the Contractor has good and marketable title to any goods delivered under this Contract and in which title passes to the State; (5) all hardware, software, firmware, and similar devices and materials provided under this Contract will be designed to operate without regard to the turning of a century and process dates in a manner that takes into account dates occurring before and after the turning of a century; and (6) the Contractor has the right and ability to grant the license granted in any Deliverable in which title does not pass to the State.

The warranty regarding material defects is a 1-year warranty. All other warranties will be continuing warranties. If any portion of the Project fails to comply with these warranties, and the Contractor is so notified in writing, the Contractor will correct such failure with all due speed or will refund the amount of the compensation paid for such portion of the Project. The Contractor will also indemnify the State for any direct damages and claims by third parties based on a breach of these warranties. This obligation of indemnification will not apply where the State has modified or misused the Deliverable and the claim is based on the modification or misuse. The State agrees to give the Contractor notice of any such claim as soon as reasonably practicable. If a successful claim of infringement is made, or if the Contractor reasonably believes that an infringement claim that is pending may actually succeed, the Contractor will do one (1) of the following four (4) things: (1) modify the Deliverable so that it is no longer infringing; (2) replace the Deliverable with an equivalent or better item; (3) acquire the right for the State to use the infringing Deliverable as it was intended for the State to use under this Contract; or (4) remove the Deliverable and refund the amount the State paid for the Deliverable and the amount of any other Deliverable or item that requires the availability of the infringing Deliverable for it to be useful to the State.

SOFTWARE WARRANTY. If this Contract involves software as a Deliverable, then, on acceptance and for 12 months after the date of acceptance of any Deliverable that includes software, the Contractor warrants as to all software developed under this Contract that: (a) the software will operate on the computer(s) for which the software is intended in the manner described in the relevant software documentation, the Contractor's Proposal, and the RFP; (b) the software will be free of any material defects; (c) the Contractor will deliver and maintain relevant and complete software documentation, commentary, and source code; and (d) the source code language used to code the software is readily available in the commercial market, widely used and accepted for the type of programming involved, and support programming in the language is reasonably available in the open market; and (e) the software and all maintenance will be provided in a professional, timely, and efficient manner.

For Commercial Software licensed from a third party that is incorporated in a Deliverable, the Contractor represents and warrants that it has done 1 of the following 3 things: (a) obtained the right from the third-party licensor to commit to the warranties and maintenance obligations in this Section; (b) obtained a binding commitment from the licensor to make those warranties and maintenance obligations directly to the State; or (c) fully disclosed in the RFP any discrepancies between the requirements of this section and the commitment the third-party licensor has made.

In addition, for Commercial Software that is incorporated in a Deliverable, the Contractor will: (a) maintain or cause the third-party licensor to maintain the Commercial Software so that it operates in the manner described in the RFP (or any attachment referenced in the RFP) and relevant Commercial Software documentation; (b) supply technical bulletins and updated user guides; (c) supply the State with updates, improvements, enhancements, and modifications to the Commercial Software and documentation and, if available, the commentary and the source code; (d) correct or replace the Commercial Software and/or remedy any material programming error that is attributable to the Contractor or the third-party licensee; (e) maintain or cause the third-party licensor to maintain the Commercial Software and documentation to reflect changes in the subject matter the Commercial Software deals with; (f) maintain or obtain a commitment from the third-party licensor to maintain the Commercial Software so that it will properly operate in conjunction with changes in the operating environment in which it is designed to operate.

For purposes of the warranties and the delivery requirements in this Contract, software documentation means well written, readily understood, clear, and concise instructions for the software's users as well as a system administrator. The software documentation will provide the users of the software with meaningful instructions on how to take full advantage of all of the capabilities designed for end users. It also means installation and system administration documentation for a system administrator to allow proper control, configuration, and management of the software. Source code means the uncompiled operating instructions for the entire System. The Contractor will not be obligated to provide source code for Commercial Software unless it is readily available from the licensor. The source code will be provided in the language in which it was written and will include commentary that will allow a competent programmer proficient in the source language to readily interpret the source code and understand the purpose of all routines and subroutines contained within the source code.

EQUIPMENT WARRANTY. If any electrical equipment, mechanical device, computer hardware, telecommunications hardware, or other type of physical machinery ("Equipment") will be a part of any Deliverable, the following warranties apply. The Contractor warrants that the Equipment fully complies with all government environmental and safety standards applicable to the Equipment. The Contractor also warrants for 1 year from the acceptance date of the Equipment that the Equipment will perform substantially in accordance with specifications described in the RFP, the user manuals, technical materials, and related writings published by the manufacturer for the Equipment. The foregoing warranties will not apply to Equipment that is modified or damaged after title passes to the State.

The Contractor will notify the State in writing immediately upon the discovery of any breach of the warranties given above.

The Contractor's will do the following if any Equipment does not meet the above warranties:

1. Cause the Equipment to perform as required, or, if that is not commercially practicable, then;
2. Grant the State a refund equal to the amount the State paid for the Equipment or, if such has not been individually priced, the manufacturer's suggested retail price for the Equipment.

Except where the Contractor's breach of a warranty makes it not possible for the State to do so, the State will return the affected Equipment to the Contractor in the case of a refund under the previous paragraph.

GENERAL EXCLUSION OF WARRANTIES. The State makes no warranties, express or implied, other than those express warranties contained in this contract. The contractor also makes no warranties of merchantability or fitness for a particular purpose except as follows: If the Contractor has been engaged under the scope of work in the RFP to design something to meet a particular need for the State, then the Contractor does warrant that the contractor's work will meet the stated purpose for that work.

INDEMNITY. The Contractor will indemnify the State for any and all claims, damages, law suits, costs, judgments, expenses, and any other liabilities resulting from bodily injury to any person (including injury resulting in death) or damage to property that may arise out of or are related to Contractor's performance under this Contract, providing such bodily injury or property damage is due to the negligence of the Contractor, its employees, agents, or subcontractors.

The Contractor will also indemnify the State against any claim of infringement of a copyright, patent, trade secret, or similar intellectual property rights based on the State's proper use of any Deliverable under this Contract. This obligation of indemnification will not apply where the State has modified or misused the Deliverable and the claim of infringement, is based on the modification or misuse. The state agrees to give the Contractor notice of any such claim as soon as reasonably practicable and to give the Contractor the authority to settle or otherwise defend any such claim upon consultation with and approval by the Office of the State Attorney General. If a successful claim of infringement is made, or if the Contractor reasonably believes that an infringement claim that is pending may actually succeed, the Contractor will take one (1) of the following four (4) actions:

1. Modify the Deliverable so that is no longer infringing.
2. Replace the Deliverable with an equivalent or better item.
3. Acquire the right for the State to use the infringing Deliverable as it was intended for the State to use under this Contract; or
4. Remove the Deliverable and refund the fee the State paid for the Deliverable and the fee for any other Deliverable that required the availability of the infringing Deliverable for it to be useful to the State.

LIMITATION OF LIABILITY. NOTWITHSTANDING ANY LIMITATION PROVISIONS CONTAINED IN THE DOCUMENTS AND MATERIALS INCORPORATED BY REFERENCE INTO THIS AGREEMENT, THE PARTIES AGREE AS FOLLOWS:

1. NEITHER PARTY WILL BE LIABLE FOR ANY INDIRECT, INCIDENTAL OR CONSEQUENTIAL LOSS OR DAMAGE OF ANY KIND, INCLUDING BUT NOT LIMITED TO LOST PROFITS, EVEN IF THE PARTIES HAVE BEEN ADVISED, KNEW, OR SHOULD HAVE KNOWN OF THE POSSIBILITY OF SUCH DAMAGES.
2. THE CONTRACTOR FURTHER AGREES THAT THE CONTRACTOR SHALL BE LIABLE FOR ALL DIRECT DAMAGES DUE TO THE FAULT OR NEGLIGENCE OF THE CONTRACTOR.

ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS  
PART FIVE: ACCEPTANCE AND MAINTENANCE

STANDARDS OF PERFORMANCE AND ACCEPTANCE. If the RFP does not provide otherwise, the acceptance procedure will be an informal review by the Agency Project Representative to ensure that each Deliverable and the Project as a whole comply with the requirements of this Contract. The Agency Project Representative will have up to 30 calendar days to do this. No formal letter of acceptance will be issued, and passage of the 30 calendar days will imply acceptance, though the State will issue a notice of noncompliance if a Deliverable or the Project as a whole does not meet the requirements of this Contract. If the Agency Project Representative issues a letter of noncompliance, then the Contractor will have 30 calendar days to correct the problems listed in the noncompliance letter. If the Contractor fails to do so, the Contractor will be in default without a cure period. If the Agency Project Representative has issued a noncompliance letter, the Deliverables or the Project as a whole will not be accepted until the Agency Project Representative issues a letter of acceptance indicating that each problem noted in the noncompliance letter has been cured. If the problems have been fixed during the 30 day period, the Agency Project Representative will issue the acceptance letter within 15 calendar days.

If the Project fails to meet the standard of performance after 90 calendar days from the start of the performance period, the Contractor will be in default and will not have a cure period. In addition to all other remedies the State may have under this Contract, the State will have the right to request correction or replacement of the relevant portion of the Project.

ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS

PART SIX: CONSTRUCTION

ENTIRE DOCUMENT. This Contract is the entire agreement between the parties with respect to the subject matter and supersedes any previous statements or agreements, whether oral or written.

BINDING EFFECT. This Contract will be binding upon and inure to the benefit of the respective successors and assigns of the State and the Contractor.

AMENDMENTS – WAIVER. No change to any provision of this Contract will be effective unless it is in writing and signed by both parties. The failure of either party at any time to demand strict performance by the other party of any of the terms of this Contract will not be a waiver of those terms. Waivers must be in writing to be effective. Either party may at any later time demand strict performance.

ADDENDA – WAIVER. No change to any provision of this Contract will be effective unless it is in writing and signed by both parties. The failure of either party at any time to demand strict performance by the other party of any of the terms of this Contract will not be a waiver of those terms. Waivers must be in writing to be effective. And either party may at any later time demand strict performance.

SEVERABILITY. If any provision of this Contract is held by a court of competent jurisdiction to be contrary to law, the remaining provisions of this Contract will remain in full force and effect to the extent that such does not create an absurdity.

CONSTRUCTION. This Contract will be construed in accordance with the plain meaning of its language and neither for nor against the drafting party.

HEADINGS. The headings used herein are for the sole sake of convenience and will not be used to interpret any section.

NOTICES. For any notice under this Contract to be effective it must be made in writing and sent to the address of the appropriate contact provided elsewhere in the Contract, unless such party has notified the other party, in accordance with the provisions of this section, of a new mailing address. This notice requirement will not apply to any notices that this Contract expressly authorized to be made orally.

CONTINUING OBLIGATIONS. The terms of this Contract will survive the termination or expiration of the time for completion of Project and the time for meeting any final payment of compensation, except where such creates an absurdity.

ATTACHMENT THREE: GENERAL TERMS AND CONDITIONS  
PART SEVEN: LAW & COURTS

COMPLIANCE WITH LAW. The Contractor agrees to comply with all applicable federal, State, and local laws in the conduct of the Work.

DRUG-FREE WORKPLACE. The Contractor will comply with all applicable State and Federal laws regarding keeping a drug-free workplace. The Contractor will make a good faith effort to ensure that all the Contractor employees, while working on State property, will not have or be under the influence of illegal drugs or alcohol or abuse prescription drugs in any way.

CONFLICTS OF INTEREST. No Personnel of the Contractor may voluntarily acquire any personal interest that conflicts with their responsibilities under this Contract. Additionally, the Contractor will not knowingly permit any public official or public employee who has any responsibilities related to this Contract or the Project to acquire an interest in anything or any entity under the Contractor's control if such an interest would conflict with that official's or employee's duties. The Contractor will disclose to the State knowledge of any such person who acquires an incompatible or conflicting personal interest related to this Contract. And the Contractor will take steps to ensure that such a person does not participate in any action affecting the Work under this Contract. But this will not apply when the State has determined, in light of the personal interest disclosed, that person's participation in any such action would not be contrary to the public interest.

OHIO ETHICS AND ELECTIONS LAW.

1. Ethics Law

Contractor hereby certifies that all applicable parties listed in Division (I) (3) or (J) (3) of O.R.C. Section 3517.13 are in full compliance with Divisions (I) (1) and (J) (1) of O.R.C. Section 3517.13.

In accordance with Executive Order 2007-01S, Contractor, by signature on this document, certifies: (1) it has reviewed and understands Executive Order 2007-01S, (2) has reviewed and understands the Ohio ethics and conflict of interest laws, and (3) will take no action inconsistent with those laws and this order. The Contractor understands that failure to comply with Executive Order 2007-01S is, in itself, grounds for termination of this contract and may result in the loss of other contracts with the State of Ohio.

Contractor certifies that it is currently in compliance and will continue to adhere to the requirements of Ohio ethics laws.

2. Political Contributions

The Contractor affirms in its cover letter that, as applicable to the Contractor, all personal and business associates are in compliance with Chapter 3517 of the Revised Code regarding limitations on political contributions and will remain in compliance for the duration of the Contract and with all applicable provisions that extend beyond the expiration of the Contract.

EQUAL EMPLOYMENT OPPORTUNITY. The Contractor will comply with all State and federal laws regarding equal employment opportunity, including Ohio Revised Code Section 125.111 and all related Executive Orders.

Before a contract can be awarded or renewed, an Affirmative Action Program Verification Form must be submitted to the DAS Equal Opportunity Division to comply with the affirmative action requirements. Affirmative Action Verification Forms and approved Affirmative Action Plans can be found by going to the Equal Opportunity Departments Web site: <http://www.das.ohio.gov/Eod/AAPV.htm>

INJUNCTIVE RELIEF. Nothing in this Contract is intended to limit the State's right to injunctive relief if such is necessary to protect its interests or to keep it whole.

ASSIGNMENT. The Contractor may not assign this Contract or any of its rights or obligations under this Contract without the prior, written consent of the State.

GOVERNING LAW. This Contract will be governed by the laws of Ohio, and venue for any disputes will lie exclusively with the appropriate court in Franklin County, Ohio.

ATTACHMENT FOUR  
CONTRACT

THIS CONTRACT, which results from RFP CSP900810 Breast and Cervical Cancer Project Third Party Administrator,, is between the state of Ohio, through the Department of Administrative Services (DAS), Office of Procurement Services, on behalf of the Ohio Department of Health ( ODE), (the "State") and

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(the "Contractor").

If this RFP results in a contract award, the Contract will consist of this RFP including all attachments, written addenda to this RFP, the Contractor's Proposal, and written, authorized addenda to the Contractor's Proposal. It will also include any materials incorporated by reference in the above documents and any purchase orders and change orders issued under the contract. The form of the Contract is this one page attachment to the RFP, which incorporates by reference all the documents identified above. The general terms and conditions for the Contract are contained in another attachment to the RFP. If there are conflicting provisions between the documents that make up the Contract, the order of precedence for the documents is as follows:

1. This RFP, as amended;
2. The documents and materials incorporated by reference in the RFP;
3. The Contractor's Proposal, as amended, clarified, and accepted by the State; and
4. The documents and materials incorporated by reference in the Contractor's Proposal.

Notwithstanding the order listed above, change orders and amendments issued after the Contract is executed may expressly change the provisions of the Contract. If they do so expressly, then the most recent of them will take precedence over anything else that is part of the Contract.

This Contract has an effective date of the later of April 15, 2009, or the occurrence of all conditions precedent specified in the General Terms and Conditions.

IN WITNESS WHEREOF, the parties have executed this Contract as of the dates below.

<hr/> <p>(Contractor)</p>	<hr/> <p>Department of Administrative Services (State of Ohio Agency)</p>
<hr/> <p>(Signature)</p>	<hr/> <p>(Signature)</p>
<hr/> <p>(Printed Name)</p>	<hr/> <p>Hugh Quill (Printed Name)</p>
<hr/> <p>(Title)</p>	<hr/> <p>Director, Department of Administrative Services (Title)</p>
<hr/> <p>(Date)</p>	<hr/> <p>(Date)</p>

ATTACHMENT FOUR  
CONTRACT

THIS CONTRACT, which results from RFP CSP900810 Breast and Cervical Cancer Project Third Party Administrator,, is between the state of Ohio, through the Department of Administrative Services (DAS), Office of Procurement Services, on behalf of the Ohio Department of Health ( ODE), (the "State") and

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Notwithstanding the order listed above, change orders and amendments issued after the Contract is executed may expressly change the provisions of the Contract. If they do so expressly, then the most recent of them will take precedence over anything else that is part of the Contract.

This Contract has an effective date of the later of April 15, 2009, or the occurrence of all conditions precedent specified in the General Terms and Conditions.

IN WITNESS WHEREOF, the parties have executed this Contract as of the dates below.

\_\_\_\_\_  
(Contractor) Department of Administrative Services  
\_\_\_\_\_  
(State of Ohio Agency)

\_\_\_\_\_  
(Signature) \_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed Name) Hugh Quill  
\_\_\_\_\_  
(Printed Name)

\_\_\_\_\_  
(Title) Director, Department of Administrative Services  
\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date) \_\_\_\_\_  
(Date)

ATTACHMENT FIVE A  
OFFEROR PROFILE SUMMARY FORM

Offeror's Legal Name:	Address:	
Phone Number:	Fax Number:	E-mail Address:
Home Office Location:	Date Established:	Ownership:
Number of Employees:	Number of Employees Directly involved in Tasks Directly Related to the Work:	
Additional Background Information:		

ATTACHMENT FIVE B  
OFFEROR PROFILE PRIOR PROJECT FORM

Customer Company Name:	Contact:	
Address:	Phone Number:	
Project Name:	Beginning Date of Project (Month/Year):	Ending Date of Project (Month/Year):
Description of scope of the project in detail, including your company's role. Also explain the relevance or similarity of the project to this project.		

ATTACHMENT FIVE C  
OFFEROR PROFILE PRIOR PROJECT FORM

Customer Company Name:	Contact:	
Address:	Phone Number:	
	E-mail:	
Project Name:	Beginning Date of Project (Month/Year):	Ending Date of Project (Month/Year):
Description of scope of the project in detail, including your company's role. Also explain the relevance or similarity of the project to this project.		

ATTACHMENT FIVE D  
OFFEROR PROFILE PRIOR PROJECT FORM

Customer Company Name:	Contact:	
Address:	Phone Number:	
Project Name:	Beginning Date of Project (Month/Year):	Ending Date of Project (Month/Year):
Description of scope of the project in detail, including your company's role. Also explain the relevance or similarity of the project to this project.		

ATTACHMENT SIX  
OFFEROR REFERENCES

Three (3) professional references who have received services from the Offeror in the past five (5) years. If fewer than three (3) references are provided, the Offeror must included information as to why fewer than three (3) references were provided.

Company Name:		Contact Name:	
Address:		Phone Number:	
		E-Mail Address:	
Project Name:		Beginning Date of Project: (Month/Year)	Ending Date of Project: (Month/Year)
Description of project size, complexity and the Offeror's role in this project.			
Company Name:		Contact Name:	
Address:		Phone Number:	
		E-Mail Address:	
Project Name:		Beginning Date of Project: (Month/Year)	Ending Date of Project: (Month/Year)
Description of project size, complexity and the Offeror's role in this project.			
Company Name:		Contact Name:	
Address:		Phone Number:	
		E-Mail Address:	
Project Name:		Beginning Date of Project: (Month/Year)	Ending Date of Project: (Month/Year)
Description of project size, complexity and the Offeror's role in this project.			

ATTACHMENT SEVEN A  
OFFEROR'S CANDIDATE REFERENCES

Candidate's Name: \_\_\_\_\_

Candidate's Proposed Position: \_\_\_\_\_

Three (3) professional references who have received services from the candidate in the past five (5) years. If fewer than three (3) references are provided, the Offeror must include information as to why fewer than three (3) references were provided.

Company Name:		Contact Name:	
Address:		Phone Number: E-mail:	
Project Name:		Beginning Date of Project: Month/Year	Ending Date of Project: Month/Year
Description of project size, complexity, and the candidate's role in this project.			
Company Name:		Contact Name:	
Address:		Phone Number: E-mail:	
Project Name:		Beginning Date of Project: Month/Year	Ending Date of Project: Month/Year
Description of project size, complexity, and the candidate's role in this project.			
Company Name:		Contact Name: E-mail:	
Address:		Phone Number:	
Project Name:		Beginning Date of Project: Month/Year	Ending Date of Project: Month/Year
Description of project size, complexity, and the candidate's role in this project.			

ATTACHMENT SEVEN B  
OFFEROR'S CANDIDATE INFORMATION  
EDUCATION AND TRAINING

Candidate's Name: \_\_\_\_\_

Education and Training: This section must be completed to list the education and training of the proposed candidate.

Name and Address	Months/Years	Degree/Major
College		
Technical School		
Licenses		
Certifications		

ATTACHMENT SEVEN C  
OFFEROR'S CANDIDATE EXPERIENCE REQUIREMENT

Candidate's Name: \_\_\_\_\_ Project Manager

Candidate's Proposed Position: \_\_\_\_\_

Client Company Name:		Client's Project Supervisor Contact Name:	
Address:		Phone Number:	
		E-Mail:	
Project Name:	Beginning Date of Project: Month/Year	Ending Date of Project: Month/Year	
Description of the related services provided:			
Client Company Name:		Client's Project Supervisor Contact Name:	
Address:		Phone Number:	
		E-Mail:	
Project Name:	Beginning Date of Project: Month/Year	Ending Date of Project: Month/Year	
Description of the related services provided:			
Client Company Name:		Client's Project Supervisor Contact Name:	
Address:		Phone Number:	
		E-Mail:	
Project Name:	Beginning Date of Project: Month/Year	Ending Date of Project: Month/Year	
Description of the related services provided:			

ATTACHMENT EIGHT  
OFFEROR PERFORMANCE FORM

The Offeror must provide the following information for this section for the past seven (7) years. Please indicate yes or no in each column.

Yes/No	Description
	The Offeror has had a contract terminated for default or cause. If so, the Offeror must submit full details, including the other party's name, address, and telephone number.
	The Offeror has been assessed any penalties in excess of twenty five thousand dollars (\$25,000), including liquidated damages, under any of its existing or past contracts with any organization (including any governmental entity). If so, the Offeror must provide complete details, including the name of the other organization, the reason for the penalty, and the penalty amount for each incident.
	The Offeror was the subject of any governmental action limiting the right of the Offeror to do business with that entity or any other governmental entity.
	Trading in the stock of the company has ever been suspended with the date(s) and explanation(s).
	The Offeror, any officer of the Offeror, or any owner of a twenty percent (20%) interest or greater in the Offeror has filed for bankruptcy, reorganization, a debt arrangement, moratorium, or any proceeding under any bankruptcy or insolvency law, or any dissolution or liquidation proceeding.
	The Offeror, any officer of the Offeror, or any owner with a twenty percent (20%) interest or greater in the Offeror has been convicted of a felony or is currently under indictment on any felony charge.

If the answer to any item above is affirmative, the Offeror must provide complete details about the matter. While an affirmative answer to any of these items will not automatically disqualify an Offeror from consideration, at the sole discretion of the State, such an answer and a review of the background details may result in a rejection of the Offeror's Proposal. The State will make this decision based on its determination of the seriousness of the matter, the matter's possible impact on the Offeror's performance on the project, and the best interests of the State.

ATTACHMENT NINE  
COST SUMMARY

TITLE: Breast and Cervical Cancer Project Third Party Administrator  
 RFP Number: CSP900810  
 INDEX Number: DOH019  
 UNSPSC Number: 94110000

Offeror's "Not to Exceed Cost".

1. Offeror must submit a detailed line item budget, with a budget narrative based on the Deliverables.
2. An indirect cost rate is not permissible.
3. There is no reimbursement for travel and other related expenses.
4. The State will not be liable for any costs the Offeror does not identify in the proposal.
5. Total screening dollars available for BCCP grant year = \$3,147,000.
6. Project administration, or the successful Offeror's fee is limited to 8.5% of the amount reimbursed to BCCP providers for services.
7. There is no guarantee that all of the funds available for screen will be reimbursed to BCCP providers for services.
8. Cost shall include all printing, mailing and banking service cost associated with payments and reports.

DESCRIPTION	COST	%
Budget and Budget Narrative - 6/30/2009 thru 6/30/2007	\$	
	\$	
	\$	
	\$	
	\$	
<b>TOTAL - NOT TO EXCEED COST AND PERCENT</b>	\$ 267,495.00	8.5%

ATTACHMENT TEN  
PROPOSAL LABELS

**ORIGINAL – Cost Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**ORIGINAL – Technical Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 1 of 4 – Cost Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 1 of 4 – Technical Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 2 of 4 – Cost Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 2 of 4 – Technical Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 3 of 4 – Cost Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

Proposal labels contd.

**COPY 3 of 4 – Technical Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 4 of 4 – Cost Proposal**

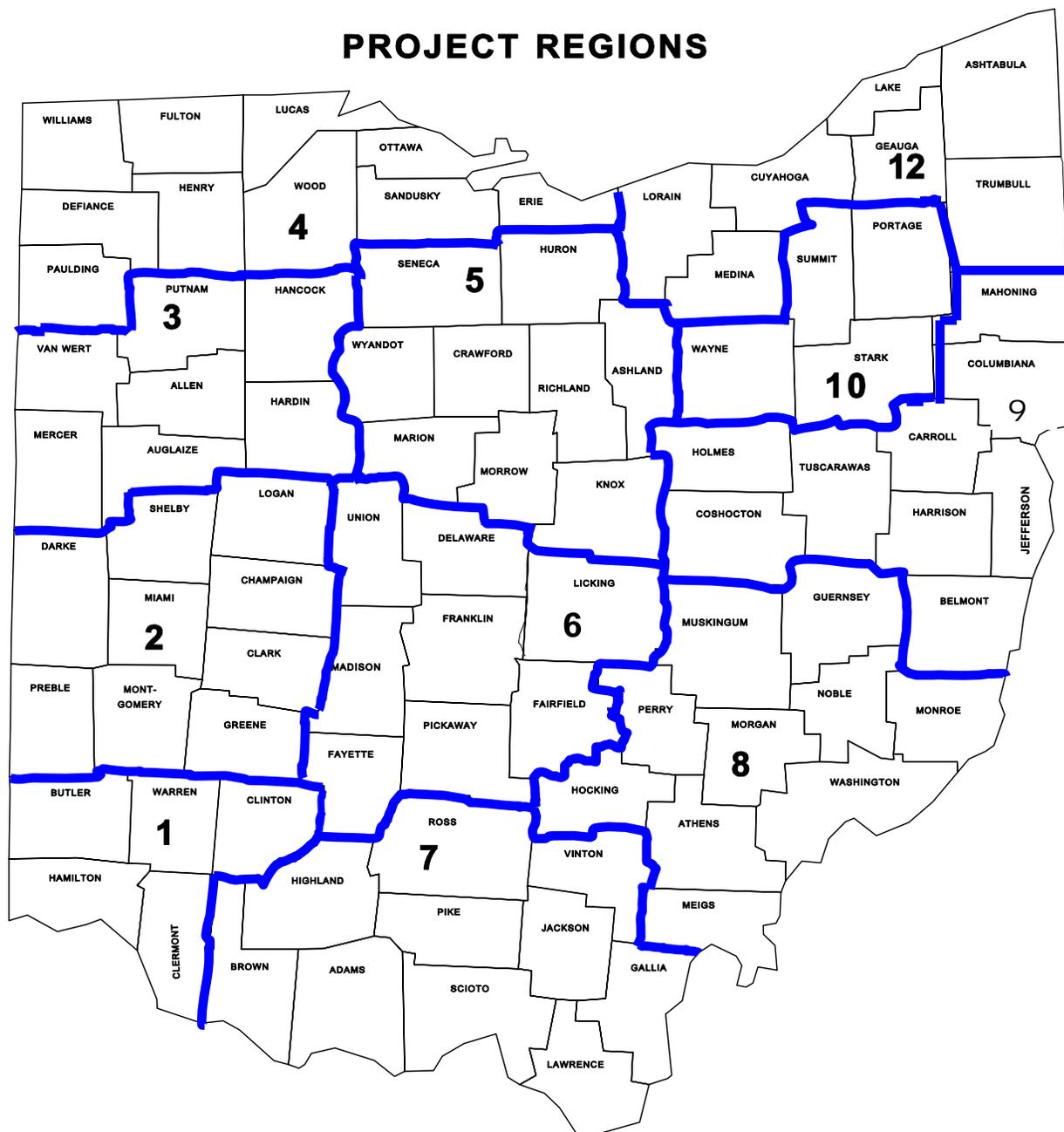
CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

**COPY 4 of 4 – Technical Proposal**

CSP900810- Breast and Cervical Cancer Project Third Party Administrator  
Department of Administrative Services  
Office of Procurement Services - Bid Desk  
4200 Surface Road  
Columbus, OH 43228-1395

## ATTACHMENT ELEVEN – A BREAST AND CERVICAL CANCER

### PROJECT REGIONS



1. Breast and Cervical Cancer Project, University of Cincinnati: **1-888-PAP-MAMM**
2. Breast & Cervical Cancer Early Detection Project, Cancer Prevention Institute: **1-866-838-8973**
3. Women's Preventive HealthCare Project, Allen County Health Department: **1-800-901-9727**
4. A Woman First, Fulton County Health Department: **1-800-929-6626**
5. Breast & Cervical Health Screening Project, Mansfield Ontario Richland County Health Department: **1-800-655-4707**
6. Women's Cancer Initiative, Columbus Health Department: **1-866-418-4963**
7. Southern Ohio Women's Cancer Project, Ross County Health Department: **1-800-944-2232**
8. Southeastern Ohio Breast & Cervical Cancer Project, Noble County Health Department: **1-800-236-6253**
9. Breast & Cervical Cancer Project, Trinity Medical Center East: **1-800-921-5151**
10. Pink Ribbon Project, Summit County Health Department: **1-800-381-2489**
11. Dissolved
12. Breast & Cervical Cancer Project, Cuyahoga County Board of Health: **1-800-443-2168**

**ATTACHMENT ELEVEN - B**  
**2009 OHIO REIMBURSEMENT RATES**

**Ohio Department of Health - Breast and Cervical Cancer Project**

Effective Date of Service January 1, 2009

Note: Payment may be made for services furnished by nurse practitioners (NPs), physician assistants (PAs) and clinical nurse specialists (CNS) at 85% of the posted fee schedule. Payment may be made for services furnished by nurse midwives at 65% of the posted fee schedule.

Note: Rates are not subject to "Facility Setting" payment amounts.

CPT Code	Office Visits – New Patients	Ohio Rate
99201	Problem focused (usually 10 minutes)	\$35.62
99202	Expanded problem focused (usually 20 minutes)	\$61.71
99203	Medical decision making of low complexity (usually 30 minutes)	\$89.77
99204	Medical decision making of moderate complexity (45 minutes)	\$89.77
99205	Medical decision making of high complexity (60 minutes)	\$89.77
	Office Visits – Established Patients	
99211	Minimal presenting problem(s) (usually 5 minutes)	\$17.94
99212	Problem focused (usually 10 minutes)	\$35.95
99213	Expanded problem focused history/exam, medical decision making of low complexity (usually 15 minutes)	\$59.59
99214	Detailed history/exam, medical decision making of moderate complexity (usually 25 minutes)	\$59.59
99215	Comprehensive history/exam, medical decision making of high complexity (usually 40 minutes)	\$59.59
	Consultations	
99241	Consultation, problem focused (usually 15 minutes)	\$47.37
99242	Consultation, problem focused (usually 30 minutes)	\$86.67
99243	Consultation, problem focused (usually 40 minutes)	\$122.06
99242	Consultation, problem focused (usually 60 minutes)	\$180.56
	Office Visits to Providers using the Preventive Medicine Evaluation Codes	
99386		\$35.62
99387		\$35.62
99396		\$35.95
99397		\$35.95

*ATTACHMENT ELEVEN - B*  
**2009 OHIO REIMBURSEMENT RATES**  
 Ohio Department of Health - Breast and Cervical Cancer Project  
 (contd.)

CPT Code	Procedures and Laboratory Payments	Ohio Rate
10021	Fine needle aspiration without imaging guidance	\$122.13
10022	Fine needle aspiration with imaging guidance	\$124.92
19000	Puncture aspiration of the breast (cystic aspiration)	\$98.38
19001	Each additional cyst	\$25.62
19100	Biopsy of breast: Needle Core	\$122.12
19101	Biopsy of breast: Incisional	\$279.52
19102	Biopsy; percutaneous, needle core, using imaging guidance (for placement of clip, see 19295)	\$198.05
19103	Biopsy of breast: Percutaneous automated vacuum assisted or rotating biopsy device using imaging guidance	\$494.80
19120	Excision of cyst, fibroadenoma or other benign or malignant tumor aberrant breast tissue, duct lesion, nipple or areolar lesion	\$409.23
19125	Excision of breast lesion identified by preoperative placement of radiological marker; single lesion	\$453.10
19126	Each additional lesion, separately identified by a radiological marker	\$150.98
19290	Preoperative placement of needle localization wire, breast	\$145.54
19291	Preoperative placement of needle localization wire, breast; each additional lesion	\$63.28
19295	Image guided placement, metallic localization clip, percutaneous, during breast biopsy (use in conjunction with 19102)	\$79.01
57452	Colposcopy (vaginocopy)	\$102.55
57454	Colposcopy-directed cervical biopsy and endocervical curettage	\$149.96
57455	Colposcopy with cervical biopsy	\$134.93
57456	Colposcopy with endocervical curettage	\$127.32
57500	Cervical biopsy, single or multiple, or local excision of lesion, with or without fulguration	\$120.16
57505	Endocervical curettage	\$93.53

**ATTACHMENT ELEVEN - B**  
**2009 OHIO REIMBURSEMENT RATES**  
 Ohio Department of Health - Breast and Cervical Cancer Project

CPT Code	Procedures and Laboratory Payments (contd.)	Ohio Rate		
		G	TC	26
77055	Mammogram, unilateral (diagnostic) [2 views of 1 breast]	\$81.40	\$46.13	\$35.27
G0206	Diagnostic mammogram, digital, unilateral	\$81.40	\$46.13	\$35.27
77056	Mammogram, bilateral (diagnostic) [4 views – 2 of each breast]	\$103.13	\$59.28	\$43.85
G0204	Diagnostic mammogram, digital bilateral	\$103.13	\$59.28	\$43.85
77057	Mammogram, bilateral (screening) [4 views – 2 of each breast]	\$78.50	\$43.23	\$35.27
G0202	Screening mammogram, digital, bilateral	\$78.50	\$43.23	\$35.27
77031	Stereotactic localization for breast biopsy, each lesion, radiologic supervision & interpretation (for procedure, see 19100)	\$189.79	\$109.39	\$80.40
77032	Preoperative placement of needle localization wire, breast, radiologic supervision & interpretation (for procedures see 19100, 19101)	\$57.96	\$28.10	\$29.86
76098	Radiologic examination, surgical specimen	\$19.14	\$10.92	\$8.22
76645	Ultrasound, breast(s) (unilateral or bilateral), B-scan and/or real time with image documentation	\$85.55	\$58.50	\$27.05
76942	Ultrasonic guidance for needle biopsy, radiologic supervision & interpretation	\$173.71	\$139.85	\$33.86
CPT Code	Procedures and Laboratory Payments	Ohio Rate		
88141	Cytopathology, cervical or vaginal requiring interpretation by physician	\$26.40		
88164	Pap test: Cytopathology, slides, cervical or vaginal using Bethesda System - manual screening	\$15.42		
88150	Pap test: Cytopathology, slides, cervical or vaginal - manual screening	\$15.42		
88142	Pap test: Cytopathology, collected in preservative fluid, auto preparation, manual screening	\$28.89		
88143	Pap test: Cytopathology same as 88142 and includes manual rescreening under physician supervision	\$28.89		
88174	Pap test: Cytopathology collected in preservative fluid, auto preparation, automated screening	\$29.67		
88175	Pap test: Cytopathology same as 88174 and includes manual rescreening under physician supervision	\$33.14		

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**2009 OHIO REIMBURSEMENT RATES**  
 Ohio Department of Health - Breast and Cervical Cancer Project

CPT Code	Procedures and Laboratory Payments (cont.)	Ohio Rate		
		G	TC	26
88172	Evaluation of fine needle aspirate with or without preparation of smears: cytohistologic study to determine adequacy	\$50.17	\$20.95	\$29.22
88173	Interpretation and report of fine needle aspirate	\$126.46	\$59.06	\$67.40
88305	Surgical pathology - Level IV (from breast biopsy, cervical biopsy or endocervical curettage: per specimen, not per patient)	\$99.03	\$62.29	\$36.74
88307	Surgical pathology - Level V (breast, excision of lesion, requiring microscopic evaluation of surgical margins)	\$198.08	\$120.02	\$78.07
87621	HPV Test (High Risk Typing, Only)	Ohio Rate		
		\$51.25		