

# REQUEST FOR PROPOSALS

**RFP NUMBER: DYS120101**

**DATE ISSUED: March 15, 2011**

The Ohio Department of Youth Services (ODYS) is requesting Bids for:

**SCHOOL PSYCHOLOGIST SERVICES AT TWO LOCATIONS –**

- **INDIAN RIVER JUVENILE CORRECTIONAL FACILITY,**
- **CIRCLEVILLE JUVENILE CORRECTIONAL FACILITY, AND**

**INQUIRY PERIOD BEGINS: March 15, 2011**

**INQUIRY PERIOD ENDS: March 25, 2011, 8:00 AM Eastern Standard Time**

**OPENING DATE: March 31, 2011**

**OPENING TIME: 3:00 PM Eastern Standard Time**

**OPENING LOCATION: ODYS Central Office  
51 N. High Street  
Columbus, OH 43215**

**ATTN: Tiffany Knight, Procurement Specialist**

**Note: Multiple awards may result from this RFP. Bidders may respond to one or multiple locations. The maximum allowable budget for these locations is \$75.00 per hour, bids submitted for more than \$75.00 per hour will not be considered.**

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- Attachment 2 Bidder Profile
- Attachment 3 Declaration Statement

Attached is an Ohio Department of Youth Services` (ODYS) Request for Proposal (RFP). If you are interested in responding please complete the "Bidder Response" and attach all documentation required. Provided below are additional requirements and directions for all potential Bidders.

- After reviewing Part Three, Exhibit A; Part 4, regarding the Declaration Statements Explanations and Attachment Three, (Standard Terms and Conditions) and if applicable, other attachments, complete and sign "Bidder Response".
- Directions for completion of the Bidder Response Form:

1. Sections should all be completed. We request that they be typed or legibly printed.
2. Proposed costs are to be ALL INCLUSIVE. Services will NOT be reimbursed if they are not listed. If the proposed cost is based upon a fee schedule or budget, be sure to attach these documents.

**NOTE: If the Bidder receives or anticipates receiving any other type(s) of funding (e.g. Medicaid, Title IV-E, etc.), the Bidder is to provide multiple rates based on eligibility (e.g. one rate for non-eligible program/youth and one rate for eligible). A schedule detailing the adjustment(s) is to be attached to the "Bidder Response."**

3. Attach any and all documents requested.
- General Notes:
    1. If you have any questions, please submit an inquiry as directed in Part One, General Instructions.
    2. Make a note of the deadline date and time detailed in Part Two, RFP Time Requirements. This information provides the date and time that your Response must be received by the ODYS Site requesting the bid. There will be no exceptions.
    3. Be sure to return the ENTIRE package received (not just the "Bidder Response" page but also all attachments), with original signatures, (blue ink is preferred) and Three (3) copies of the complete package.
    4. A "Provider Checklist" is attached (final page) to assist you with completing the RFP package.

## PART ONE: GENERAL INSTRUCTIONS

### I. GENERAL INSTRUCTIONS

#### A. Contacts

The following section provides details on how to get more information about this RFP and how to respond to this RFP. All responses must be complete and in the prescribed format.

The following Project Representative will represent ODYS during the Bid process:

**Name:** Tiffany Knight, Procurement Specialist  
**Institution:** ODYS Central Office 5<sup>th</sup> Floor Division of Finance and Planning  
**Address:** 51 North High Street  
Columbus, OH 43215

During the performance of the Contract, a Project Representative will represent ODYS and will be the primary contact for matters relating to the Contract. ODYS will designate the Contract Monitor in writing at the time the contract is awarded.

**By submitting a Bid, the Bidder acknowledges that it has read this RFP, understands it, and agrees to be bound by its requirements.**

The State is not responsible for the accuracy of any information regarding this RFP that was gathered through a source different from the inquiry process described in this RFP.

#### B. Inquiries/Clarifications

Bidders may make inquiries or seek clarifications regarding this RFP any time during the inquiry period listed in the RFP Timeframe Requirements. To make an inquiry, Bidders must access the Department of Administrative Services (DAS) State Procurement Website and post their inquiries. All inquiries must be received prior to the end of the Inquiry Period listed on the cover page. The state will make every effort to post responses within 48 hours. All inquiries and responses will be posted on the website where the bid opportunity is posted. Interested bidders will be able to access the questions and answers during the time the bid is posted.

Bidders may make inquiries regarding this RFP any time during the inquiry period listed in the Timeframe Requirements, at this website:

[www.ohio.gov/procure](http://www.ohio.gov/procure)

The Inquiry function may be accessed at this site, by choosing, "Selling to the State", "Procurement Opportunities", "Search" and then choosing the correct "Doc/Bid#." The "Submit Inquiry" function is found at the bottom of the Bid Opportunity information page.

The State will try to respond to all inquiries within 48 hours, excluding weekends and State holidays. But the State will not respond to any inquiries received after 8:00 a.m. on the inquiry end date.

Inquiries and or requests for clarification about a specific portion of this RFP must reference the relevant part of this RFP and include the provision heading with the RFP page number. The inquiry must contain the name of the prospective Bidder, and prospective Bidder's representative who should receive the response, including the representative's business telephone and fax numbers.

Bidders who attempt to seek information or clarifications verbally will be directed to reduce their questions to writing in accordance with state purchasing policy. No other form of communication is acceptable, and use of any other form of communication or any attempt to communicate with ODYS staff or any other agency of the State to discuss the bid may result in the bidder being deemed not responsive.

### **C. Bid Deadline and Requirement**

Each Bidder should submit the complete, sealed, and signed original and copies of its Bid, with the outside of the envelope(s) clearly marked as indicated in Part Two.

Acceptable delivery methods for submission of contractor Bids are U.S. Mail, any over night or express delivery service, courier or hand delivery. All Bids must be received at the submission address indicated within this RFP no later than 3:00 pm, Eastern Standard Time on the listed deadline date. No Bids will be accepted after the deadline. The time clock at the receiving facility will rule the official receipt time.

Submit Bids to the ODYS RFP Project Representative named under the Contact.

ODYS may reject any Bids or unsolicited Bid amendments received after the deadline. ODYS will reject late Bids regardless of the cause for the delay. Should a disagreement occur regarding delivery, the Bidder must provide evidence of delivery date and time.

Each Bidder must carefully review the requirements of this RFP and the contents of its Bid. Once opened, Bids cannot be altered, except as allowed by this RFP.

ODYS may reject any Bid if the Bidder takes exception to the terms and conditions of this RFP, fails to comply with the procedure for participating in the RFP process, or the Bidder's Bid fails to meet any requirement of this RFP. ODYS may also reject any Bid that it believes is not in its interests to accept and may decide not to do business with any of the potential contractors responding to this RFP.

To protect the integrity of the evaluation and award process, Bid responses will not be available for public viewing until after the contract has been officially awarded. Bidders may not contact ODYS staff, other contractors or any other member of the State to discuss their Bid or to discuss any of the other responses to the RFP. Bidders who attempt to make such contacts may be deemed not responsive.

All Bids and other submitted material will become the property of ODYS and will not be returned. The Bidder should not include proprietary information in a Bid because ODYS maintains the right to use any materials or ideas submitted without compensation to the Bidder. Additionally, all Bids will be open to the public after ODYS awards the Contract.

ODYS will retain all Bids or a copy of them, as part of the contract file for the period of the contract and any subsequent renewals. After the retention period, ODYS may return, destroy, or otherwise dispose of the Bids and copies.

### **D. Waiver of Defects**

ODYS maintains the right to waive any defects in any Bid or in the Bidder's submission process. ODYS will only waive immaterial defects if it believes that is in the ODYS's interest.

### **E. Bid Instructions**

The Contractor must organize each Bid in a manner consistent with the order of this RFP and any attachments, if any.

ODYS wants clear and concise Bids. Potential contractors should take care to completely answer questions and meet all RFP requirements.

The requirements for the Bid's contents and formatting are contained in an attachment to this RFP.

ODYS will not be liable for any costs incurred by any Bidder in responding to this RFP, even if the ODYS does not award a Contract through this process. ODYS may decide not to award a service contract. It may also cancel this RFP and contract for these services through some other process or by issuing another RFP.

The requirements for the Bid's contents and formatting are contained in an attachment to this RFP. A bid must be judged as responsive and responsible in order to be considered for award.

**Responsive:** A bidder is responsive if its bid responds to the bid specifications and requests for documents and attachments in all material respects; and, contains no irregularities or deviations from the specifications that would affect the amount of the bid or otherwise give the bidder an unfair advantage.

**Responsible:** DYS' determination of a bidder's responsibility includes the following factors:

1. experience of the bidder;
2. bidder's financial condition;
3. bidder's conduct and performance on previous contracts;
4. the bidder's facilities (if applicable);
5. the bidder's management skills;
6. the bidder's ability to execute the contract properly, and;
7. review of Federal and the Ohio Department of Transportation debarment list.

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## PART TWO: RFP TIMEFRAME REQUIREMENTS

### II. RFP TIMEFRAME REQUIREMENTS

#### A. Firm Dates

|                                  |   |
|----------------------------------|---|
| RFP Issued:                      | March 15, 2011                                  |
| Inquiry Period Begins:           | March 15, 2011                                  |
| Inquiry Period Ends:             | March 25, 2011, 8:00 AM Eastern Standard Time   |
| Bid Due Date:                    | March 31, 2011 by 3:00 PM Eastern Standard Time |
| Controlling Board (if necessary) | May 23, 2011                                    |
| Work Begins:                     | July 1, 2011, or Date of Final Signature        |

Failure to meet a deadline, in the submission or evaluation phases or any objection to the dates for performance in the Bid phase, may result in the ODYS not considering the Bid of the Bidder.

#### B. Amendments to Bids

Amendments or withdrawals of Bids are allowed until 3:00 P.M., Eastern Standard Time, on the stated Bid Due Date. No amendment or withdrawals will be permitted after the due date, except as expressly authorized by this RFP.

#### C. Bid Deadline and Requirement

Each Bidder must submit its Bid, in a sealed envelope, with the outside of each envelope clearly marked as:

**“SCHOOL PSYCHOLOGIST SERVICES FOR TWO LOCATIONS”**

**Note: Multiple awards may result from this RFP. Bidders may respond to one or multiple locations. If bidding on one location, complete only the Bidder Response Form for that location;**

**The maximum allowable budget for these locations is \$75.00 per hour, bids submitted for more than \$75.00 per hour will not be considered.**

***The term of resulting contract(s) is from July 1, 2011, (or, date of final signature) to June 30, 2013 and, may be renewed for any period of time up to twenty-four (24) additional months.***

**Staffing Plan** – Availability for dictation volume is indicated in Exhibit A.

The objective of this RFP is to solicit Bids for the requested services to the Ohio Department of Youth Services at the institution(s) indicated. The successful Bid will form the basis of a contract for such services, and be the minimum acceptable standard expected of the contractor throughout the term of the contract.

The Contractor must fully describe and document how they will fulfill the services as required by the RFP. The Contractor must provide services in a manner consistent with

established standards of the American Correctional Association (ACA), the Ohio Department of Youth Services and all federal, state and local laws.

The Contractor will schedule service times with the appropriate ODYS Institution program administrative staff within hours that inmates are available as dictated by count, meal, movement schedules, and support staff availability.

PART THREE: OVERVIEW OF SERVICES  
**EXHIBIT A – School Psychologist**

**A. STATEMENT OF NEED:**

- The following Correctional Facilities are seeking a School Psychologist to provide on-site school psychologist services to the youth under the jurisdiction of the Ohio Department of Youth Services:
  1. Indian River JCF; Indian River High School- 30 hours per week
  2. Circleville JCF; Ralph C. Starkey High School- 20 hours per week

**Note: Multiple awards may result from this RFP. Bidders may respond to one or multiple locations.**

**The maximum allowable budget for these locations is \$75.00 per hour, bids submitted for more than \$75.00 per hour will not be considered.**

- The anticipated contract period for award of each contract is from July 1, 2011, or date of final signature, through June 30, 2013 but is subject to change at the sole discretion of ODYS.
- As part of the Procedural Safeguards for Handicapped children, Federal and State law requires that a multi-disciplinary group of professionals conduct a multi-factored evaluation of any child suspected of being handicapped. A member of this team is the school psychologist, who is responsible for utilizing diagnostic instruments and techniques, appropriate for the handicap or suspected handicap, to assess the intellectual and behavior functioning of the student.

**B. STATEMENT OF SERVICES (APPLIES TO AWARD FOR EACH LOCATION):**

- The contractor shall provide school psychologist services on-site with an average of number of hours listed above and on Bid Response Form per week each month. The days of the week and the times of the day shall be mutually determined and agreed upon by the contractor and the facility.
- Experience in adolescent and/or a juvenile offender population is preferred but not required.
- The contractor must at all times during the term of this contract maintain current Ohio licensure and/or certifications with no restrictions or limitations. The contractor must provide proof of such licensure and/or certifications.
- A School Psychologist shall be licensed by, and in good standing with, the Ohio Department of Education in School Psychology. Licensure from the Ohio Board of Psychology is preferred but not required.
- The contractor shall observe the laws, regulations and policies of the State of Ohio and the Department of Youth Services in providing school psychologist services.

Contractor services shall include, but not be limited to, the following:

- Completing multi-factored evaluations (initial and re-evaluations) in compliance with Ohio Department of Education Standards within a designated time frame.
- Providing psycho-educational evaluations for students identified as, or thought to be, handicapped. Psycho-educational assessments shall include:
  - A. Norm referenced tests that measure intelligence (Wechsler Intelligence Scale for Children-Third Edition or Wechsler Adult Intelligence Scale – Third Edition), visual motor skills, and gross and fine motor skills;
  - B. Interviews with the student and/or other individuals familiar with the student; and

C. Observation of the student.

These reports may include test scores, background information, and other related data that is deemed appropriate by the psychologist and from the psychological information provided by the court. Any information used shall be current (within 6 months) as noted as such in the report.

- Consulting individually, and in groups, with handicapped students and/or parents, teachers, and other personnel on matters relating to the education and mental health of handicapped students to ensure the development and provision of the most appropriate education program.
- Maintenance of a monthly Psycho-Educational Evaluation Log for each month of service.
- Maintenance of client information in a secure and confidential manner consistent with department and local procedures, as well as guidelines set by the State Board of Psychology and the Ohio Revised Code.
- Reviews research in current best practice and presents recommendations to school administration for review and potential implementation as requested, including staff training.
- Adherence to Security, key and tool control procedures.

**C. MONITORING & EVALUATION:**

The contractor will report administratively to the school principal who will be responsible for monitoring on-site school psychology service provision. In the absence of a school principal, the contractor will report administratively to the designee mutually agreed upon by the Site Manager and the Bureau Chief of Education.

Contract compliance will be monitored by school principal, who will monitor the Psycho-educational Evaluation Log on a monthly basis to evaluate the effectiveness of services covered in this contract. This log is reviewed at the end of each month and signed by both the psychologist and the principal to verify the services rendered. The principal shall maintain a copy of the log.

The contractor is required to submit and sign an invoice of services rendered for the process of payment. Biweekly submission is preferred.

The principal must complete an annual evaluation of the service provided and submit it to the educational administrator sixty (60) days prior to the expiration of the contract.

**D. SELECTION CRITERIA:**

Scoring of received proposals and selection of a contractor will be based on the proposed bid dollar amount and the relative importance of each factor as follows:  
Provided below are the scoring criteria that will be utilized in award of the contract:

To determine if the Bidder is Responsive:

| Documents Requested to be in the Bid Response:                          | Yes/No: |
|---|---------|
| Bidder returned an original, signed bid and requested number of copies? |         |
| Bidder returned a copy of required licensure?                           |         |
| Bidder returned a signed Declaration of Material Assistance Form?       |         |
| Bidder returned the completed, signed Declaration Pages?                |         |
| Bidder returned a signed W-9 Form?                                      |         |

**Note: DYS may reject bid without scoring or further consideration if bidder is deemed as non-responsive.**

**EXPERIENCE AND BACKGROUND**

- Proven experience working with adolescents 40
- Experience as a School Psychologist beyond internship
- Experience assessing students & writing multi-factored evaluations team reports
- Past performance on previous contracts or in previous positions (Experience other than state contract service may be considered)
- Experience working collaboratively as a member of a multidisciplinary team

**DELIVERY PLAN**

- Ability to deliver services on-site each week at a mutually determined and agreed upon day/time. 15

**INTERVIEW WITH INSTITUTIONAL STAFF** 30

**COST EFFECTIVENESS**

- Is this the lowest bid? 15

**Total** 100

**E. RENEWAL CLAUSE:**

At the sole option of ODYS, this contract may be renewed under the same terms and conditions applicable to this contract for any period of time, not to exceed twenty-four months. In order to exercise this option, ODYS must advise the Contractor, in writing, sixty (60) days prior to the expiration of current contract.

In accordance with Section 126.07 of the Revised Code of Ohio, any renewal hereunder shall not be valid or enforceable, unless and until the Director, Office of Budget and Management first certifies that there is a balance in the appropriation, not already obligated to pay existing obligations.

The Ohio Department of Youth Services reserves the right to reject any and all proposals where the offeree takes exception to ODYS terms and conditions or fails to meet the terms and conditions, including, but not limited to, standards, specifications and requirements.

In addition, The Ohio Department of Youth Services reserves the right to reject, in whole or in part, any and all responses if any of the following circumstances are true:

- (A) bids offer supplies or services that are not in compliance with the requirements, specifications, terms or conditions stated in the bid document,
- (B) the price of the lowest responsive and responsible bid is deemed excessive in comparison with market conditions or with the purchasing agency’s available funds, or
- (C) ODYS determines that awarding any item is not in the best interest of the state of Ohio.

**PROPOSAL EVALUATION CRITERIA**

**I. EXPERIENCE AND BACKGROUND**

| <b><u>Meets requirements of Exhibit A.</u></b>   | <b><u>0 - 10<br/>Poor</u></b> | <b><u>11 - 24<br/>Adequate</u></b> | <b><u>25 - 34<br/>Good</u></b> | <b><u>35 - 40<br/>Superior</u></b> |
|--|-------------------------------|------------------------------------|--------------------------------|------------------------------------|
| <ul style="list-style-type: none"> <li>• Proven experience working with adolescents</li> <li>• Experience as a School Psychologist beyond internship</li> <li>• Experience assessing students &amp; writing multi-factored evaluations team reports</li> <li>• Past performance on previous contracts or in previous positions (Experience other than state contract service may be considered)</li> <li>• Experience working collaboratively as a member of a multidisciplinary team</li> </ul> |                               |                                    |                                |                                    |

**II. DELIVERY OF SERVICES**

| <b><u>Demonstrates ability to provide services as specified.</u></b>   | <b><u>0 - 6<br/>Poor</u></b> | <b><u>7 - 10<br/>Adequate</u></b> | <b><u>11 - 13<br/>Good</u></b> | <b><u>14 - 15<br/>Superior</u></b> |
|--|------------------------------|-----------------------------------|--------------------------------|------------------------------------|
| <ul style="list-style-type: none"> <li>• Ability to deliver services on-site each week at a mutually determined and agreed upon day/time.</li> </ul> |                              |                                   |                                |                                    |

**III. BUDGET**

| <b><u>Billing components are clear and concise.</u></b>  | <b><u>0 - 6<br/>Poor</u></b> | <b><u>7 - 10<br/>Adequate</u></b> | <b><u>11 - 13<br/>Good</u></b> | <b><u>14 - 15<br/>Superior</u></b> |
|--|------------------------------|-----------------------------------|--------------------------------|------------------------------------|
| <ul style="list-style-type: none"> <li>• Unit cost is reasonable considering the comprehensive services to meet the needs of the contract agency (ODYS).</li> <li>• The proposal reflects the lowest bid.</li> </ul> |                              |                                   |                                |                                    |

**IV. INTERVIEW**

| <b><u>A summary of interview/presentation.</u></b>   | <b><u>0 - 4<br/>Poor</u></b> | <b><u>5 - 14<br/>Adequate</u></b> | <b><u>15 - 28<br/>Good</u></b> | <b><u>29 - 30<br/>Superior</u></b> |
|--|------------------------------|-----------------------------------|--------------------------------|------------------------------------|
| <ul style="list-style-type: none"> <li>• The Contractor reflects a professional appearance;</li> <li>• The Contractor has all required licenses;</li> <li>• The Contractor was able to express how service would be provided;</li> <li>• The Contractor reflects the vendor's willingness to adhere to security, key and tool control procedures.</li> </ul> |                              |                                   |                                |                                    |

## **PART FOUR: DECLARATION STATEMENT EXPLANATIONS**

I. Instructions – The intent of this part of the bid document is to clarify the items that will be required to implement a contract and to explain the Declaration Statements on Attachment Three. Bidders must sign and return Attachment Three with their bid response.

### **Ohio Elections Law**

#### **A. Prohibition**

State agencies whose directors or heads are appointed by the Governor are prohibited by Divisions (I) and (J) of Section 3517.13 of the Revised Code from awarding any noncompetitively bid contract for the purchase of goods or services costing more than \$500.00 to an entity listed in those Divisions if a party listed in those Divisions or the spouse of such party has made, as an individual, within the two previous calendar years, one or more contributions totaling in excess of \$1,000.00 to the Governor or to the Governor's campaign committee.

#### **B. Individual, Partnership, Association, Estate or Trust**

A vendor that is an individual, partnership, association, including, without limitation, a professional association organized under Chapter 1785. of the Revised Code, estate, or trust shall include in its bid an affirmative statement that, as applicable to the vendor, no such individual or spouse of such individual has made, and no partner, shareholder, administrator, executor, or trustee, or the spouses of any of them has made, as an individual, within the two previous calendar years, one or more contributions totaling in excess of \$1,000.00 to the Governor or to the Governor's campaign committee, consistent with the restrictions of Section 3517.13 (I) of the Revised Code.

#### **C. Corporation or Business Trust**

A vendor that is a corporation or business trust, except a professional association organized under Chapter 1785. of the Revised Code, shall include in its bid an affirmative statement that no owner of more than twenty percent of the corporation or business trust or the spouse of such person, has made, as an individual, within the two previous calendar years, taking into consideration only owners for all of such period, one or more contributions totaling in excess of \$1,000.00 to the Governor or to the Governor's campaign committee, consistent with the restrictions of Section 3517.13 (J) of the Revised Code.

### **Ohio Ethics and Conflict of Interest Laws**

In accordance with Executive Order 2007-01S, Vendor or Grantee, by signature on this document, certifies: (1) it has reviewed and understands Executive Order 2007-01S; (2) it has reviewed and understands the Ohio ethics and conflict of interest laws; and, (3) will take no action inconsistent with those laws and this order. The Vendor or Grantee understands that failure to comply with Executive Order 2007-01S is, in itself, grounds for termination of this contract or grant and may result in the loss of other contracts or grants with the State of Ohio.

**Equal Employment Opportunity:** The Contractor will comply with all state and federal laws regarding equal employment opportunity, including Ohio Revised Code Section 125.111 and all related Executive Orders.

***Before a contract can be awarded or renewed, an Affirmative Action Program Verification Form must be submitted to the DAS Equal Opportunity Division to comply with the affirmative action requirements. Affirmative Action Verification Forms and***

**approved Affirmative Action Plans can be found by going to the Equal Opportunity Department's website: <http://www.das.ohio.gov/Eod/AAPV.htm>**

Prohibition of the Use of Public Funds for Offshore Services:

In accordance with Executive Order 2010-09S, regarding the prohibition on the purchase of offshore services, Vendor or Grantee, by signature on this document, certifies: (1) it has reviewed and understands the provisions of Executive Order 2010-09S; (2) it understands and will abide by the requirements of this order; (3) will disclose the location(s) where all services will be performed by any contractor or subcontractor; (4) will disclose the location(s) where any state data associated with any of the services they are providing, or seek to provide, will be accessed, tested, maintained, backed-up, or stored; (5) will disclose any shift in the location of any services being provided by the contractor or subcontractor; (6) will disclose the principal location of business for the contractor and all subcontractors who are supplying services to the state under the proposed contract.

Statement of Compliance.

ODYS intends for the Contractor, all of the Contractor's employees and/or subcontractors to implement and comply with all federal and Ohio laws, rules of the Ohio Administrative Code, ODYS security policies, Standard Operating Procedures and, where applicable, Protocols of the Office of Correctional Healthcare, ODYS Management Audit Standards and ACA Standards pertaining to healthcare services as those laws, rules and policies, and standards are currently enacted and promulgated and as they may subsequently be amended and adopted. The Contractor agrees that any students, interims, or residents, as part of a formal training program, will work under staff supervision commensurate with their level of training.

Bidder Profile Summary

Using the form provided in Attachment Two, the Bidder must provide a five-year history of all contracts, for which the Bidder is providing, or has provided under any previous corporate name or identity, services similar to those requested in this RFP. Information provided includes: Company name and address, contact person and phone number, program name, beginning date of project (month/year), ending date of the project (month/year), description of related services provided that relates to the requirements of this RFP. Attachment Two may be reproduced as needed to respond to the mandatory requirements and desirable requirements as stated in this RFP.

Conflict of Interest

Each Bid must include a statement indicating whether the Bidder, or any people that may work on the project through the Bidder, have a possible conflict of interest, direct or indirect, which is incompatible with the fulfillment of these services. ODYS has the right to reject a Bid in which a conflict is disclosed or cancel the contract if any interest is later discovered that could give the appearance of a conflict. See third page of Declaration Statements, Attachment Three.

Proof of Workers' Compensation Insurance

The Contractor must carry and show proof of current coverage for Workers' Compensation for all employees, subcontractors and independent contractors under this contract. The Contractor agrees and understands that ODYS shall not provide Workers' Compensation coverage for the contractor, employees of the contractor or any subcontractors. Sole proprietors and staffing agency companies are also subject to comply with all Workers' Compensation insurance requirements.

All insurance policies must remain in effect during the term of this contract and any subsequent renewals. The Contractor must continue to provide proof of current coverage for each policy any time a previous certificate expires.

#### W-9 Form

The Bidder must complete a W-9 form in its entirety. At least one original W-9 form must be submitted, completed in blue, not black, ink. All other copies of a Bid may contain copies of the W-9 form. Please indicate on the cover letter, which Bid is the original. The W-9 form may be accessed and downloaded at the following website: <http://www.irs.gov/faqs/faq12-5.html>

#### Declaration of Material Assistance

**Completed Declaration of Material Assistance (DMA)/NonAssistance to Terrorist Organization. *Prior to Award***, the Contractor must complete return this form with the bid response. No award can be made unless this form is on file with the Contracts Section of ODYS. The form may be accessed and downloaded at the following website:

[http://www.homelandsecurity.ohio.gov/DMA\\_Terrorist/HLS\\_0038\\_Contracts.pdf](http://www.homelandsecurity.ohio.gov/DMA_Terrorist/HLS_0038_Contracts.pdf)

Ohio Department of Youth Services  
**SAMPLE CONTRACT INVOICE**

|                         |                                    |
|-------------------------|------------------------------------|
| CONTRACTOR NAME/ADDRESS | PURCHASER NAME/ADDRESS (ODYS Site) |
|-------------------------|------------------------------------|

**NOTE:** Contractor must invoice ODYS within 10 working days of the final date of service for the invoice period

The following are to be utilized for "Description of Service(s) and "Unit Type" listed below

|  |                     |                |             |                     |
|--|---------------------|----------------|-------------|---------------------|
|  | *PER YOUTH          | *SCREEN/TEST   | *ASSESSMENT | *MILEAGE            |
|  | *INDIVIDUAL SESSION | *GROUP SESSION |             | *PER YOUTH/PER HOUR |
|  | *PER MEAL VISIT     | *HOURLY        | *WEEKLY     | *MONTHLY *PER       |

|                  |  |
|------------------|--|
| TYPE OF SERVICE: |  |
|------------------|--|

|                   |  |
|-------------------|--|
| DATES OF SERVICE: |  |
|-------------------|--|

|  | DESCRIPTION OF SERVICE(S) | UNIT TYPE (IF REQUIRED) |  | TOTAL UNITS | X | UNIT RATE (A) | = | TOTAL |  |
|--|---------------------------|-------------------------|--|-------------|---|---------------|---|-------|--|
|  | School Psychologist Svcs. | Hour                    |  |             | X |               | = |       |  |
|  |                           |                         |  |             | X |               | = |       |  |
|  |                           |                         |  |             | X |               | = |       |  |
|  |                           |                         |  |             | X |               | = |       |  |
|  | <b>TOTAL:</b>             |                         |  |             |   |               |   |       |  |

(A) if rate is less than "contracted rate due to cost sharing, other funding received, etc. attach detailed explanation

NOTE: if travel reimbursement is a part of said contract, it shall be paid in accordance with rates in the travel rules of the State of Ohio. Office of Budget and Management as set forth in Ohio Administrative Code. Rule 126.1-02

I certify that the above units of service were delivered and that any other related reimbursements requested are accurate and in accordance with the established contract. Above costs are not being reimbursed by Medicaid.

Contractor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

I certify that the above units of service and any other related reimbursements requested for payment were received and are in accordance with the established contract. If applicable, I have verified that

the information submitted on the attached "Contractor's Activity Sheet" balances to the above information.

ODYS Signature: \_\_\_\_\_ Date: \_\_\_\_\_

ODYS Approver: \_\_\_\_\_ Date: \_\_\_\_\_

Note: Submit original and 1 copy

**PART FIVE: BIDDER RESPONSE FORM**

**Note: Multiple awards may result from this RFP. Bidders may respond to one or multiple locations. If bidding on one location, complete only the Bidder Response Form for that location**

BIDDER RESPONSE  
***BID DYS120101, SCHOOL PSYCHOLOGIST SERVICES –  
 At Indian River JCF (Indian River High School)***

Please Print or Type:

|   |   |                     |
|---|---|---------------------|
| a) Bidder's Name                            | b) Company Name (If applicable)                                     |                     |
| c) Address                                  | d) City, State and Zip  | e) Telephone Number |
| f) Federal Tax I.D. # or S.S.# (Circle One) | g) Contact Person's Name (List telephone # if different than above) |                     |
| h) Contact Email Address:                   |   |                     |

| i) | (Required)<br>STATE<br>FISCAL YEAR            | (Required)<br>UNIT<br>(Hour, each, etc.)                | (Required)<br>PROPOSED<br># OF UNITS *    | X | (Required) *<br>MAXIMUM<br>UNIT COST | = | (Required)<br>MAXIMUM<br>TOTAL |
|----|---|---|---|---|--------------------------------------|---|--------------------------------|
|    | FY2012 and 13<br>(Date of Award –<br>6/30/13) | Hour  | 3120                                      | X |                                      | = |                                |
|    |   |   | (Approximate<br>for both fiscal<br>years) | X |                                      | = |                                |
|    |   | <b>(30 hours/week<br/>MAX/1560 per<br/>fiscal year)</b> |   | X |                                      | = |                                |
|    |   |   |   | X |                                      | = |                                |
|    | <b>TOTAL</b>                                  |   |   |   |                                      |   |                                |

\* If the Bidder receives or anticipates receiving any other type(s) of funding (e.g. Medicaid, Title IV-E, etc.), the Bidder is to provide multiple rates based on eligibility (e.g. one rate for non-eligible program/youth and one rate for eligible). A schedule detailing the adjusted rates is to be attached to the Bidder's Response.

Provide a detailed description of the program/service that will be provided in response to this RFP.

i) Include how you or your organization meets or exceeds the capability to provide the services described in the attached Exhibit A. If it is demonstrated that you or your organization can NOT provide these services, your RFP Response may be rejected.

If there are any exceptions to the services requested in Exhibit A of this RFP, attach a detailed explanation.

j) DYS reserves the right to disqualify any bidder whose exceptions significantly impact the delivery of services.

*My **ORIGINAL SIGNATURE** below signifies that I understand and accept, on behalf of myself or the above named Company, all of the terms and conditions contained herein and attached. I understand that an unsigned or copy of this RFP is **NOT** acceptable.*

**above.**

**Authorized Signature:**

**Date**

\_\_\_\_\_

\_\_\_\_\_

**COMPANY NAME** (type or print)

**TITLE** (type or print)

\_\_\_\_\_

\_\_\_\_\_

**TAX ID NUMBER:** (type or print)

**EMAIL ADDRESS:**  
(type or print)

\_\_\_\_\_

\_\_\_\_\_

**CONTACT PHONE NO:** (type or print)

**CONTACT FAX:** (type or print)

\_\_\_\_\_

\_\_\_\_\_

**PART FIVE: BIDDER RESPONSE FORM**

**Note: Multiple awards may result from this RFP. Bidders may respond to one or multiple locations. If bidding on one location, complete only the Bidder Response Form for that location**

BIDDER RESPONSE  
***BID DYS120101, SCHOOL PSYCHOLOGIST SERVICES –  
 At Circleville JCF (Ralph C. Starkey High School)***

Please Print or Type:

|   |  |   |                     |
|---|--|---|---------------------|
| a) Bidder's Name                            |  | b) Company Name (If applicable)                                     |                     |
| c) Address                                  |  | d) City, State and Zip  | e) Telephone Number |
| f) Federal Tax I.D. # or S.S.# (Circle One) |  | g) Contact Person's Name (List telephone # if different than above) |                     |
| h) Contact Email Address:                   |  |   |                     |

| i) | (Required)<br>STATE<br>FISCAL YEAR     | (Required)<br>UNIT<br>(Hour, each, etc.)        | (Required)<br>PROPOSED<br># OF UNITS *    | X | (Required) *<br>MAXIMUM<br>UNIT COST | = | (Required)<br>MAXIMUM<br>TOTAL |
|----|--|---|---|---|--------------------------------------|---|--------------------------------|
|    | FY2011<br>(Date of Award –<br>6/30/13) | Hour  | 2080                                      | X |                                      | = |                                |
|    |  |   | (Approximate<br>for both fiscal<br>years) | X |                                      | = |                                |
|    |  | (20 hours/week<br>MAX/1,040 per<br>fiscal year) |   | X |                                      | = |                                |
|    |  |   |   | X |                                      | = |                                |
|    | <b>TOTAL</b>                           |   |   |   |                                      |   |                                |

\* If the Bidder receives or anticipates receiving any other type(s) of funding (e.g. Medicaid, Title IV-E, etc.), the Bidder is to provide multiple rates based on eligibility (e.g. one rate for non-eligible program/youth and one rate for eligible). A schedule detailing the adjusted rates is to be attached to the Bidder's Response.

Provide a detailed description of the program/service that will be provided in response to this RFP.

i) Include how you or your organization meets or exceeds the capability to provide the services described in the attached Exhibit A. If it is demonstrated that you or your organization can NOT provide these services, your RFP Response may be rejected.

j) If there are any exceptions to the services requested in Exhibit A of this RFP, attach a detailed explanation. DYS reserves the right to disqualify any bidder whose exceptions significantly impact the delivery of services.

*My **ORIGINAL SIGNATURE** below signifies that I understand and accept, on behalf of myself or the above named Company, all of the terms and conditions contained herein and attached. I understand that an unsigned or copy of this RFP is **NOT** acceptable.*

**Authorized Signature:**

**Date**

\_\_\_\_\_

\_\_\_\_\_

**COMPANY NAME** (type or print)

**TITLE** (type or print)

\_\_\_\_\_

\_\_\_\_\_

**TAX ID NUMBER:** (type or print)

**EMAIL ADDRESS:**  
(type or print)

\_\_\_\_\_

\_\_\_\_\_

**CONTACT PHONE NO:** (type or print)

**CONTACT FAX:** (type or print)

\_\_\_\_\_

\_\_\_\_\_

**PART FIVE: BIDDER RESPONSE FORM**

**Note: Multiple awards may result from this RFP. Bidders may respond to one or multiple locations. If bidding on one location, complete only the Bidder Response Form for that location. Bidders responding to MULTIPLE locations, complete this form.**

**BIDDER RESPONSE  
 BID DYS110108, SCHOOL PSYCHOLOGIST SERVICES – at  
 MULTIPLE locations**

*Please Print or Type:*

|   |  |   |                     |
|---|--|---|---------------------|
| a) Bidder's Name                            |  | b) Company Name (If applicable)                                     |                     |
| c) Address                                  |  | d) City, State and Zip  | e) Telephone Number |
| f) Federal Tax I.D. # or S.S.# (Circle One) |  | g) Contact Person's Name (List telephone # if different than above) |                     |
| h) Contact Email Address:                   |  |   |                     |

| i) | (Required)<br>STATE<br>FISCAL YEAR     | (Required)<br>UNIT<br>(Hour, each, etc.)                             | (Required)<br>PROPOSED<br># OF UNITS * | X | (Required) *<br>MAXIMUM<br>UNIT COST | = | (Required)<br>MAXIMUM<br>TOTAL |
|----|--|--|--|---|--------------------------------------|---|--------------------------------|
|    | FY2011<br>(Date of Award –<br>6/30/11) | Indian River JCF,<br>Indian River HS<br>Hour (30<br>hrs./week)       | 3120                                   | X |                                      | = |                                |
|    | FY2011<br>(Date of Award –<br>6/30/11) | Circleville JCF,<br>Ralph C. Starkey<br>HS<br>Hour (20<br>hrs./week) | 2080                                   | X |                                      | = |                                |
|    | <b>TOTAL</b>                           |  |  |   |                                      |   |                                |

\* If the Bidder receives or anticipates receiving any other type(s) of funding (e.g. Medicaid, Title IV-E, etc.), the Bidder is to provide multiple rates based on eligibility (e.g. one rate for non-eligible program/youth and one rate for eligible). A schedule detailing the adjusted rates is to be attached to the Bidder's Response.

Provide a detailed description of the program/service that will be provided in response to this RFP.

i) Include how you or your organization meets or exceeds the capability to provide the services described in the attached Exhibit A. If it is demonstrated that you or your organization can NOT provide these services, your RFP Response may be rejected.

j) If there are any exceptions to the services requested in Exhibit A of this RFP, attach a detailed explanation. DYS reserves the right to disqualify any bidder whose exceptions significantly impact the delivery of services.

*My **ORIGINAL SIGNATURE** below signifies that I understand and accept, on behalf of myself or the above named Company, all of the terms and conditions contained herein and attached. I understand that an unsigned or copy of this RFP is **NOT** acceptable.*

**Authorized Signature:**

**Date**

\_\_\_\_\_

\_\_\_\_\_

**COMPANY NAME** (type or print)

**TITLE** (type or print)

\_\_\_\_\_

\_\_\_\_\_

**TAX ID NUMBER:** (type or print)

**EMAIL ADDRESS:**  
(type or print)

\_\_\_\_\_

\_\_\_\_\_

**CONTACT PHONE NO:** (type or print)

**CONTACT FAX:** (type or print)

\_\_\_\_\_

\_\_\_\_\_

## ATTACHMENT ONE: STANDARD TERMS AND CONDITIONS

1. DEPARTMENT OF ADMINISTRATIVE SERVICES (DAS) OR CONTROLLING BOARD APPROVAL: If DAS or Controlling Board approval is required, this Contract shall not be valid and enforceable until appropriate approvals are received.
2. CERTIFICATE OF AVAILABLE FUNDS: Notwithstanding any other provision of this Contract, and in accordance with Section 126.07 of the Revised Code of Ohio, this Contract shall not be valid or enforceable, unless and until the Director, Office of Budget and Management first certifies that there is a balance in the appropriation, not already obligated to pay existing obligations.
3. NATURE OF CONTRACT: It is fully understood and agreed that the Contractor is an independent contractor and is not an agent, servant or employee of ODYS or the State of Ohio. The Contractor must receive ODYS written approval prior to entering into any subcontract or joint venture for the delivery of services required by this Contract. If the Contractor enters into any agreement with a subcontractor, the Contractor is ultimately responsible for any and all actions or omissions by the subcontractor in the delivery of services under this contract. Throughout the term of this contract, the Contractor shall provide ODYS with copies of all current licensure, certification, and/or accreditation, including any renew or re-issuance thereof, for any employee or subcontractor, providing services under this contract.

The Contractor agrees that while operating in an ODYS facility the contractor and/or any employee or subcontractor of the contractor, shall follow all applicable rules and regulations for that facility.

Except as expressly provided herein, neither party shall have the right to bind or obligate the other party in any manner without the prior written consent of the other party.

4. NONDISCRIMINATION: Pursuant to O.R.C., Section 125.111, the Contractor agrees that Contractor, any subcontractor, and any person acting on behalf of the Contractor or subcontractor, will not discriminate, by reason of race, color, religion, sex, age, disability, as defined in ORC 4112.01, national origin, or ancestry against any citizen of this state in the hiring of any person qualified and available to perform the work under this contract. Contractor further agrees that Contractor, any subcontractor, and any person acting on behalf of Contractor or subcontractor shall not, in a manner, discriminate against, intimidate, or retaliate against any employee hired for the performance of work under this contract on account of race, color, religion, sex, age, disability as defined in ORC 4112.01, national origin, or ancestry.

The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices, setting forth the provisions of this nondiscrimination clause. The Contractor and any Subcontractor shall state in all solicitations or advertisements for employees placed by, or on behalf of, the Contractor that it is an equal opportunity employer and shall incorporate the requirements of this Section in all of its contracts for any of the performance of work under this contract.

The Contractor agrees that it has a written affirmative action program for the employment and effective utilization of economically disadvantaged persons, as defined in Ohio Revised Code, Section 122.71. Annually, the contractor agrees to file a description of the affirmative action program and a progress report on its implementation with the Equal Employment Opportunity Office of the Department of Administrative Services.

5. TAXES: The Contractor affirms that it is not delinquent in the payment of any applicable federal, state, and local taxes and agrees to comply with all applicable Federal, State and Local laws in the performance of the work hereunder.

The Contractor accepts full responsibility for payment of all taxes, including and without limitation, unemployment compensation, insurance premiums, all income tax deductions, social security deductions, and any and all other taxes or payroll deductions required for all employees engaged by the Contractor in the performance of the work authorized by this Contract. The contractor must provide workers compensation for their employees and submit proof upon request. ODYS and the State of Ohio shall not be liable for any taxes under this Contract.

Additionally, the State of Ohio, ODYS is exempt from state, county, and transit sales taxes for services and goods supplied to and billed directly to ODYS.

6. **GOVERNING LAW:** This Contract and any claims arising in any way out of this Contract shall be governed by the laws of the State of Ohio and the United States. Any provision of this Contract prohibited by the law of Ohio shall be deemed void and of no effect. Any litigation arising out of or relating in any way to this Contract or the performance hereunder shall be brought only in the courts of Ohio, and the Contractor hereby irrevocably consents to such jurisdiction.
7. **TERMINATION OR DEFAULT:** Prior to the expiration of the term of this Contract, either party may terminate the Contract, with or without cause, by providing written notice to the other party not less than sixty (60) days prior to the termination date.  
Termination pursuant to this paragraph will relieve either party of further obligation under this Contract. In no event will ODYS be obligated to pay for any services not actually performed by the Contractor.
8. **CONTRACT AMOUNT CHANGES:** ODYS and the Contractor agree that ODYS may make adjustments in the total amount of this contract as may be necessary to provide essential services. Adjustments shall not cause ODYS to exceed annual or biennial allocations. An increase in the total amount of the contract may be subject to approval by DAS or Controlling Board before any such change is valid and enforceable. ODYS shall notify the contractor, in writing, the effective dates of any such change.
9. **MODIFICATION TO SERVICES:** ODYS and the Contractor agree that any change in the rate(s) or type(s) of service shall require written agreement by both parties.
10. **RECORDS RETENTION:** The Contractor shall maintain independent books, records, documents, and papers involving all transactions relative to the performance of this Contract which reflect any and all direct and indirect costs expended in the performance of this contract in a manner consistent with generally accepted accounting principals in the performance of services required by this contract.  
Youth case files and material filed or referenced under a youth's name shall be maintained according to the retention schedule established by ODYS.  
The Contractor shall, for each subcontract authorized by ODYS, in excess of twenty-five hundred dollars (\$2,500.00), require its subcontractor(s) to agree to the same provisions of this article.  
  
All of the above records, books, documents, papers, case files, etc. shall be retained for seven (7) years unless ODYS approves a shorter retention period, in writing. The Contractor may apply for such authorization after the fiscal year in which the final entry was made. In addition, all of the above documents shall be made available at all reasonable times during the period of their required retention by authorized Federal, State and ODYS personnel.  
The Contractor agrees to be responsible for the costs of any audit in which it is determined that the Contractor violated, in any material respect, any provision of Federal, and State or local law.
11. **DISCLOSURE OF INFORMATION:** Contractor agrees that it, as well as any of its approved subcontractors, will not use or disclose any information concerning ODYS youth for any purpose unless necessary to the administration of ODYS or Contractor's responsibilities under this Contract. The Contractor agrees to obtain the written consent of ODYS prior to disclosure of youth records unless otherwise ordered by a court of competent jurisdiction.

12. LIMITATION OF LIABILITY: The State's liability for damages, whether in contract or in tort, shall not exceed the total amount of compensation payable to the Contractor under the ODYS "Contract and Agreement", "Compensation of Services" article, above, or the amount of direct damages incurred by the Contractor, whichever is less. In addition, the Contractor agrees that ODYS and the State of Ohio and any funding source for this contract are held harmless and immune from any and all claims for injury or damages arising from this contract which are attributable to the Contractor's own actions or omissions or those of its trustee, officers, employees, subcontractors, suppliers, and other third parties while acting under this contract. Such claims shall include any claims made under the Fair Labor Standards Act or under any other federal or state law involving wages, overtime, or employment matters and any claims involving patents, copyrights and trademarks. Contractor agrees to bear all costs associated with defending against any such claims or legal actions when requested by ODYS or State to do so.

13. CONFLICTS OF INTEREST: No personnel of the Contractor or member of the governing body of any locality or other public official or employee of any such locality in which, or relating to which, the work under this Contract is being carried out, and who exercises any functions or responsibilities in connection with the review or approval of the understanding or carrying out of any such work, shall prior to the completion of said work, voluntarily acquire any personal interest, direct or indirect, which is incompatible or in conflict with the discharge and fulfillment of his or her functions and responsibilities with respect to the carrying out of said work.

If any person acquires an incompatible or conflicting personal interest, on or after the effective date of this contract, or any such person involuntarily acquires any such incompatible or conflicting personal interest, then the contractor shall immediately disclose such interest to ODYS in writing. Thereafter, such person shall not participate in any action affecting the work under this contract, unless ODYS shall determine that, in the light of the personal interest disclosed, such participation in any such action would not be contrary to the public interest.

14. ENTIRE AGREEMENT: The Contract, when signed by both parties, along with any attachments and the Request for Proposal and Response (if an RFP process was used), constitutes the entire agreement between the parties herein. No rights herein will be waived, unless specifically agreed upon in writing by the parties hereto. This Contract supersedes any and all previous agreements, whether written, or oral, between the parties. A waiver by any party of any breach or default by the other party under this Contract shall not constitute a continuing waiver by such party of any subsequent act in breach of or in default hereunder.

15. NOTICES: Except as specifically provided otherwise, all notices, consents and communications hereunder shall be given in writing, and be either hand carried or sent by certified mail, return receipt requested, to the respective addresses on the signature page of this document

16. SEVERABILITY: The provisions of this Contract are severable and independent. If any provision of this contract be deemed unenforceable by a court of competent jurisdiction in whole or in part, the remaining provisions of this contract and any partially enforceable provisions, to the extent enforceable, shall, nevertheless, be binding and enforceable.

17. SUCCESSORS AND ASSIGNS: Except as provided in this paragraph, neither this Contract nor any rights hereunder may be assigned or transferred in whole or in part by either party, without the prior written consent of the other party. The work contemplated in this contract is to be performed by the Contractor, who may subcontract without ODYS approval for the purchase of articles, supplies, components or special mechanical services that do not involve the type of work or services described in Exhibit A but which are required for its satisfactory completion. The Contractor should notify ODYS, in advance, of any of these subcontracts or joint ventures. All work subcontracted shall be at the expense of the Contractor.

18. **DRUG FREE WORKPLACE:** The Contractor agrees to require that all its employees, while working on state property, will not purchase, transfer, use or possess illegal drugs or alcohol or abuse prescription drugs in any way.
19. **CHILD SUPPORT:** The Contractor agrees to cooperate with the Ohio Department of Job and Family Services and any Ohio Child Support Enforcement Agency (CSEA) in ensuring that any person performing services hereunder meets child support obligations established under state law. Further, by executing this agreement the Contractor certifies present and future compliance with any court order for the withholding of support, which is issued pursuant to Chapters 3119, 3121, 3123 and 3125 of the Ohio Revised Code.
20. **OWNERSHIP:** ODYS shall have unrestricted authority to reproduce, distribute and use (in whole or in part) any reports, data or materials prepared by the Contractor, subcontractor or any person acting on behalf of the contractor pursuant to this agreement. No such documents or other materials produced (in whole or in part) with funds provided to the Contractor by ODYS shall be subject to copyright by the Contractor in the United States or any other country. The Contractor agrees that all deliverables hereunder shall be made freely available to the general public to the extent required by law.
21. **FIREARMS RESTRICTION:** The Contractor agrees that the Contractor, any Subcontractor, and /or any person acting on behalf of the Contractor or Subcontractor, will not possess or maintain the presence of any firearm in or on any premise used in the delivery of residential services of youth. The Contractor agrees to apply, in writing, within ten (10) days of the Contractor's execution of this contract for a written waiver of this provision from ODYS Director in the event that this provision would create an economic hardship (e.g. an employer, such as a police department, requires a foster parent who is also a police officer to carry a firearm as a requirement of his/her employment) or would otherwise be unreasonable.
22. **OTHER APPLICABLE LAW:**  
The Contractor is required to comply with O.R.C., Chapter 4115 "Wages and Hours on Public Works" as required by law.
- The Contractor shall meet State of Ohio requirements for certification, licensure and registration where applicable. Contractor shall be required to provide proof of such certification, licensure and registration and to provide any renewal certification, licensure and registration.
- The Contractor shall comply with the provisions as specified in the O.R.C., Section 109.572 regarding criminal records check and fingerprinting and maintain adequate records thereof.
- In the performance of this contract, the Contractor agrees to comply with all Federal, State, and Local laws and the Ohio Administrative Code.
- ODYS is subject to O.R.C. 149.43 "Availability of Public Records", and therefore any documents, which are a part herein, shall be disclosed as required by law.
23. **NOTICE TO RETIREES:** A retiree cannot continue to receive benefits and work as an independent contractor under a contract for any period of time for the employer from which they retired. This prohibition is applicable regardless of the number of hours or days actually worked.
24. **UNRESOLVED FINDINGS FOR RECOVERY:** Contractor affirmatively represents and warrants to ODYS that it is not subject to a finding or recovery under ORC 9.24, or that it has taken the appropriate remedial steps required under ORC 9.24 or otherwise qualifies under that section. Contractor agrees that if this representation and warrant is deemed to be false, the Contract shall be void *ab initio* as between the parties to this Contract, and any funds paid by ODYS hereunder shall be immediately repaid to ODYS, or an action for recovery may be immediately commenced by ODYS for recovery of said funds.

25. Sweatshop Free: By the signature affixed to this ITB/RFP, Bidder/Offerer certifies that all facilities used for the production of the supplies or performance of services offered in the bid/RFP are in compliance with applicable domestic labor, employment, health and safety, environmental and building laws. This certification applies to any and all suppliers and/or subcontractors used by the Bidder/Offerer in furnishing the supplies or services described in the bid/RFP and awarded to the Bidder/Offerer. If DAS receives a complaint alleging non-compliance with sweatshop free requirements, DAS may enlist the services of an independent monitor to investigate allegations of such non-compliance on the part of the Contractor, any sub-contractors or suppliers used by the Contractor in performance of the Contract. If allegations are proven to be accurate, the Contractor will be advised by DAS of the next course of action to resolve the complaint and the Contractor will be responsible for any costs associated with the investigation. Items that will be considered in an investigation include, but are not limited to standards for wages, occupational safety and work hours.

26. Executive Order Requirements:

- I. The Contractor affirms to have read and understands Executive Order 2010-09S issued by Ohio Governor Ted Strickland and shall abide by those requirements in the performance of this Contract, and shall perform no services required under this Contract outside of the United States. The Executive Order is provided as an attachment and also is available at the following website: (<http://www.governor.ohio.gov/Default.aspx?tabid=1495>).

The Contractor also affirms, understands, and agrees to immediately notify the State of any change or shift in the location(s) of services performed by the Contractor or its subcontractors under this Contract, and no services shall be changed or shifted to a location(s) that are outside of the United States.

II. TERMINATION, SANCTION, DAMAGES:

If Contractor or any of its subcontractors perform services under this Contract outside of the United States, the performance of such services shall be treated as a material breach of the Contract. The State is not obligated to pay and shall not pay for such services. If Contractor or any of its subcontractors perform any such services, Contractor shall immediately return to the State all funds paid for those services. The State may also recover from the Contractor all costs associated with any corrective action the State may undertake, including but not limited to an audit or a risk analysis, as a result of the Contractor performing services outside the United States.

The State may, at any time after the breach, terminate the Contract, upon written notice to the Contractor. The State may recover all accounting, administrative, legal and other expenses reasonably necessary for the preparation of the termination of the Contract and costs associated with the acquisition of substitute services from a third party.

If the State determines that actual and direct damages are uncertain or difficult to ascertain, the State in its sole discretion may recover a payment of liquidated damages in the amount of [insert percent here] of the value of the Contract.

The State, in its sole discretion, may provide written notice to Contractor of a breach and permit the Contractor to cure the breach. Such cure period shall be no longer than 21 calendar days. During the cure period, the State may buy substitute services from a third party and recover from the Contractor any costs associated with acquiring those substitute services.

Notwithstanding the State permitting a period of time to cure the breach or the Contractor's cure of the breach, the State does not waive any of its rights and remedies provided the State in this

Contract, including but not limited to recovery of funds paid for services the Contractor performed outside of the United States, costs associated with corrective action, or liquidated damages.

**III. ASSIGNMENT / DELEGATION:**

The Contractor will not assign any of its rights, nor delegate any of its duties and responsibilities under this Contract, without prior written consent of the State. Any assignment or delegation not consented to may be deemed void by the State.

**ATTACHMENT TWO: BIDDER PROFILE SUMMARY**

|   |  |                                       |
|---|--|---------------------------------------|
| Company:                                  | Contact:                                 |                                       |
| Address:                                  | Phone Number:                            |                                       |
| Project Name:                             | Beginning Date of Project:<br>Month/Year | Ending Date of Project:<br>Month/Year |
| Description of related services provided: |  |                                       |

|   |  |                                       |
|---|--|---------------------------------------|
| Company:                                  | Contact:                                 |                                       |
| Address:                                  | Phone Number:                            |                                       |
| Project Name:                             | Beginning Date of Project:<br>Month/Year | Ending Date of Project:<br>Month/Year |
| Description of related services provided: |  |                                       |

|   |  |                                       |
|---|--|---------------------------------------|
| Company:                                  | Contact:                                 |                                       |
| Address:                                  | Phone Number:                            |                                       |
| Project Name:                             | Beginning Date of Project:<br>Month/Year | Ending Date of Project:<br>Month/Year |
| Description of related services provided: |  |                                       |

**ATTACHMENT THREE: DECLARATION STATEMENTS**  
**Re: DYS120101, School Psychologist Services for Multiple Locations**  
**MUST BE COMPLETED, SIGNED AND RETURNED WITH BID RESPONSE**

Failure to complete, and return with the bid, this Declaration Attachment may deem your bid non-responsive

***Ohio Elections Law Information:*** (See Part FOUR)

A. Prohibition

State agencies whose directors or heads are appointed by the Governor are prohibited by Divisions (I) and (J) of Section 3517.13 of the Revised Code from awarding any noncompetitively bid contract for the purchase of goods or services costing more than \$500.00 to an entity listed in those Divisions if a party listed in those Divisions or the spouse of such party has made, as an individual, within the two previous calendar years, one or more contributions totaling in excess of \$1,000.00 to the Governor or to the Governor's campaign committee.

B. Individual, Partnership, Association, Estate or Trust

A vendor that is an individual, partnership, association, including, without limitation, a professional association organized under Chapter 1785. of the Revised Code, estate, or trust shall include in its bid an affirmative statement that, as applicable to the vendor, no such individual or spouse of such individual has made, and no partner, shareholder, administrator, executor, or trustee, or the spouses of any of them has made, as an individual, within the two previous calendar years, one or more contributions totaling in excess of \$1,000.00 to the Governor or to the Governor's campaign committee, consistent with the restrictions of Section 3517.13 (I) of the Revised Code.

C. Corporation or Business Trust

A vendor that is a corporation or business trust, except a professional association organized under Chapter 1785. of the Revised Code, shall include in its bid an affirmative statement that no owner of more than twenty percent of the corporation or business trust or the spouse of such person, has made, as an individual, within the two previous calendar years, taking into consideration only owners for all of such period, one or more contributions totaling in excess of \$1,000.00 to the Governor or to the Governor's campaign committee, consistent with the restrictions of Section 3517.13 (J) of the Revised Code.

**Ohio Ethics and Conflict of Interest Laws Information:**

In accordance with Executive Order 2007-01S, Vendor or Grantee, by signature on this document, certifies: (1) it has reviewed and understands Executive Order 2007-01S; (2) it has reviewed and understands the Ohio ethics and conflict of interest laws; and, (3) will take no action inconsistent with those laws and this order. The Vendor or Grantee understands that failure to comply with Executive Order 2007-01S is, in itself, grounds for termination of this contract or grant and may result in the loss of other contracts or grants with the State of Ohio.

**Equal Employment Opportunity Information:**

The Contractor will comply with all state and federal laws regarding equal employment opportunity, including Ohio Revised Code Section 125.111 and all related Executive Orders.

Before a contract can be awarded or renewed, an Affirmative Action Program Verification Form must be submitted to the DAS Equal Opportunity Division to comply with the affirmative action requirements. Affirmative Action Verification Forms and approved Affirmative Action Plans can be

found by going to the Equal Opportunity Department's website:  
<http://www.das.ohio.gov/Eod/AEEO.htm>

**Prohibition of the Use of Public Funds for Offshore Services:**

In accordance with Executive Order 2010-09S, regarding the prohibition on the purchase of offshore services, Vendor or Grantee, by signature on this document, certifies: (1) it has reviewed and understands the provisions of Executive Order 2010-09S; (2) it understands and will abide by the requirements of this order; (3) will disclose the location(s) where all services will be performed by any contractor or subcontractor; (4) will disclose the location(s) where any state data associated with any of the services they are providing, or seek to provide, will be accessed, tested, maintained, backed-up, or stored; (5) will disclose any shift in the location of any services being provided by the contractor or subcontractor; (6) will disclose the principal location of business for the contractor and all subcontractors who are supplying services to the state under the proposed contract.

**LOCATION OF SERVICES/OFF-SHORE/I-9 CERTIFICATION**

List principal location of business for the contractor:

|       |       |
|-------|-------|
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |

List the location(s) where any state data associated with any of the services being provided, or seek to provide, will be accessed, tested, maintained, backed-up or stored:

|       |       |
|-------|-------|
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |

List names of subcontractors who will be performing work under the Contract.

|       |       |
|-------|-------|
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |

By the signature affixed to this Executive Order 2010-09S Declaration Statement, Bidder hereby certifies that the above information is true and accurate. The Bidder agrees that no changes will be made to this list of subcontractors or locations where work will be performed or data will be stored without prior written approval of ODYS. Any attempt by the Bidder/Contractor to change or otherwise alter subcontractors or locations where work will be performed or locations where data will be stored, without prior written approval of ODYS, will be deemed as a default. If a default should occur, ODYS will seek all legal remedies as set forth in the Terms and Conditions, which may include IMMEDIATE cancellation of the Contract.

\_\_\_\_\_ (Company) affirms it shall not and shall not allow others to perform work or take data outside the United States without express authorization from the Agency Project Representative.

\_\_\_\_\_ (Company) affirms that all personnel provided for the Project, who are not United States citizens, will have executed a valid I-9 form and presented valid employment authorization documents, and maintain records of such; and, also affirms that any small business program participants will provide necessary data to ensure program reporting and compliance.

\_\_\_\_\_ (Company) agrees that it (and any personnel or independent contractors provided for performance of this contract) is a separate and independent enterprise from the State of Ohio and the Ohio Department of Youth Services; and, that this contract does not constitute any joint employment relationship between \_\_\_\_\_ (insert Company name), and its representatives and the Department of Youth Services, including obligation for any lawful taxes, deductions or contributions, federal, state or local.

\_\_\_\_\_ (Company) agrees to above:

**Contract Performance.** The Bid must provide the following information for this section for the past seven years. **Please indicate yes or no in each column.** If the answer to any item is affirmative, the Bidder must provide complete details about the matter on a separate page.

| Yes/No | Description  |
|--------|--|
|        | The Bidder has had a contract terminated for default or cause. If so, the Bidder must submit full details, including the other party's name, address, and telephone number.  |
|        | The Bidder has been assessed any penalties in excess of five thousand dollars (\$5,000), including liquidated damages, under any of its existing or past contracts with any organization (including any governmental entity). If so, the Bidder must provide complete details, including the name of the other organization, the reason for the penalty, and the penalty amount for each incident. |
|        | The Bidder was the subject of any governmental action limiting the right of the Bidder to do business with that entity or any other governmental entity.   |
|        | Trading in the stock of the company has ever been suspended with the date(s) and explanation(s).   |
|        | The Bidder, any officer of the Bidder, or any owner of a twenty percent (20%) interest or greater in the Bid has filed for bankruptcy, reorganization, a debt arrangement, moratorium, or any proceeding under any bankruptcy or insolvency law, or any dissolution or liquidation proceeding.   |
|        | The Bidder, any officer of the Bidder, or any owner with a twenty percent (20%) interest or greater in the Bid has been convicted of a felony or is currently under indictment on any felony charge.   |

**Conflict of Interest.** Additional information regarding Conflict of Interest and Ethics Compliance, as it relates to award of this RFP, may be found in Attachment One, Article X.

The Bidder must include a statement indicating whether the Bidder, or any people that may work on the project through the Bidder, have any possible conflict of interest, direct or indirect which is incompatible with the fulfillment of these services.

Provide statement regarding any potential Conflict of Interest not indicated in the check list above:

\_\_\_\_\_  
 \_\_\_\_\_

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**While an affirmative answer to any of the items in the Contract Performance checklist or, inclusion of a statement of possible Conflict of Interest, will not automatically disqualify a Bid from consideration, such an answer or statement and a review of the background details may result in a rejection of the Bidder's bid, at the sole discretion of the evaluation team.** The team will make this decision based on its determination of the seriousness of the matter, the matter's possible impact on the Bidder's performance on the project, and the best interests of the State.

I attest that I am a representative of the organization listed in this bid and have the authority to bind the Bidder to the aforementioned requirements.

Printed Name and Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Organization: \_\_\_\_\_

Date: \_\_\_\_\_

Yes No

Have you experience with School Psychologist Services? \_\_\_\_\_

Have you had past performance on previous state contracts? \_\_\_\_\_

This Bid covers all Statement of Services listed on Exhibit A:

Yes \_\_\_\_\_

No \_\_\_\_\_

If No: please explain specific statement of services that could not be met.

---

Authorized signature

Date

Ohio Preference: (Buy Ohio):

Bidder has significant economic presence within the state of Ohio. Yes \_\_\_\_\_ No \_\_\_\_\_

(Bidder has ten or more employees based in Ohio or border state) Yes \_\_\_\_\_ No \_\_\_\_\_

(Bidder has 75% or more employees based in Ohio or border state) Yes \_\_\_\_\_ No \_\_\_\_\_

Border State Bidder: Yes \_\_\_\_\_ (KY \_\_ MI \_\_ NY \_\_ PA \_\_ IN \_\_) No \_\_\_\_\_

Total Number of Employees: Nation-wide: \_\_\_\_\_ Ohio: \_\_\_\_\_

Percentage of Women: Nation-wide: \_\_\_\_\_ Ohio: \_\_\_\_\_

Percentage of Minorities: Nation-wide: \_\_\_\_\_ Ohio: \_\_\_\_\_

# PROVIDER CHECKLIST

Please read each question and initial or check each box

|  |
|--|
|  |
|  |

Did you review the ODYS Exhibit A?  
Did you review the Terms and Conditions?

## "Bidder Information"

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Did you complete each box of Bidder Response Form (Part Five)?  
Did you clarify (by circling) whether the # provided in (f) is a Federal Tax I.D. # or a Social Security #?  
Did you sign and date your Bidder Response?

## "Proposed Cost"

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|  |

Did you bid as ODYS requested (e.g. by hour or other type of unit)?  
Did you bid for the entire time frame requested (e.g. 1 Year/Six Months)?  
Do you anticipate receiving any outside funding (e.g. Medicaid, IV-E, etc.) and if so, did you provide an attachment detailing such funding?  
Did you check your bid for mathematical errors?  
Does your Bidder Response include all services and/or other reimbursable costs for which you will invoice ODYS?

## "Detailed Description"

|  |
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Did you include a **detailed** description of your program/services?  
Do you meet minimum qualifications outlined in the RFP?

## "Exceptions"

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*If applicable*, did you provide a detailed explanation of any exceptions you have in regards to Exhibit A?

## OTHER

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If applicable, did you include all required certifications, licenses, etc.?  
Did you include requested documents: i.e. the **Declaration Statements** Attachment; the **Declaration of Material Assistance; W-9 Form**?  
Is your bid being submitted within the timeframes established in Part Two?  
Are you returning the original bid package?  
Are you returning three (3) copies of the entire bid package?  
Have you labeled your sealed envelope with the bid number and title?  
Did you make a copy for your records?  
Did you include the W-9 Form?